



# Plasma Physics for Microelectronics Technology

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# Outline

1. Plasma technology in Greifswald / Germany
2. Support of industrial applications:  
example EUV lithography
3. Excursus in plasma physics
4. Plasma generation in chambers

# Introduction



## **Plasma:**

gas with properties:

- electrical conducting
- radiating
- reactive

## **Species:**

beside molecules and atoms

- free electrons, ions
- excited atoms and ions
- dissociation products, radicals

# Plasma physics in Greifswald



Hanse Town Greifswald



Leibniz-Institute for Plasma  
Science and Technology e.V.



D. Uhrlandt, 22.04.2013, St. Petersburg

Institute of Physics,  
Ernst-Moritz-Arndt University

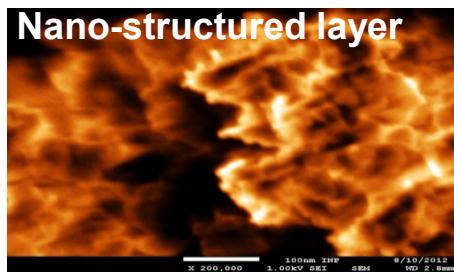


- 1.1.1992 foundation of the INP
- Biggest non-university research institution for low temperature plasmas in Europe
- Annual budget 2012: 15.8 Mill. € (6.4 Mill. € third-party funds),
- Currently 179 employees (111 scientists and engineers)
- Application-oriented basic research “From the idea to the prototype”
  - Plasmas for materials and energy
  - Plasmas for environment and health



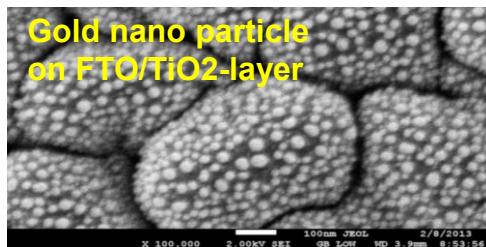
# Research area Materials and Energy

## Surfaces / Coatings



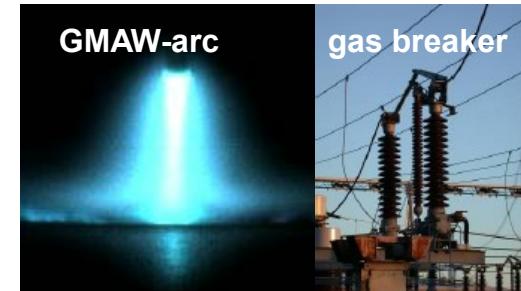
- PE-CVD processes for functional coatings
- Coatings with atmospheric pressure plasma jets

## Catalytic materials



- Catalytic materials for hydrogen technology and photo-voltaic (fuel cells, water dissociation)
- Plasma processes for metal-polymer composites

## Welding / Switching



- Diagnostics and simulation of arcs and thermal plasmas in production and electrical engineering

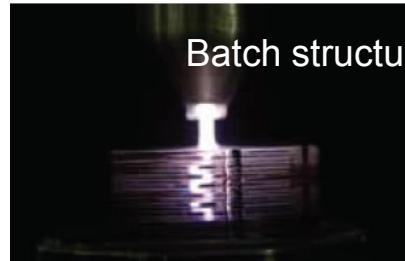
## Plasma monitoring



- Diagnostics of transient molecular species with laser absorption spectroscopy (TDLAS, QCLAS)
- Study of plasma chemical processes

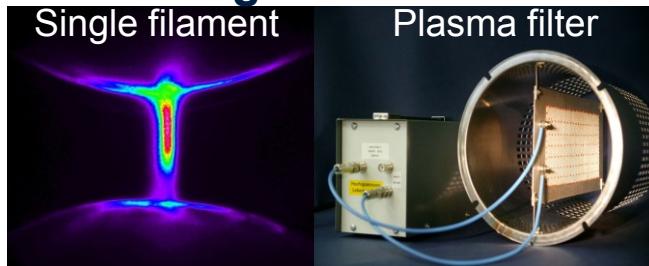
# Research area Environment and Health

## Bioactive materials



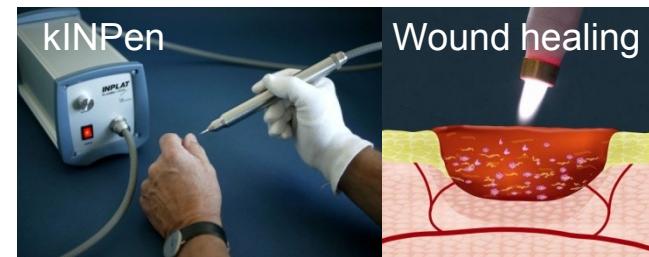
- PE-CVD processes at atmospheric pressure
- Cell adhesive coatings
- surfaces for medical devices

## Polution degradation



- Study of dielectric barrier discharges (filaments, micro discharges)
- Treatment of exhaust gases, aerosols, odours, VOC

## Plasma medicine / Decontamination



- Study of plasma-cell interaction, use of atmospheric pressure plasmas
- Decontamination of packaging, food, medical devices

## Bioelectrics



- Study of multi-phase discharges (corona in water)
- Disinfection of water
- Treatment of drug remnants

## 2. Support of industrial applications of low-temperature plasmas

- an example from  
microelectronics technology

## Current challenge for next generation micro processors production:

- Patterning features less than 22 nm by lithography

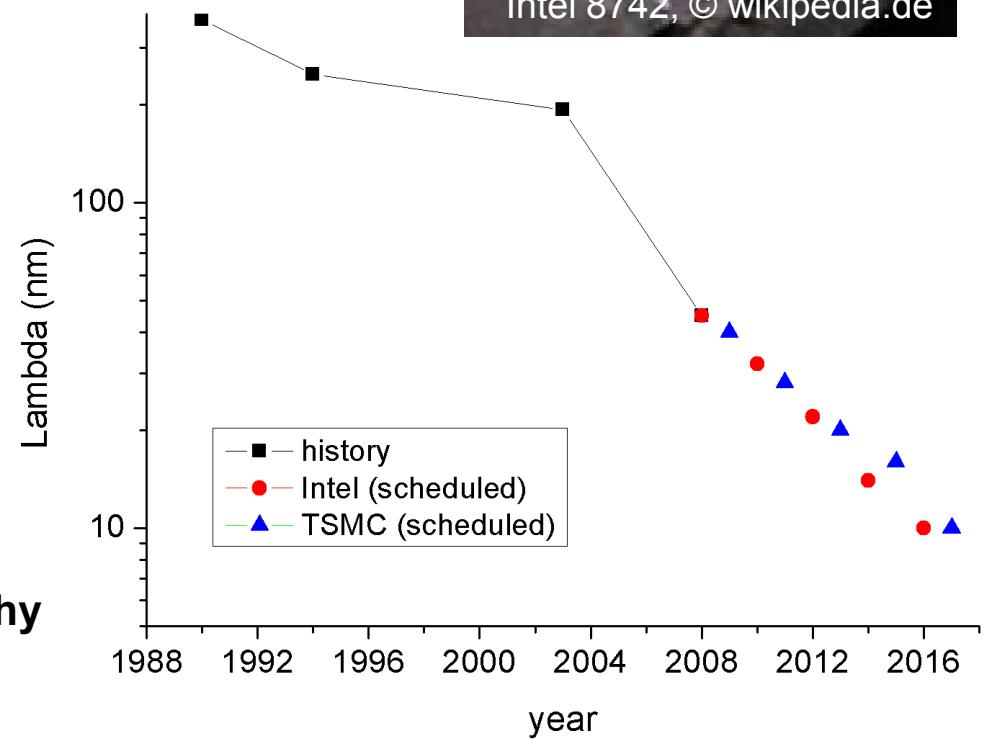
### Lateral resolution:

**Abbé formula**

$$\Delta x = \frac{k\lambda}{NA}$$

- $NA = n \sin \Phi$   
(numerical aperture – up to 0.85)
- $k$ -factor – up to 0.3

