

# **Materials Modeling from First-principles Electronic Structure Calculations**

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## Research Activity in the group

- First principles study of complex crystalline solids.
- Electronic structure of strongly correlated systems.
- First-principle calculation of electronic structure and phase stability of alloys.
- Electronic structure and quantum transmittance through nano-materials.

*Funding: Dept of Sc. & Tech, India, Board of Res on Nuclear Sc., Indo-Dutch, Indo-Russian, Indo-Austrian*

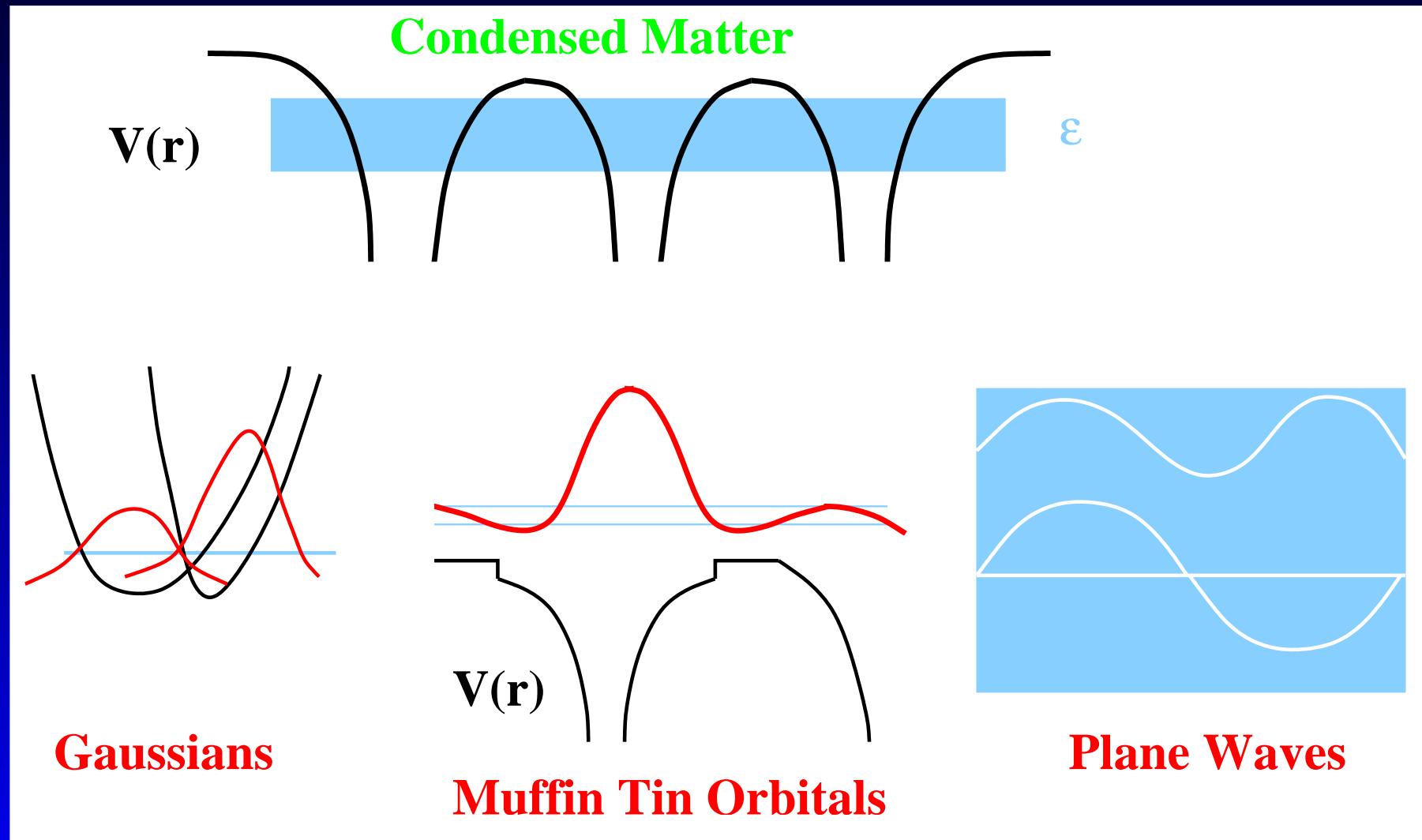
# Motivation

- Novel materials are key to new technologies.
- Materials-specific theory, specially computational theory are being used for microscopic understanding.
- System sizes are often large, & materials are often complex and complicated in their behavior.
- Modeling is playing an ever increasing role in search for new materials.
- The chemistry controls the physical (electrical, magnetic, optical) properties.

