

# Axiomatizable classes of structures

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A pair  $\langle X, C \rangle$ , where  $X$  is a set and  $C: \mathcal{P}(X) \rightarrow \mathcal{P}(X)$  is an operator on  $X$ , is a **closure space**, if the following conditions hold for all  $A \subseteq B \subseteq X$ :

- 1  $A \subseteq C(A)$ ;
- 2  $C^2(A) = C(A)$ ;
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A set  $A \subseteq X$  is **closed**, if  $C(A) = A$ .

The closure space  $\langle X, C \rangle$  is **algebraic**, if  $C(A) = \bigcup \{C(F) \mid F \subseteq A \text{ is finite}\}$  for any  $A \subseteq X$ .

Let  $\mathbb{L}(X, C)$  denote the set of all closed subsets of  $X$ . Ordered by inclusion, it forms a complete lattice, where

$$\bigwedge_{i \in I} A_i = \bigcap_{i \in I} A_i; \quad \bigvee_{i \in I} A_i = C\left(\bigcup_{i \in I} A_i\right)$$

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*A lattice is algebraic if and only if it is isomorphic to the closure lattice of an algebraic closure space.*

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A binary relation  $R$  on a meet semilattice  $\langle S, \wedge \rangle$  is **distributive**, if for any  $a, b, c \in S$  relation  $(c, a \wedge b) \in R$  implies that  $c = a' \wedge b'$  for some  $a', b' \in S$  such that  $(a', a) \in R$  and  $(b', b) \in R$ .

For a meet semilattice  $\langle S, \wedge, 1 \rangle$  with unit and for any binary relation  $R \subseteq S^2$ , let  $\text{Sub}(S, R)$  denote the set of all  **$R$ -closed subsemilattices** of  $S$ ;

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For a complete lattice  $L$ , let  $\text{Sub}_c(L, R)$  denote the set of all **complete  $R$ -closed meet subsemilattices** of  $L$ , while  $\text{Sp}(L)$  denotes the set of all algebraic subsets of  $L$ .

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### Lemma

*Let  $L$  be a meet semilattice and let  $R \subseteq L^2$  be a distributive relation. Then the following holds.*

- 1  $A \vee B = \{a \wedge b \mid a \in A, b \in B\}$  for all  $A, B \in \text{Sub}(L, R)$ .
- 2 If  $L$  is a complete lattice, then  
 $A \vee B = \{a \wedge b \mid a \in A, b \in B\}$  for all  $A, B \in \text{Sub}_c(L, R)$ .
- 3 If  $L$  is an upper continuous complete lattice, then  
 $A \vee B = \{a \wedge b \mid a \in A, b \in B\}$  for all  $A, B \in \text{Sp}(L)$ .

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A class  $\mathbf{K} \subseteq \mathbf{K}(\sigma)$  is a **prevariety**, if  
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According to B. Banaschewski and H. Herrlich, a class is a prevariety if and only if it can be defined by infinite implications.

## Definition (Gorbunov)

Let  $\mathbf{K}' \subseteq \mathbf{K} \subseteq \mathbf{K}(\sigma)$ . Then  $\mathbf{K}'$  is  $\mathbf{K}$ -  
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Furthermore, for any set  $X \subseteq I$ , let  $\mathcal{A}_X$  denote a structure from  $\mathbf{T}(\sigma)$  such that  $\mathcal{A}_X \models \forall x p_i(x)$  iff  $i \in X$ .

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$\mathbf{T}(\sigma)$  consists of isomorphic copies of structures  $\mathcal{A}_X$ ,  $X \subseteq I$ .



## Lemma

*For any signature  $\sigma = \{p_i \mid i \in I\}$  containing unary relation symbols only, the following statements hold:*

- 1 For any sets  $X, Y \subseteq I$ ,  $\mathcal{A}_Y \in \mathbf{H}(\mathcal{A}_X)$  if and only if  $X \subseteq Y$ ;

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- 2 For any set  $X \subseteq I$ ,  $\mathbf{S}(\mathcal{A}_X) = \{\mathcal{A}_X\}$ ;
- 3 If  $X_j \subseteq I$  for any  $j \in J$ , then  $\prod_{j \in J} \mathcal{A}_{X_j} \cong \mathcal{A}_X$ , where  $X = \bigcap_{j \in J} X_j$ .

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The class  $\text{Mod}(\Sigma(X, C))$  is a prevariety.