Change from UV (193 nm) to EUV (13.5 nm) lithography



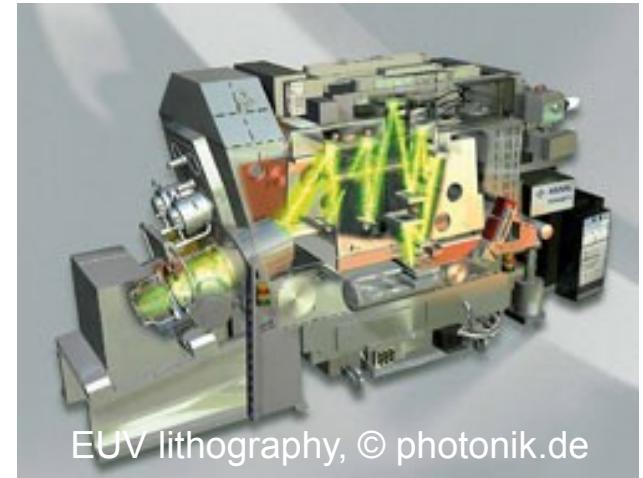
# EUV lithography

## EUV lithography for next generation microprocessors

- EUV: 13.5 nm equals photon energy 90 eV
- patterning features less than 22 nm

### Challenges:

- generation of EUV radiation
- focussing of EUV radiation by mirrors
  - lifetime of mirrors, degradation of reflectivity
- masks for EUV lithography
  - lifetime / degradation of photoresists
- contamination (chambers, mirrors, masks)



EUV lithography, © photonik.de

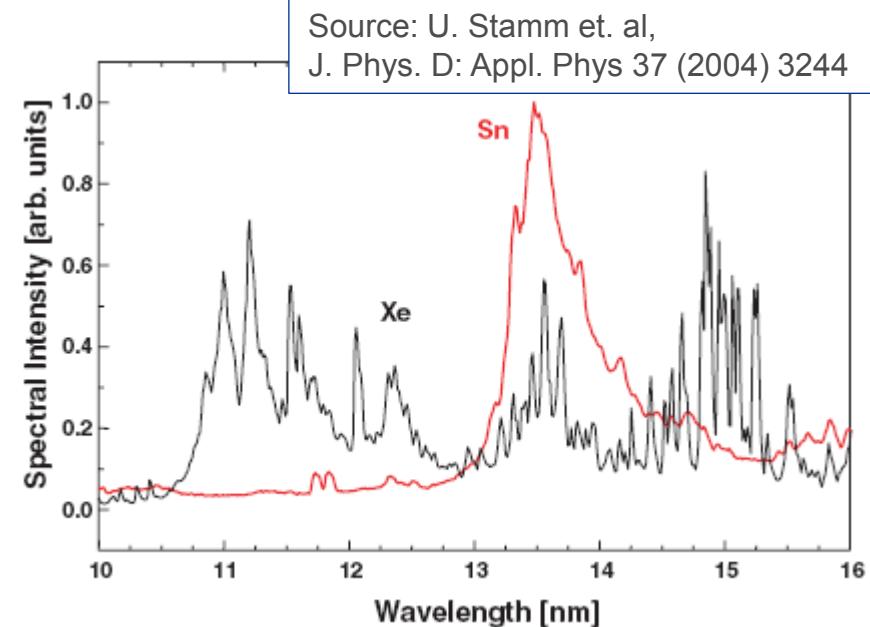
# EUV generation

## EUV emission

- emission by de-excitation of multicharged ions
- typically in a hot dense plasma (20 eV, Z=10 for Xe)

## Requirements

- radiation power  $\sim$ 1000 W
- source size 1 mm
- spatial and temporal stability 0.1 %
- lifetime 3000 hours



# EUV generation

## Generation of a hot dense plasma

1. laser generated plasma  
(e.g. Nd:YAG-laser pulse  
100 mJ, 1...15 ns,  
on Xe target)
2. z-pinch
3. hollow-cathode pinch

## Use of Pinch effect

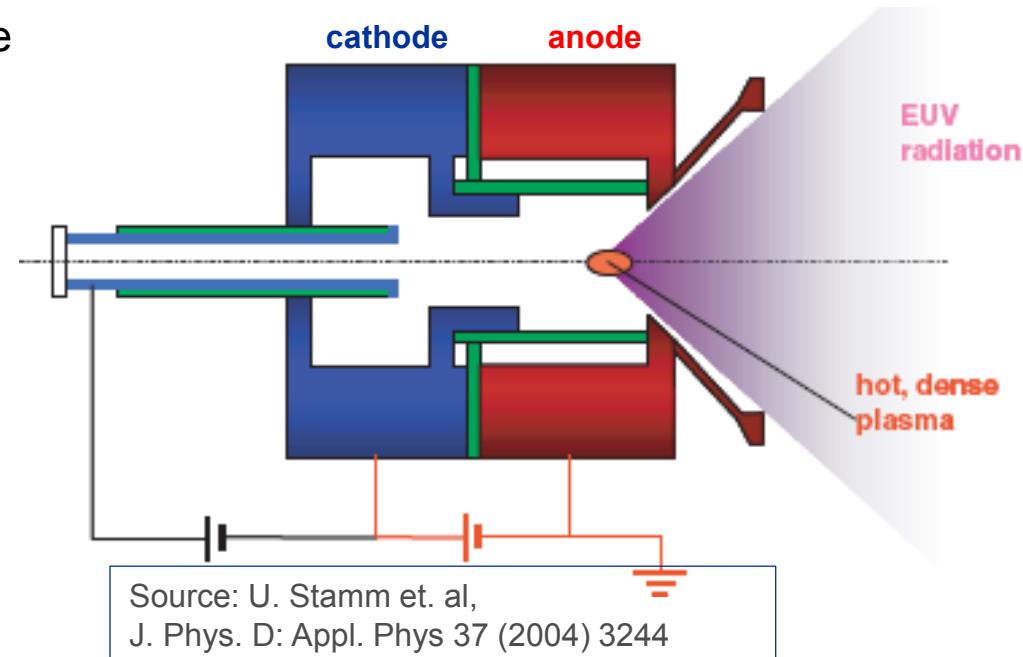
$$\frac{B^2}{2\mu_0} = (Z + 1)n_i kT$$

magnetic field

$$B = \frac{\mu_0 I}{2\pi r}$$

ion density  $n_i$

mean ionisation degree  $Z$



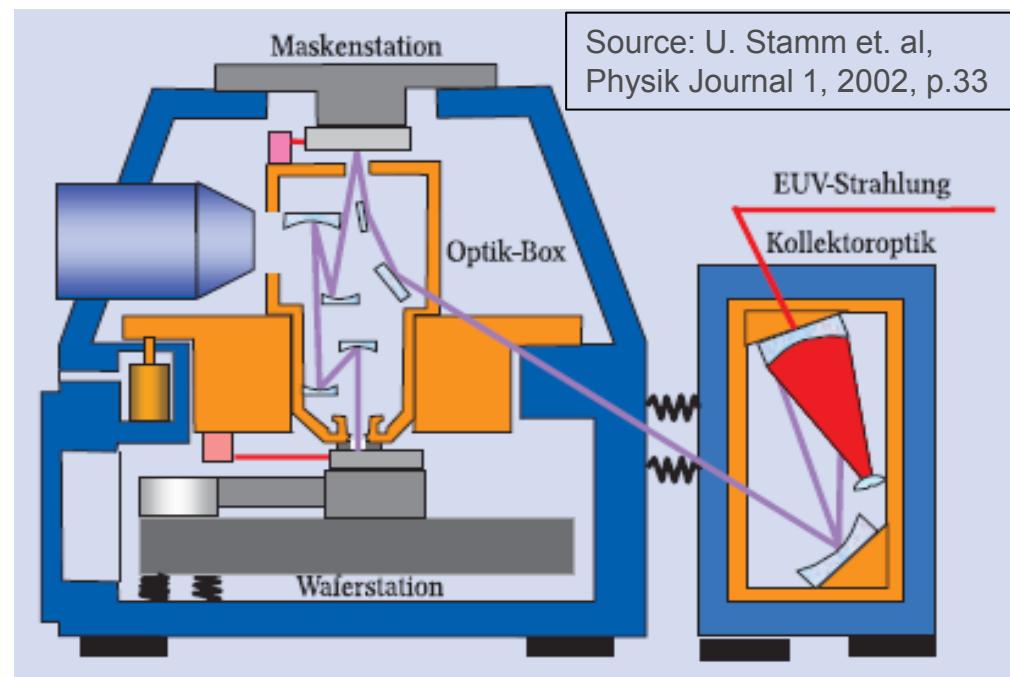
### parameters:

- 2 ... 30 J per pulse
- view kHz repetition
- 20 ... 50 kA
- 1 mm plasma size,
- 40 W radiation intensity
- 0.5 % conversion (in  $2\pi$ )

# EUV lithography

## Processes and devices

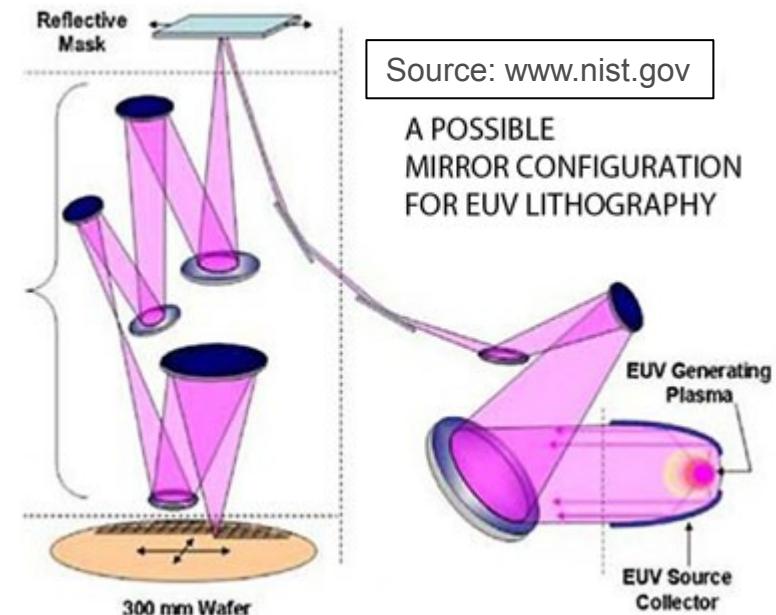
- protection against energetic particles from dense plasma (debris)
- no transparent materials for EUV →mirrors instead of lenses, masks as mirrors
- safety against all particles and organic contaminations



# EUV mirrors and masks

## multilayer mirror

- 50 to 100 Mo/Si layers as diffusion barrier
- capping layer
- 2 nm planarity required
- 72% reflectivity  
(86% losses for 6 mirrors)
- coating by PVD, CVD, ALD  
**(most of them plasma assisted)**



## multilayer mask (also mirror)

- structured absorption layer made of Cr or TaN
- critical defects << 30 nm

## photo resist

- organic polymer – increase of solubility due to radiation
- challenge: length of polymer chains defines edges

# EUV lithography

## Plasma phenomena

- Absorption of EUV radiation in chamber volumes (photoionization in low pressure)
- Electron yield by EUV adsorption at solid surfaces
- Plasma generation in chamber volumes
- Ion bombarding of solid surfaces (mirrors, masks)
- Cracking of contaminations at the surfaces (EUV, electrons, ions)

## Who can plasma physics support?

- Plasma generation and sustainment in the volume
- Plasma impact on surfaces
- Behaviour of contaminations in the plasma
- Reduction of contaminations by the plasma

# Plasma in the EUV chamber

## Chamber

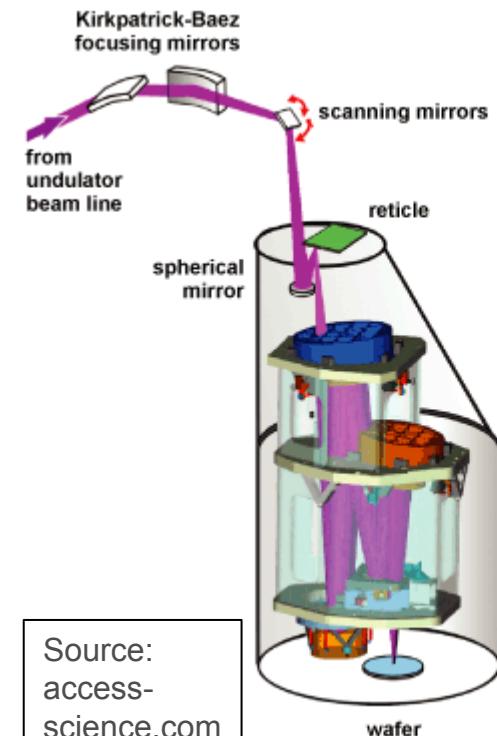
- typically large dimension (view m)
- low pressure (view Pa)

Problem of any contaminations  
on the surfaces (mirrors, mask, resist)

self-cleaning of the chamber

- use of hydrogen filling
- small overpressure

Source: see e.g. US Patent 20110216298

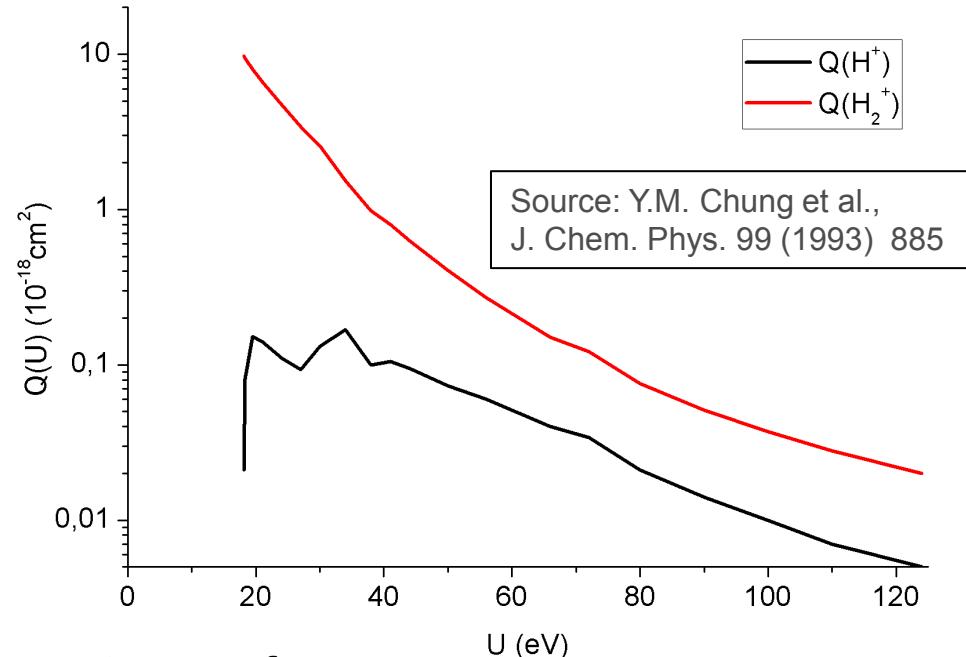


Source:  
access-  
science.com

# Plasma in the EUV chamber

## Plasma generation in the chamber

1. electron yield by photo-ionisation of the filling gas (e.g. containing hydrogen)



2. electron yield by EUV impact on surfaces
  - EUV photon generates up to 4 electrons in the solid
  - view % of them leave the solid
  - electron energy nearly 85 eV (EUV – work function)

# Plasma in the EUV chamber

## Plasma sustainment in the chamber

- ionisation of filling gas by electron impact
- space-charge confinement

## Importance of the plasma in the chamber

- generation of fast ions – potential degradation of surfaces ☹
- generation of H atoms – use for self-cleaning of the chamber ☺