*At the root of all are the Electrons!*

# Motivation

## Ab initio Electronic Structure Calculations



# Motivation

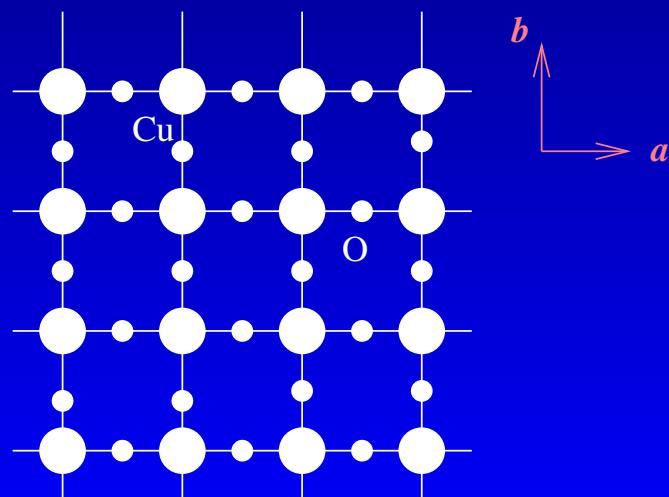
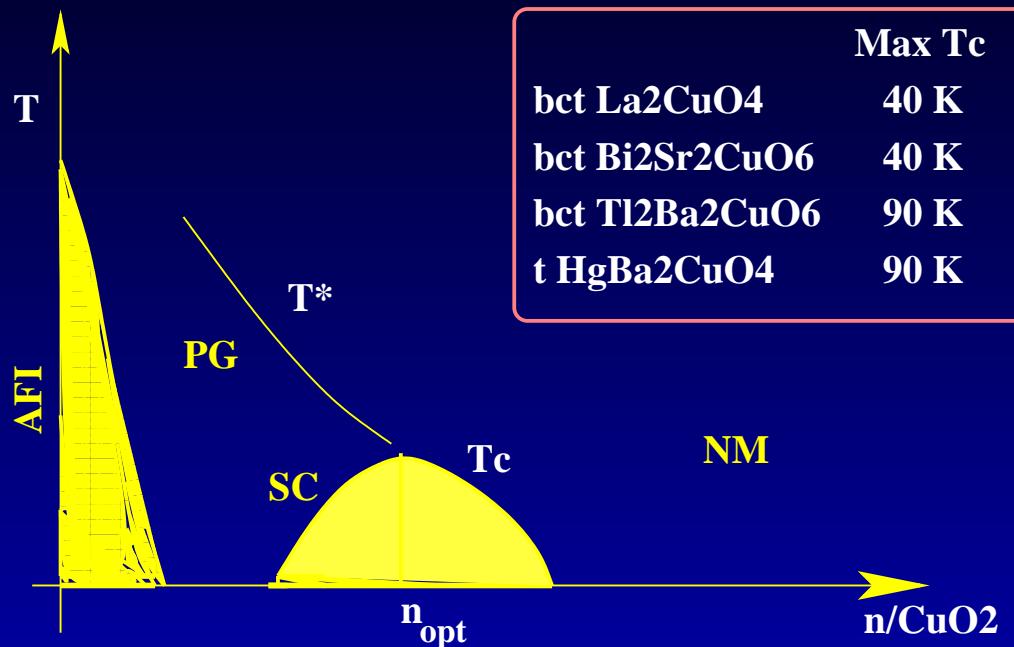
Our goal is to find a generally applicable **electronic structure method**, which is *intelligible, fast and accurate.*



## Muffin-Tin Orbitals of N-th order (NMTO)

- Provides an useful way of deriving the few-band Hamiltonians starting from complicated LDA band-structure.
- NMTO's being *energy-selective* by nature, produce *truly minimal basis sets*  $\Rightarrow$  the Wannier-like functions
- Adds *Chemical Reality* to Physicists Models