The class  $\mathbf{K}(X, C) = \text{Mod}(\Sigma(X, C)) \cap \mathbf{T}(\sigma(X))$  is also a prevariety.



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### Lemma

*For any closure space  $\langle X, C \rangle$ , the class  $\mathbf{K}(X, C)$  consists of isomorphic copies of structures  $\mathcal{A}_B$ , where  $B \in \mathbb{L}(X, C)$ .*

Suppose now that  $\langle X, C \rangle$  is an algebraic closure space. Let  $\Delta(X, C)$  consist of quasi-identities of the form

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Therefore, the class  $\mathbf{A}(X, C) = \text{Mod}(\Delta(X, C)) \cap \mathbf{T}(\sigma(X))$  is also a quasivariety.

### Lemma

*For any algebraic closure space  $\langle X, C \rangle$ , the class  $\mathbf{A}(X, C)$  consists of isomorphic copies of structures  $\mathcal{A}_B$ , where  $B \in \mathbb{L}(X, C)$ .*

## Proposition (Gorbunov)

For any complete lattice  $L$ , there are a signature  $\sigma$  consisting only of unary relation symbols and a prevariety  $\mathbf{K} \subseteq \mathbf{T}(\sigma)$  such that  $L^\partial \cong L_v(\mathbf{K})$  and  $\text{Sub}_c(L) \cong L_p(\mathbf{K}) = L_q(\mathbf{K})$ .

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Let  $\psi: L \rightarrow \mathbb{L}(X, C)$  be an isomorphism. The class  $\mathbf{K}$  consists of isomorphic copies of structures  $\mathcal{A}_{\psi(a)}$ , where  $a \in L$ .

Define a map  $\varphi: \mathbb{L}(X, C) \rightarrow \text{Lv}(\mathbf{K})$  by the rule

$$\varphi: B \mapsto \{\mathcal{A}_F \in \mathbf{T}(\sigma) \mid F \in \mathbb{L}(X, C) \text{ and } B \subseteq F\}, \quad B \in \mathbb{L}(X, C).$$

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It is well-defined and is a lattice isomorphism, whence  $\text{Sub}_c(L) \cong \text{Lp}(\mathbf{K})$ .

$\varphi'(B)$  is closed under the operator  $\mathbf{L}_S \cap \mathbf{K}$  for any  $B \in \text{Sub}_c(L)$ , whence  $\varphi'(B) \in \text{Lq}(\mathbf{K})$ . Therefore,  $\text{Lp}(\mathbf{K}) = \text{Lq}(\mathbf{K})$ .



## Theorem (Gorbunov)

Let  $\mathbf{K}$  be a prevariety and let  $\mathbf{K}' \subseteq \mathbf{K}$  be  $l$ -projectively complete in  $\mathbf{K}$ . Then for any non-empty subclass  $\mathbf{A} \subseteq \mathbf{K}$ ,

$$\mathbf{Q}(\mathbf{A}) \cap \mathbf{K}' = (\mathbf{L}_s \cap \mathbf{K}')(\mathbf{P}_s \cap \mathbf{K}')(\mathbf{S} \cap \mathbf{K}')(\mathbf{A}).$$

In particular, a non-empty subclass  $\mathbf{A} \subseteq \mathbf{K}'$  is  $\mathbf{K}'$ -quasi-equational if and only if  $\mathbf{A}$  is closed under operators  $\mathbf{L}_s \cap \mathbf{K}'$ ,  $\mathbf{S} \cap \mathbf{K}'$ , and  $\mathbf{P}_s \cap \mathbf{K}'$ .

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## Corollary

For any complete upper continuous lattice  $L$ , there is a signature  $\sigma$  consisting only of unary relation symbols and a prevariety  $\mathbf{K} \subseteq \mathbf{T}(\sigma)$  such that  $\text{Sp}(L)$  embeds into  $\text{Lq}(\mathbf{K})$ .

## Proposition

For any meet semilattice  $\langle S, \wedge, 1 \rangle$  with unit, there is a signature  $\sigma$  consisting only of unary relation symbols and a finitary prevariety  $\mathbf{K} \subseteq \mathbf{T}(\sigma)$  such that  $\text{Sub}(S) \cong \text{Lp}^\omega(\mathbf{K})$ .