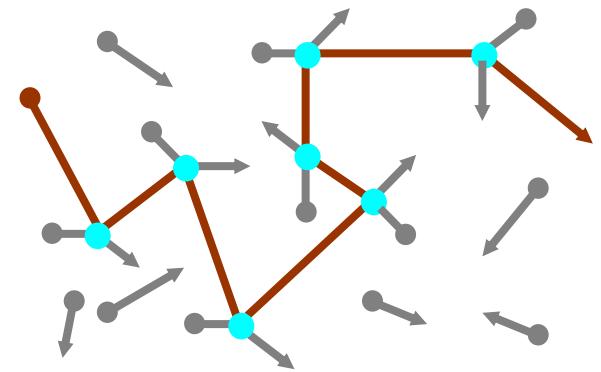
► *Estimation of plasma state and ion fluxes on the surfaces required*

### 3. Excursus in plasma physics

# Introduction

## Processes in a plasma

- conduction and Ohmic heating,
- flow, heat conduction and convection,
- radiation and plasma-chemical reactions,
- energy- and material transfer to walls



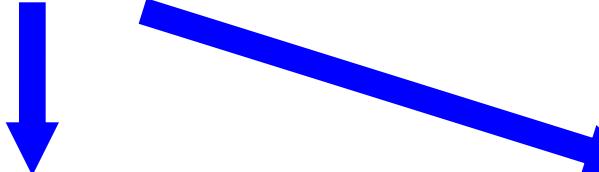
## Microscopic processes in a plasma

- acceleration of charge carriers in electric fields (electrons)
- collision processes:
  - momentum and energy transfer to neutrals
  - electronic excitation, ionisation, dissociation
- species with local density, mean drift velocity and mean kinetic energy (specific temperatures)

# Kinetic theory

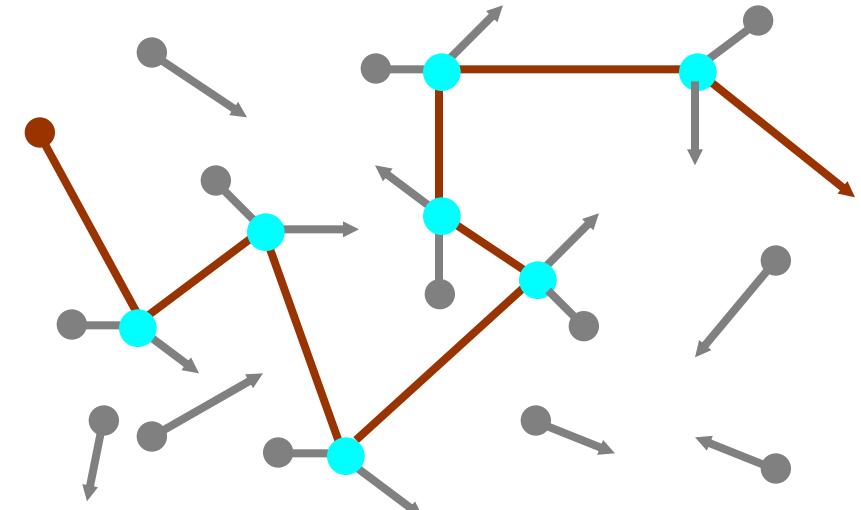
**ideal gas:**  
many particles  
moving rapidly  
and incidentally

particle trajectory  
can be divided into



collision-free  
sections —

- movement under the action of external fields



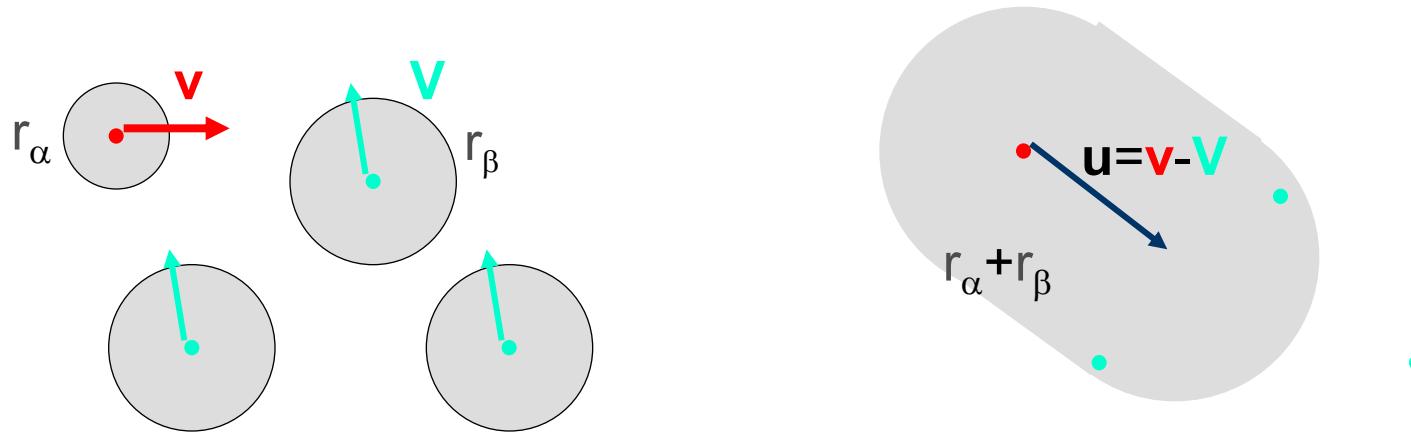
collision processes ●

- interaction radius  $\ll$  mean free path  $\ll$  vessel dimension
- collision time  $\approx 0$ , particle radius  $\approx 0$
- binary collisions only
- no influence of external field

# Particle interaction

## Binary collisions

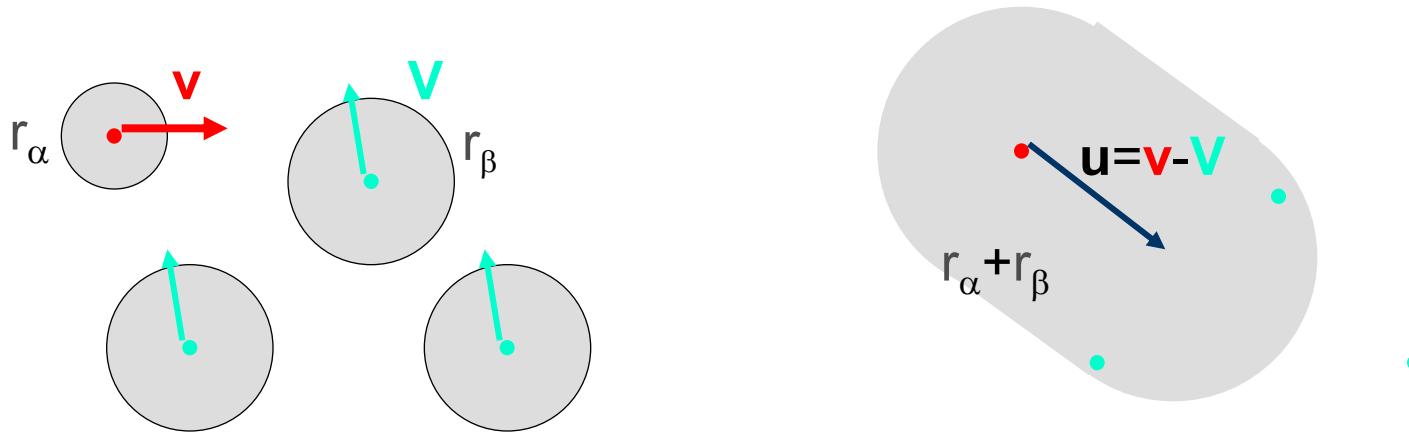
elementary treatment: particle  $\alpha$  and particle  $\beta$



# Particle interaction

## Binary collisions

elementary treatment: particle  $\alpha$  and particle  $\beta$



probability of a collision of particle  $\alpha$  with one particle  $\beta$  in time step  $\Delta t$ :

*density of species  $\beta$  x volume covered by particle  $\alpha$*

$$W_{\alpha\beta} = N_\beta \pi(r_\alpha + r_\beta)^2 |v - V| \Delta t$$

*collision cross section  $Q_{\alpha\beta}$  x distance covered by particle  $\alpha$*

collision frequency:  $\nu = N_\beta Q_{\alpha\beta} |v - V|$  mean free path  $\lambda = 1 / N_\beta Q_{\alpha\beta}$