# HTSC

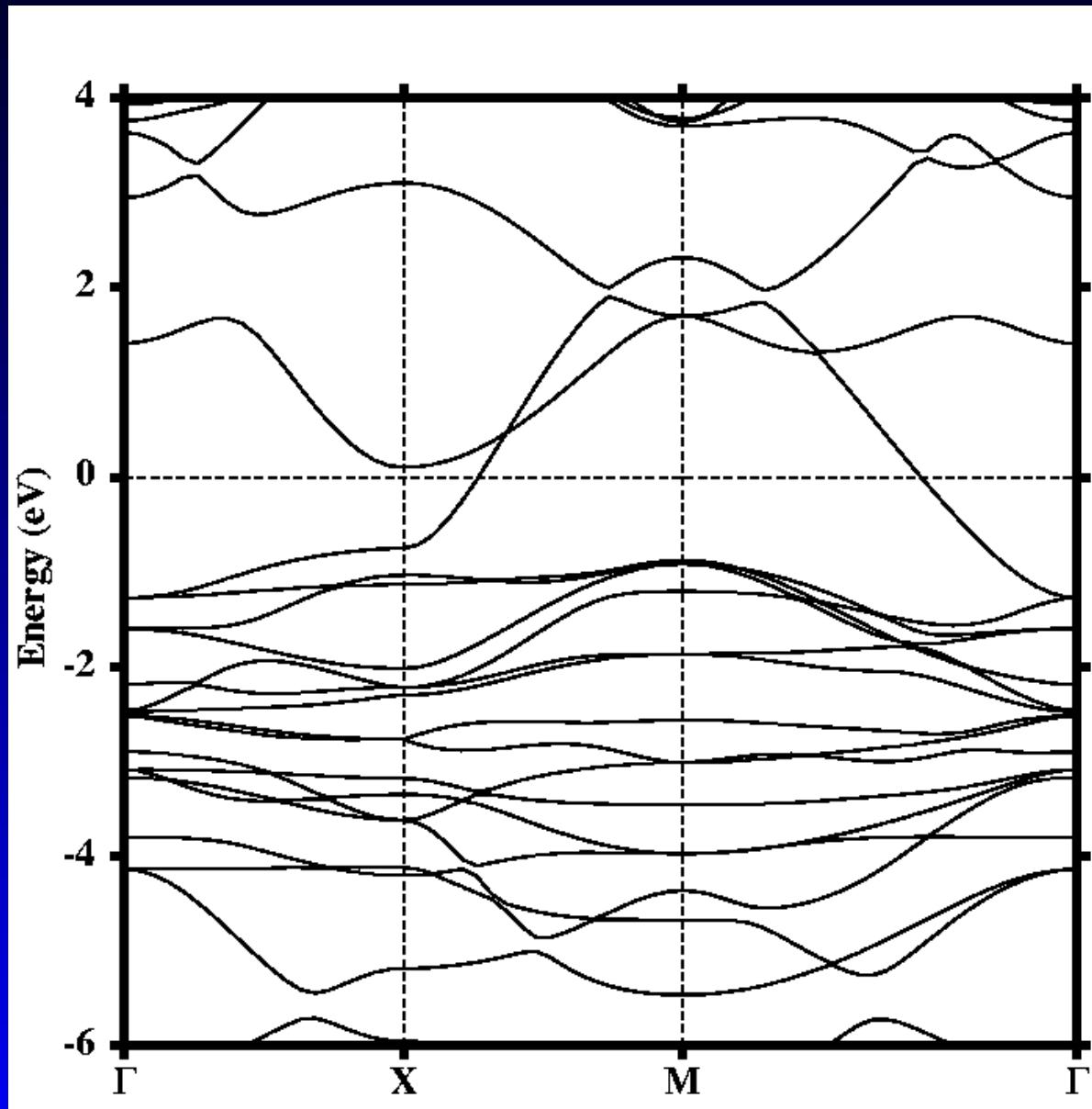


**Material dependence** →  
**Electronic structure**  
**Hopping integrals** →  