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Define a map  $\varphi: \text{Sub}(S) \rightarrow \text{Lp}^\omega(\mathbf{K})$  by the rule

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It is a lattice isomorphism.

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For any complete dually algebraic lattice  $L$ , there are a signature  $\sigma$  consisting only of unary relation symbols and a quasivariety  $\mathbf{K} \subseteq \mathbf{T}(\sigma)$  such that  $L \cong \text{Lv}(\mathbf{K})$ .



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Then  $\varphi$  establishes a dual isomorphism between  $\mathbb{L}(X, C)$  and  $\text{Lv}(\mathbf{K})$ .

It was shown by V. A. Gorbunov that for any quasivariety  $\mathbf{K}$ , the lattice  $\text{Lv}(\mathbf{K})$  is complete and dually algebraic.

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### Corollary

*The class of complete dually algebraic lattices coincides with the class of lattices of relative equational classes of quasivarieties.*

## Definition (Pal'chunov)

Let  $\mathbf{K}$  be a class of structures of signature  $\sigma$  and let  $\Delta$  be a set of first-order sentences of the same signature. A class  $\mathbf{K}'$  is **axiomatizable in  $\mathbf{K}$  relatively to  $\Delta$** , if  $\mathbf{K}' = \mathbf{K} \cap \text{Mod}(\Sigma)$  for some set  $\Sigma \subseteq \Delta$ .

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Furthermore, for any set  $\Delta$  of sentences and any class  $\mathbf{K} \subseteq \mathbf{K}(\sigma)$ , the set of all axiomatizable in  $\mathbf{K}$  classes relatively to  $\Delta$  forms a complete lattice  $\mathbb{A}(\mathbf{K}, \Delta)$ .



## Corollary

*For any complete lattice  $L$ , there are a signature  $\sigma$ , a prevariety  $\mathbf{K} \subseteq \mathbf{K}(\sigma)$ , and a set  $\Delta$  of first-order sentences of the same signature such that  $L \cong \mathbb{A}(\mathbf{K}, \Delta)$ .*

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## Proof.

Take  $\sigma$  and  $\mathbf{K}$  as in the proof of Proposition and take the set of all identities of signature  $\sigma$  as  $\Delta$ . □

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Corollary was proved by D. E. Pal'chunov only for at most countable complete lattices  $L$ . This has lead him to ask whether any complete lattice is isomorphic to a lattice of relatively axiomatizable classes, cf. Problem 1 in [*D. E. Pal'chunov*, Lattices of relatively axiomatizable classes, Lecture Notes in Artificial Intelligence, **4390** (2007), 221–239.]

## Corollary

*The class of complete dually algebraic lattices coincides with the class of lattices of the form  $\mathbb{A}(\mathbf{K}, \Delta)$ , where  $\mathbf{K}$  is a quasivariety and  $\Delta$  is a set of first-order sentences.*

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## Corollary

*For any finite lattice  $L$ , there are a finite signature  $\sigma$  and a set  $\Delta$  of first-order sentences of  $\sigma$  such that  $L \cong \mathbb{A}(\mathbf{K}(\sigma), \Delta)$ .*

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The latter Corollary was proved by D. E. Pal'chunov.

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For any complete algebraic lattice  $L$ , there is a signature  $\sigma$  consisting only of unary relation symbols and a quasivariety  $\mathbf{K} \subseteq \mathbf{T}(\sigma)$  such that  $\text{Sp}(L) \cong \text{Lq}(\mathbf{K})$  and  $\text{Sub}_c(L) \cong \text{Lp}(\mathbf{K})$ .

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Then  $\varphi$  is well-defined and it is a lattice isomorphism, whence  $\text{Sub}_c(L) \cong \text{Lp}(\mathbf{K})$ . Moreover, the restriction of  $\varphi$  on  $\text{Sp}(L)$  defines an isomorphism from  $\text{Sp}(L)$  onto  $\text{Lq}(\mathbf{K})$ .

It is known that quasivariety lattices are completely join-semidistributive and dually algebraic (V. A. Gorbunov). In contrast, lattices of the form  $L_q(\mathbf{K})$  and  $L_p(\mathbf{K})$ , where  $\mathbf{K}$  is a prevariety, are neither join-semidistributive nor even lower continuous.

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### Corollary

*There are prevarieties  $\mathbf{K}$  such that neither  $L_q(\mathbf{K})$  nor  $L_p(\mathbf{K})$  embed into a quasivariety lattice.*