# Particle interaction

## Energy and momentum conservation

*Example: collision of an electron ( $m_e$ ,  $v_e$ ) and an atom ( $m_a \gg m_e$ ,  $v_a \ll v_e$ )*

- elastic collision

$$m_e \vec{v}_e + m_a \vec{v}_a = m_e \vec{v}'_e + m_a \vec{v}'_a$$

$$\frac{m_e}{2} v_e^2 + \frac{m_a}{2} v_a^2 = \frac{m_e}{2} v_e'^2 + \frac{m_a}{2} v_a'^2$$

- exciting collision

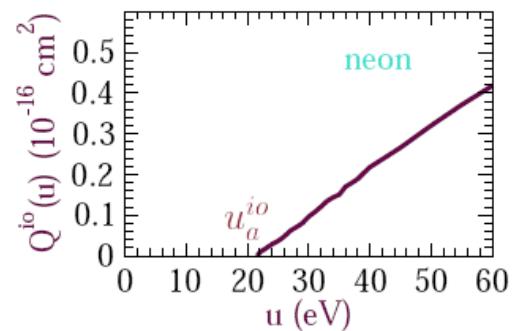
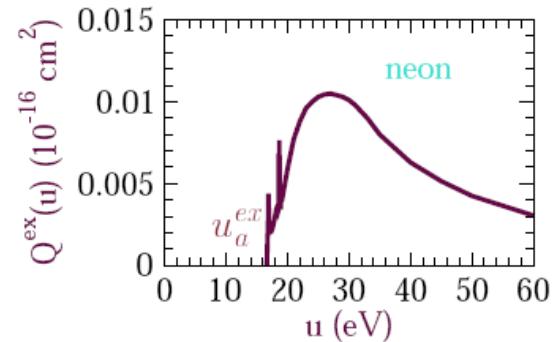
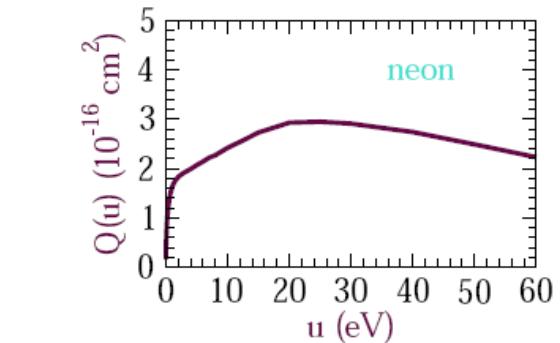
$$m_e \vec{v}_e + m_a \vec{v}_a = m_e \vec{v}'_e + m_a \vec{v}'_a$$

$$\frac{m_e}{2} v_e^2 + \frac{m_a}{2} v_a^2 = \frac{m_e}{2} v_e'^2 + \frac{m_a}{2} v_a'^2 + u_a^{ex}$$

- ionizing collision

$$m_e \vec{v}_e + m_a \vec{v}_a = m_e (\vec{v}'_e + \vec{v}''_e) + m_a \vec{v}'_a$$

$$\frac{m_e}{2} v_e^2 + \frac{m_a}{2} v_a^2 = \frac{m_e}{2} (v_e'^2 + v_e''^2) + \frac{m_a}{2} v_a'^2 + u_a^{io}$$



# Space charge potential

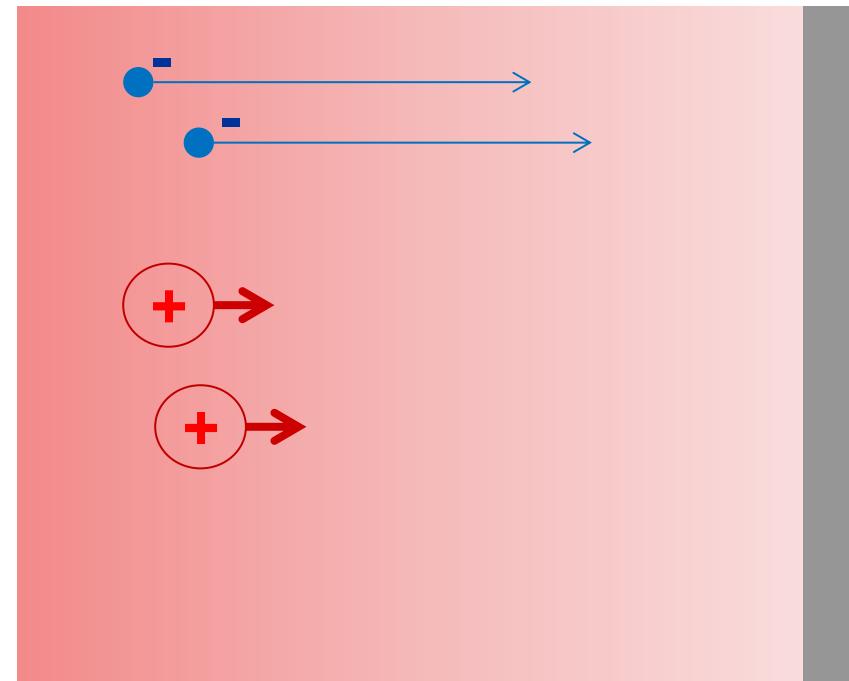
## Plasma in front of isolated walls

- pairwise generation of electrons and positive ions
- almost no volume recombination at low pressure
- effective recombination at the wall surface
- movement of electrons and ions towards the walls

$$\begin{aligned} n_e &\approx N_i \ll N \\ m_e &\ll M_i \\ T_e &\gg T_i \\ v_e &\gg v_i \end{aligned}$$

diffusion:

$$\begin{aligned} D_e &= \frac{1}{3} v_e \lambda_e = \frac{1}{NQ_e} \sqrt{\frac{kT_e}{3m_e}} \\ D_i &= \frac{1}{3} v_i \lambda_i = \frac{1}{NQ_i} \sqrt{\frac{kT_i}{3M_i}} \ll D_e \\ j_e^D &= -D_e \nabla n_e \gg j_i^D = -D_i \nabla N_i \end{aligned}$$



# Space charge potential

## Plasma in front of isolated walls

- accumulation of positive charge in the volume
- negative charge at the wall

## Poisson equation

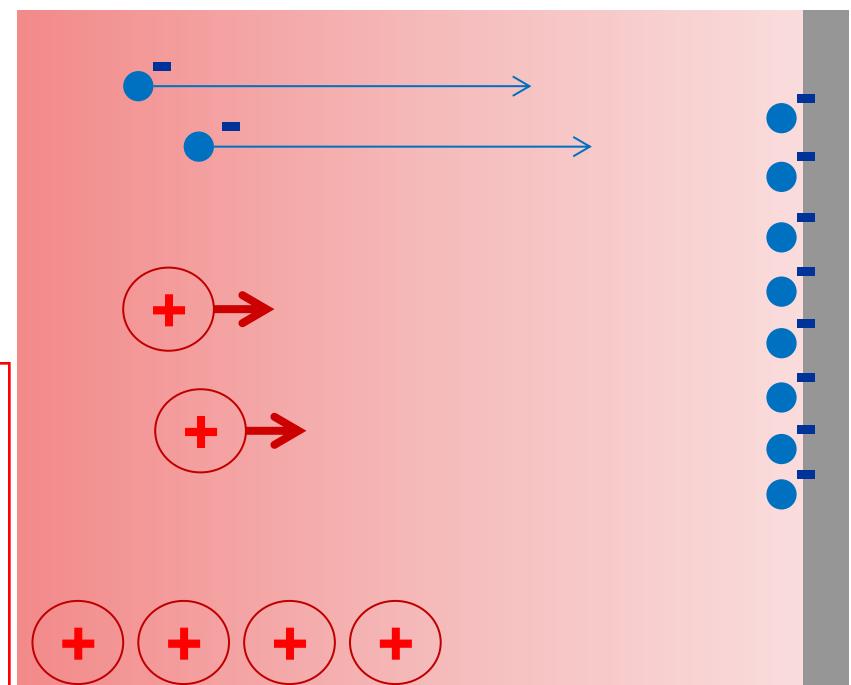
$$\Delta V = -\frac{e_0}{\epsilon_0} (N_i - n_e)$$