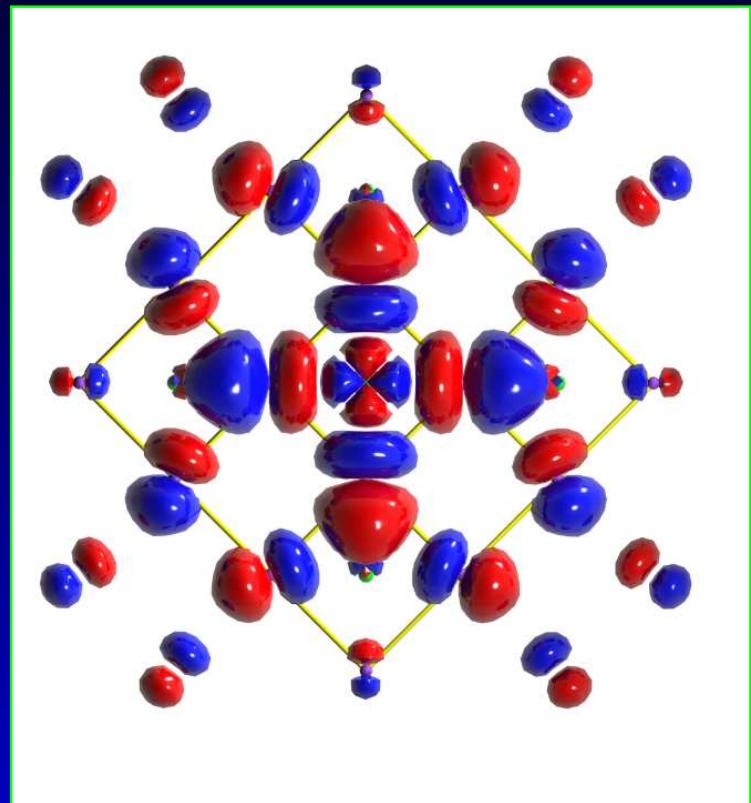
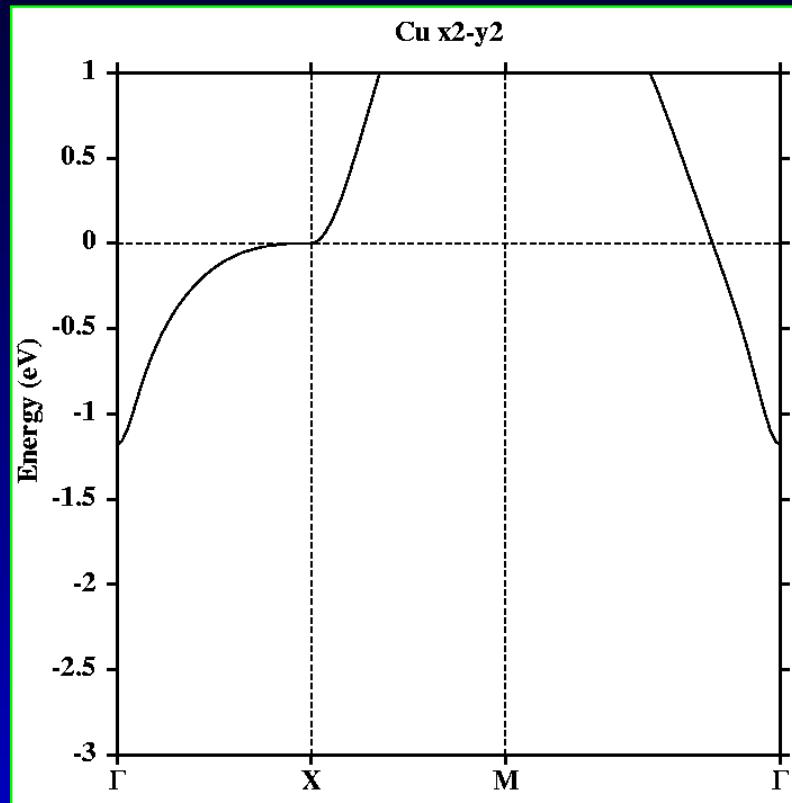
$$H_0 = \sum t_{ij} c_i^\dagger c_j$$
  
**Model Hamiltonian** →  

$$H = H_0 + \text{Correln.} + \dots$$

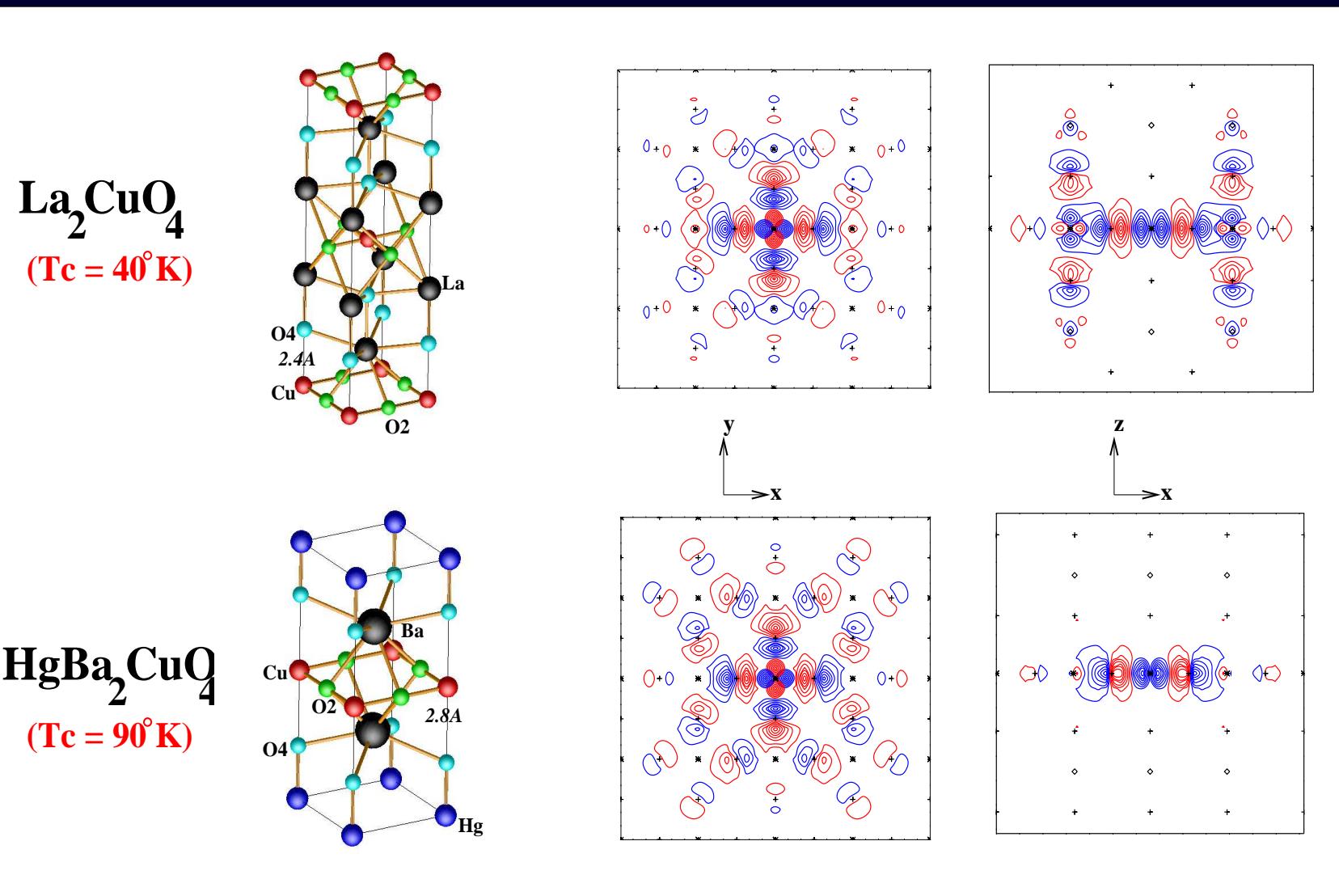
# HTSC Bands



# NMTO downfolding



# NMTO Wannier-like functions

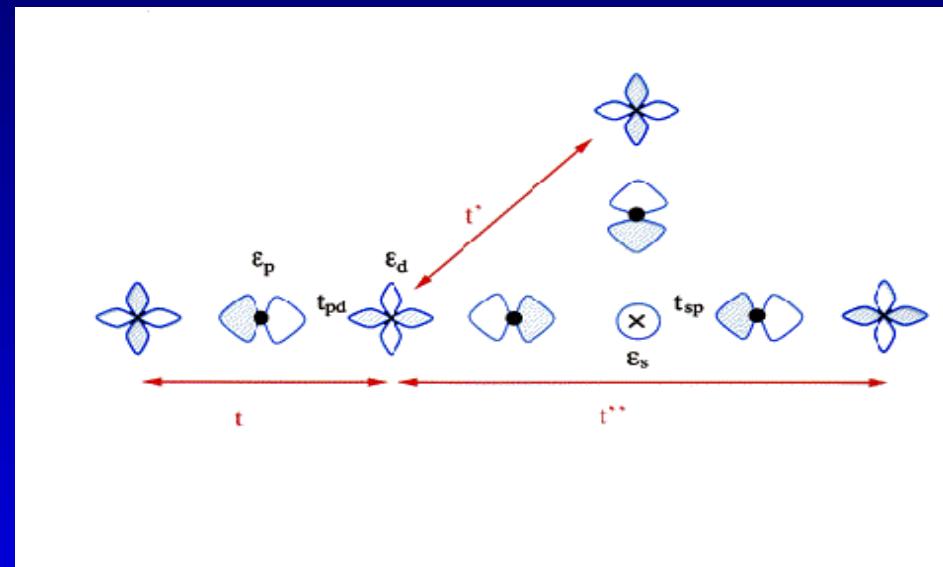


# Cu d<sub>x<sup>2</sup>-y<sup>2</sup></sub> only model

$$\begin{aligned}\epsilon(k) = & -2t(\cos