## drift in the electric field

$$b_e = \frac{e_0}{m_e} \frac{\lambda_e}{v_e} = \frac{1}{NQ_e} \sqrt{\frac{1}{3kT_e m_e}}$$

$$b_i = \frac{-e_0}{M_i} \frac{\lambda_i}{v_i} = \frac{1}{NQ_i} \sqrt{\frac{1}{3kT_i M_i}} \ll -b_e$$

$$j_e^E = n_e b_e E \gg j_i^E = N_i b_i E$$



# Space charge potential

## Plasma in front of isolated walls

steady-state condition:

- equal volume production and loss at the wall
- charge carrier fluxes must be equal

ambipolar diffusion

$$j_e = j_i$$

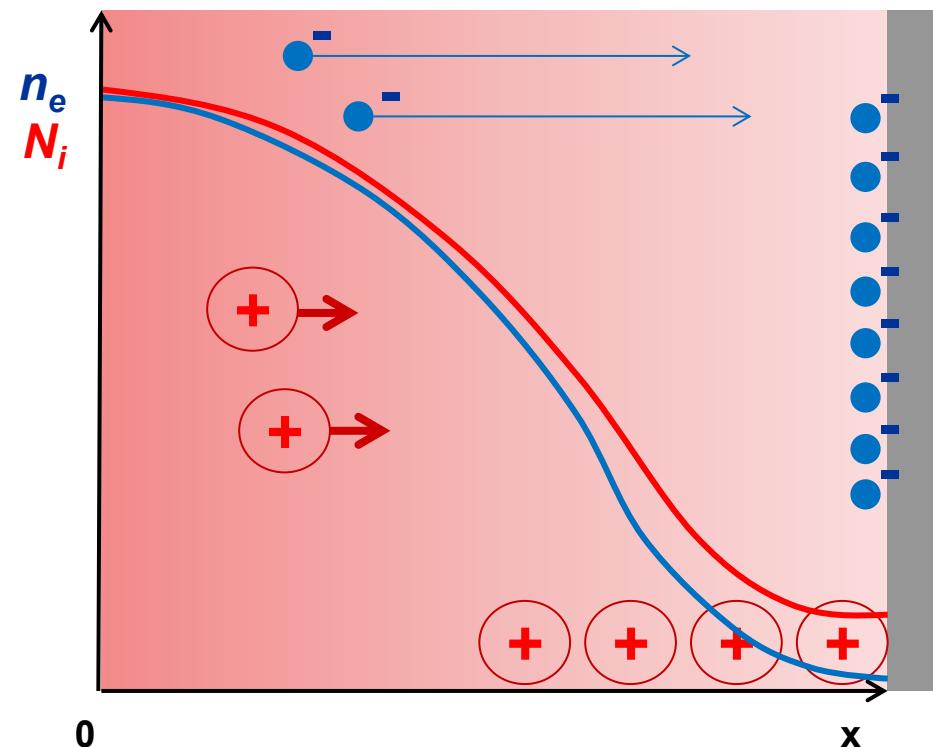
$$j_e^D + j_e^E = j_i^D + j_i^E$$

ambipolar potential

$$n_e \approx \frac{1}{E} \frac{D_e}{b_e} \frac{dn_e}{dx}$$

$$n_e \approx n_{e0} \exp\left(-\frac{b_e}{D_e} V\right)$$

$$j_e = j_i \approx \frac{b_i D_e}{b_e} \frac{dn_e}{dx}$$



# Space charge potential

## Plasma in front of isolated walls

steady-state condition:

- equal volume production and loss at the wall
- charge carrier fluxes must be equal

ambipolar diffusion

$$j_e = j_i$$

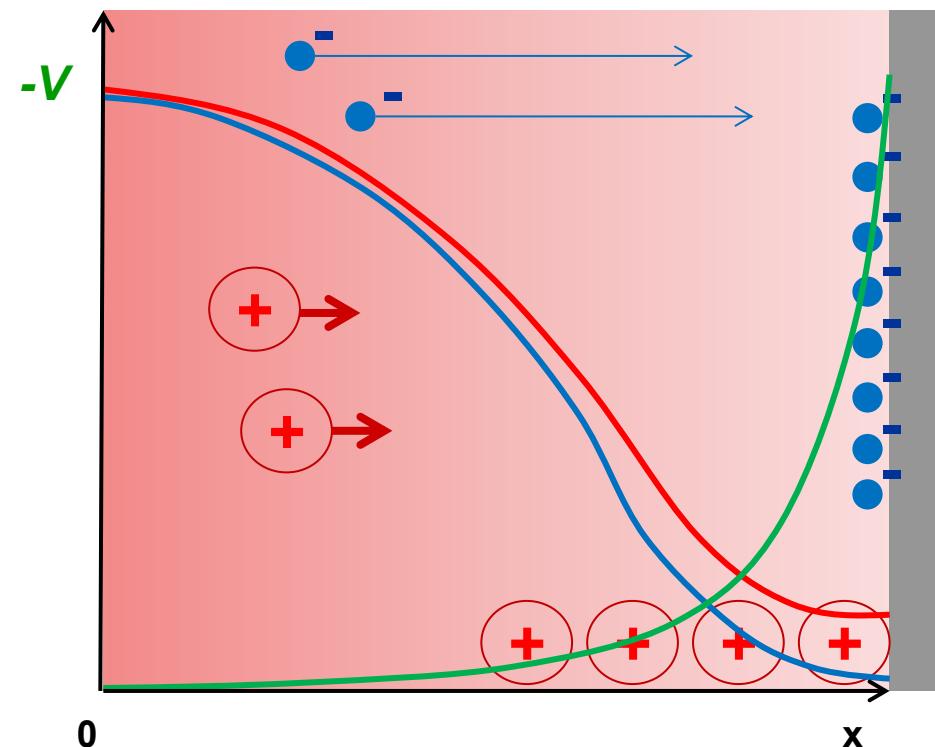
$$j_e^D + j_e^E = j_i^D + j_i^E$$

ambipolar potential

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$$j_e = j_i \approx \frac{b_i D_e}{b_e} \frac{dn_e}{dx}$$



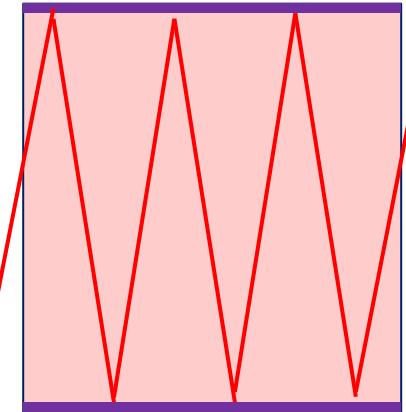
## 4. Conclusions for the plasma generation in chambers for EUV lithography