k_x + \cos k_y) + 4t' \cos k_x \cos k_y \\ & -2t''(\cos 2k_x + \cos 2k_y) + \dots\end{aligned}$$

# Cu d<sub>x<sup>2</sup>-y<sup>2</sup></sub> only model

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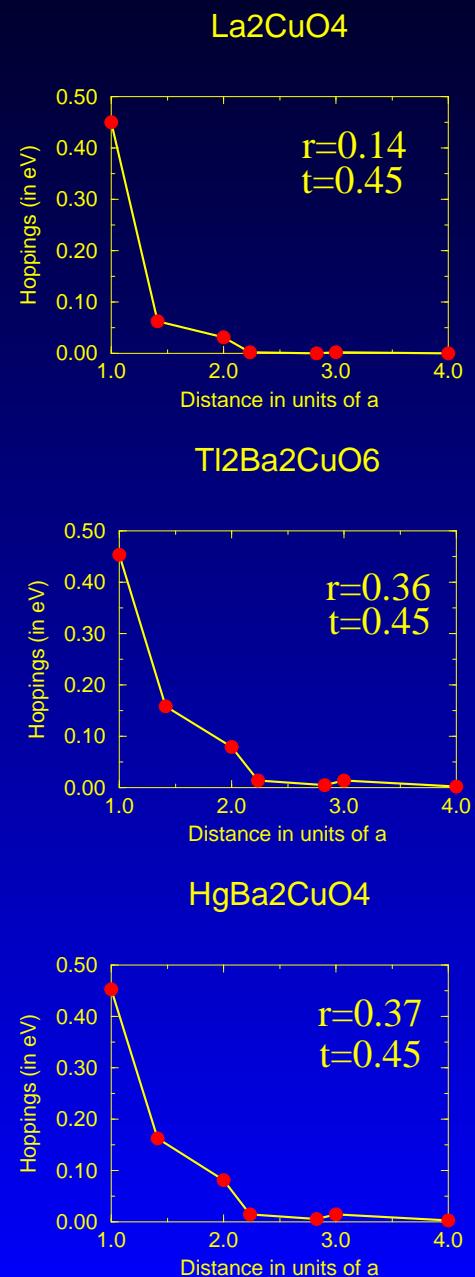
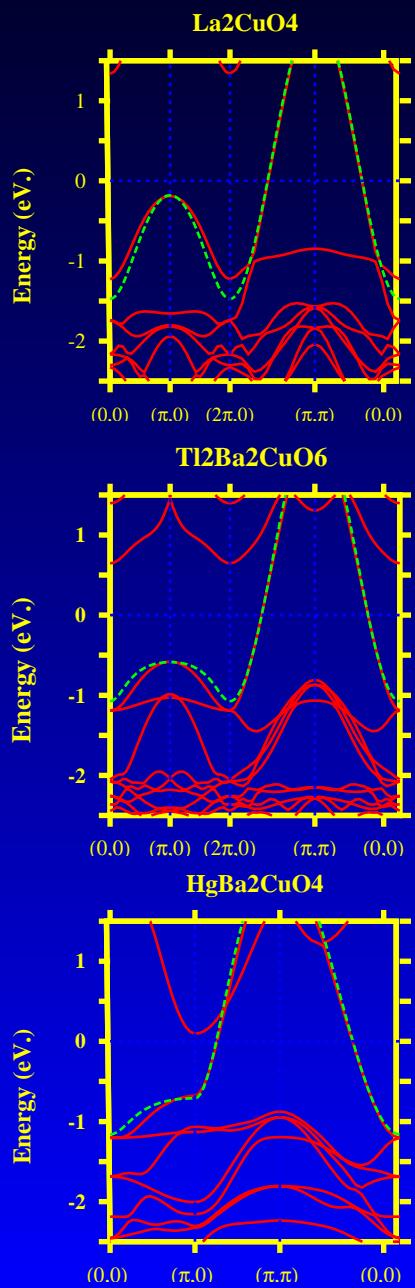