# Plasma in the EUV chamber

**How can the plasma generation be estimated?**

## Assumptions

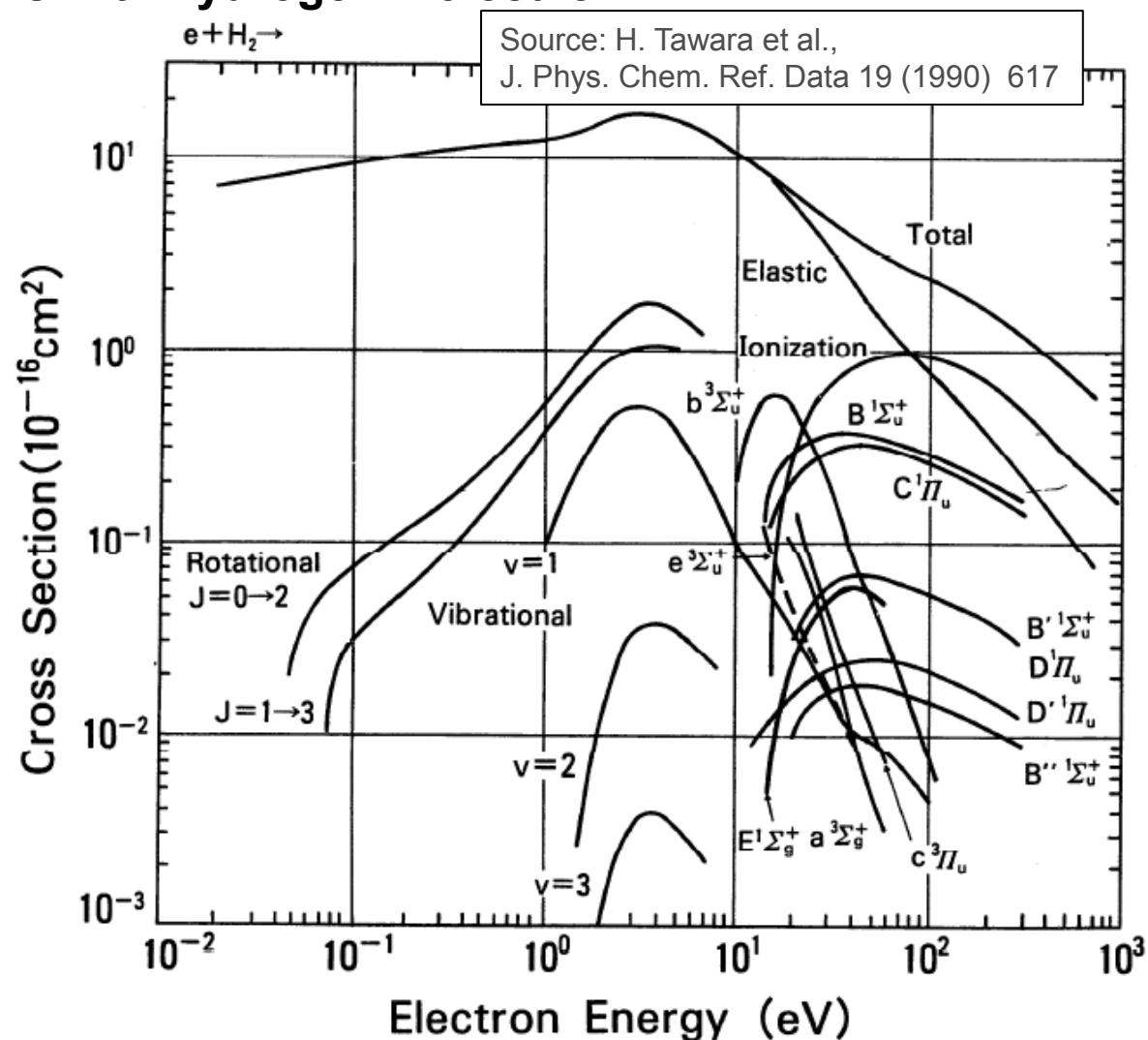
1. chamber dimension  $\sim 1$  m,
2. walls  $\sim$  view  $m^2$  mirrors
3. filling gas:  $H_2$  at low pressure  
( $\sim$  view Pa,  $N \sim 10^{15} \text{ cm}^{-3}$ )
4. EUV radiation  $P_{EUV}$ :  $\sim 1$  kW  
( $\sim 10^{16}$  photons/s)
5. view reflections at mirrors with 30% losses each
6. electron yield  $\gamma$  per photon at the surface  
( $\sim 10^{15} \dots 10^{16}$  electrons/s, each with  $\sim 85$  eV)
7. photo-ionisation due to EUV radiation (cross section)
8. plasma generation by electron collisions (cross sections)
9. plasma sustainment by space-charge confinement  
(very similar to low-pressure plasma process reactors)
10. impact of hydrogen ions on surfaces  
after acceleration in the space charge field



# Hydrogen plasma

## Electron collisions with hydrogen molecule

- momentum transfer
- rotational excitation
- vibrational excitation
- electronic excitation
- dissociation
- ionisation

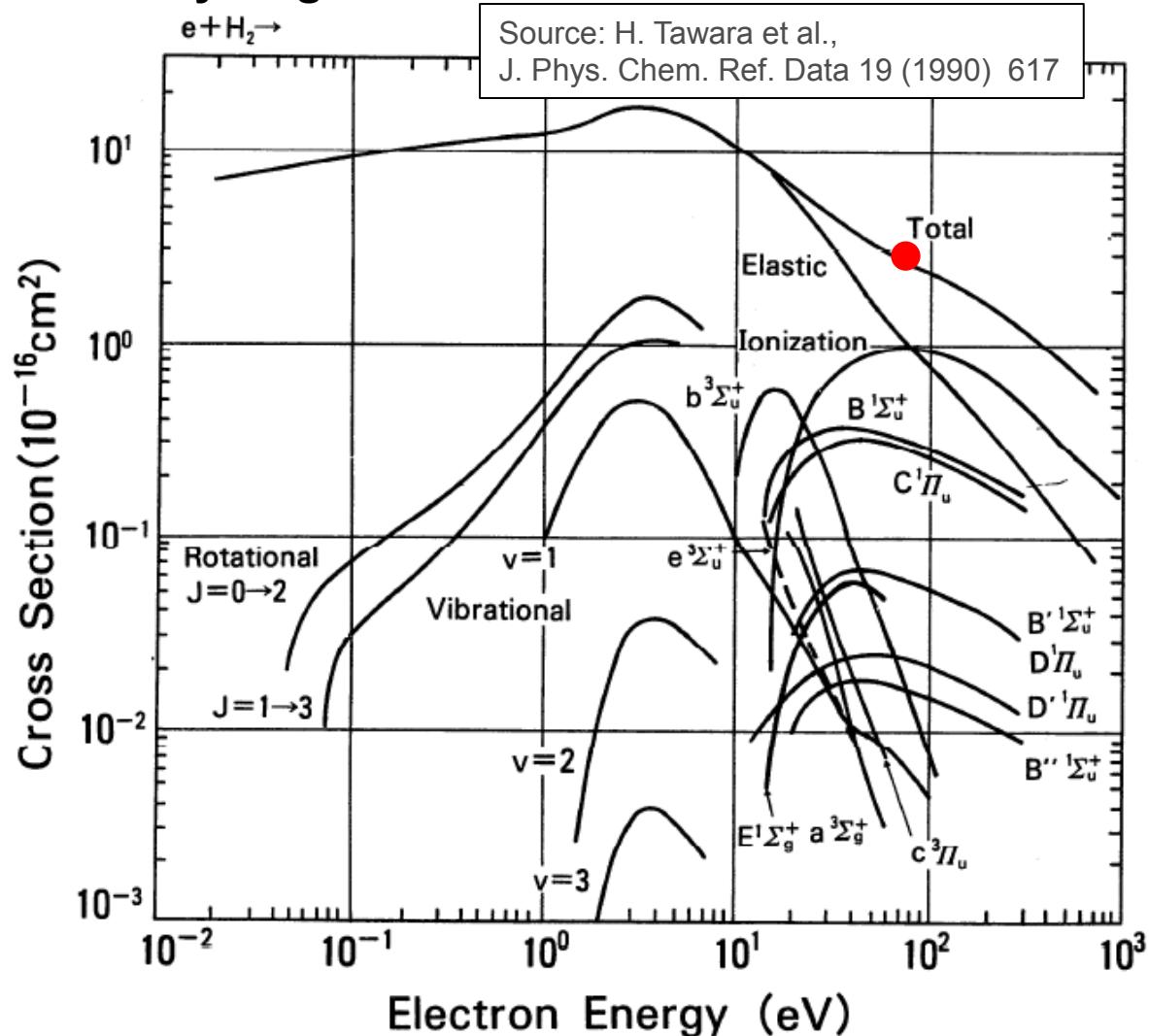


# Hydrogen plasma

## Electron collisions with hydrogen molecule

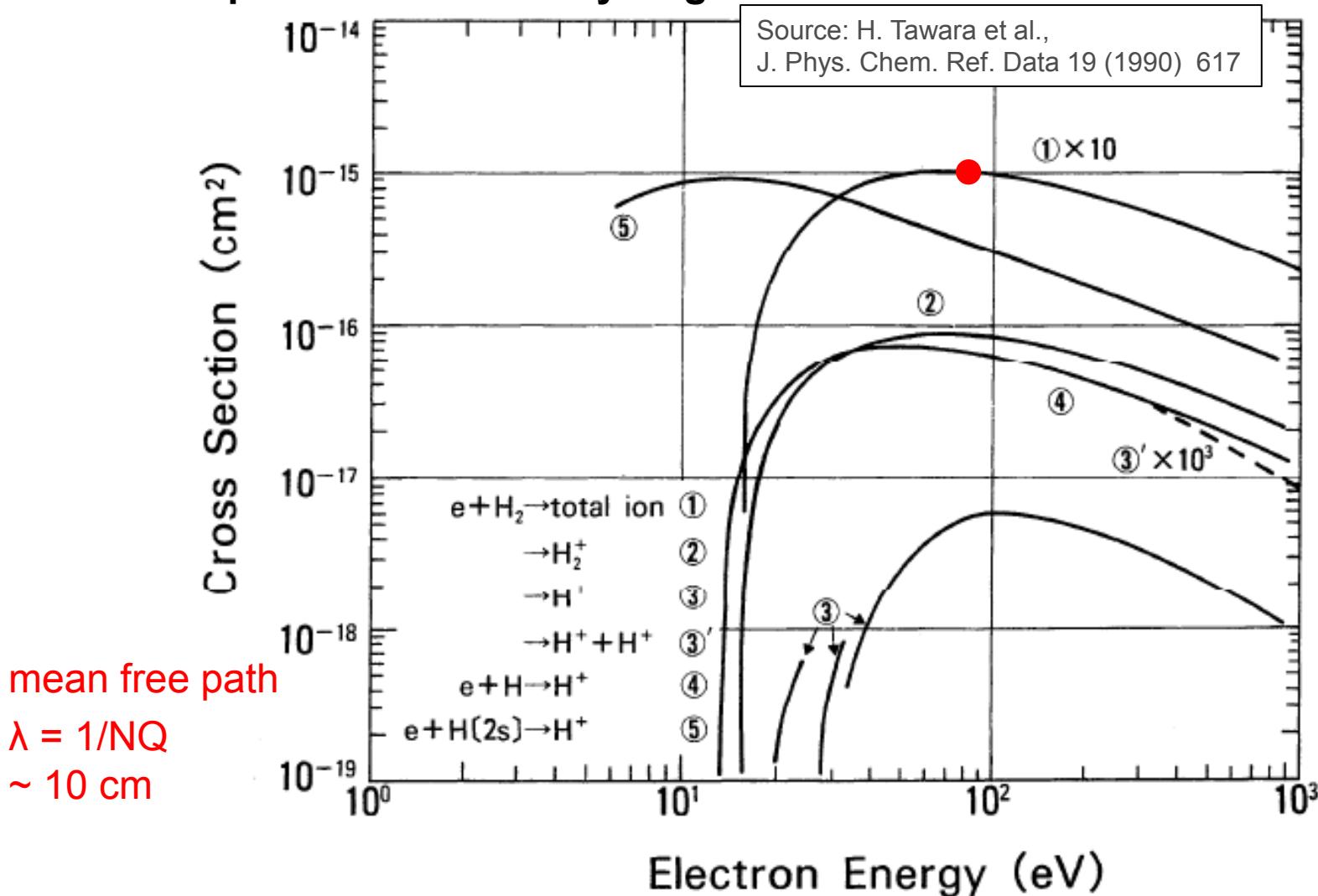
- momentum transfer
- rotational excitation
- vibrational excitation
- electronic excitation
- dissociation
- ionisation

mean free path  
 $\lambda = 1/NQ \sim 3 \text{ cm}$



# Hydrogen plasma

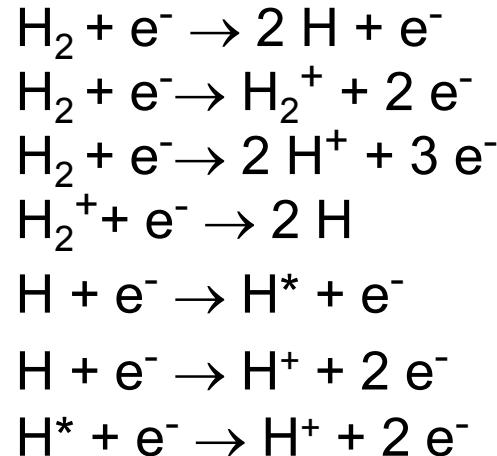
## Electron impact ionisation of hydrogen



# Hydrogen plasma

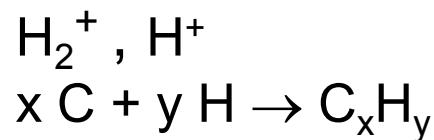
## Plasma chemistry in hydrogen

- molecule dissociation
- molecule ionisation
- molecule ionisation
- attachment
- excitation
- atom ionisation
- atom ionisation



## surface impact:

- ion bombardment
- reduction of carbon layers



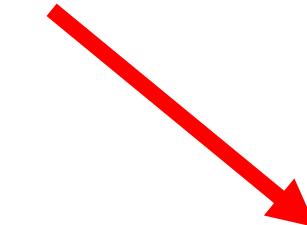
# Plasma in the EUV chamber

**How can the plasma generation be estimated?**



## **Power budget estimation**

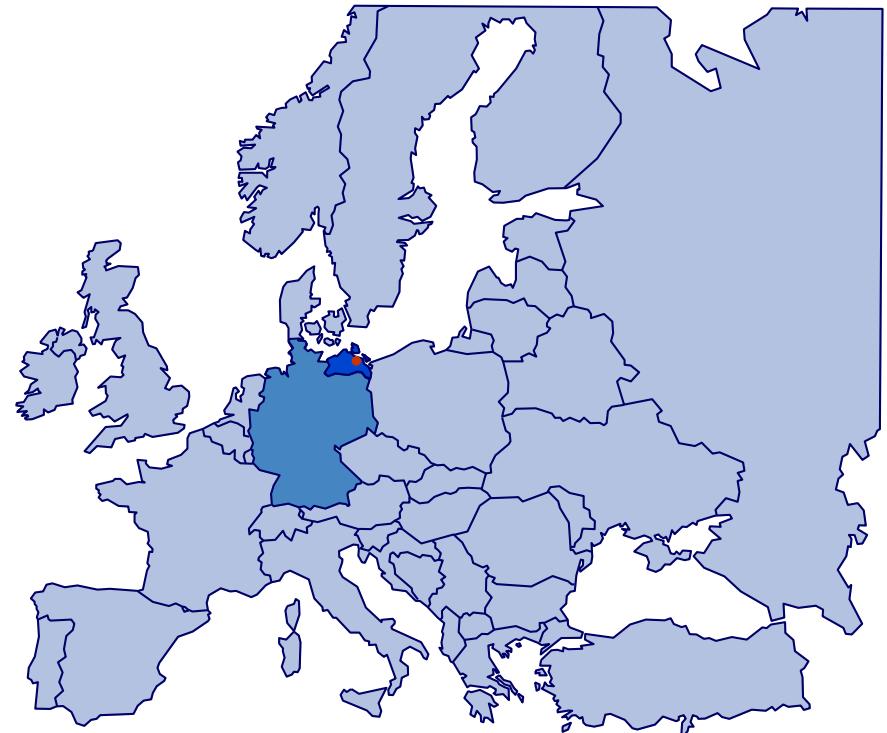
- EUV absorption and fast electron yield
- space-charge confinement
- estimation of ion densities according to ionisation thresholds and power budget
- ion fluxes to the walls according to the volume ionisation rate and acceleration in the space charge field



## **Plasma simulation**

- non-local electron kinetic equation (at least 1D)
- collisions, balance equations of excited and ionized states
- space-charge field
- ion fluxes to the walls from balance equations

Thank you for the attention !



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