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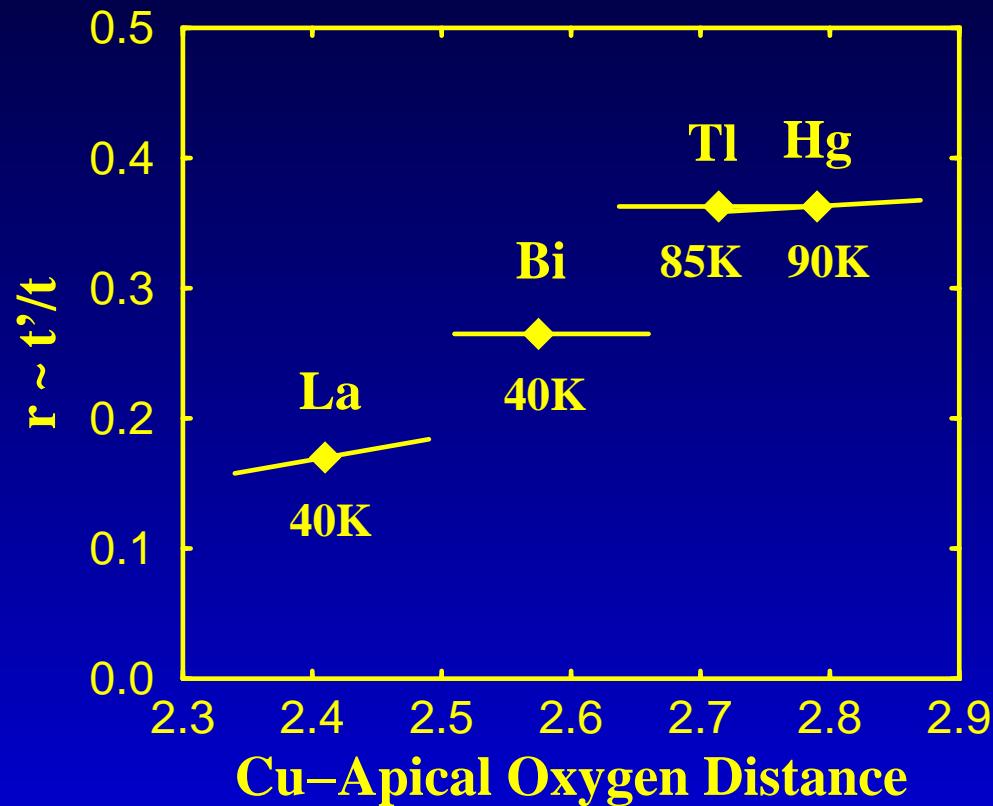
with  $t'/t = r + o(r)$  [range parameter]

$$t''/t' \approx 1/2$$



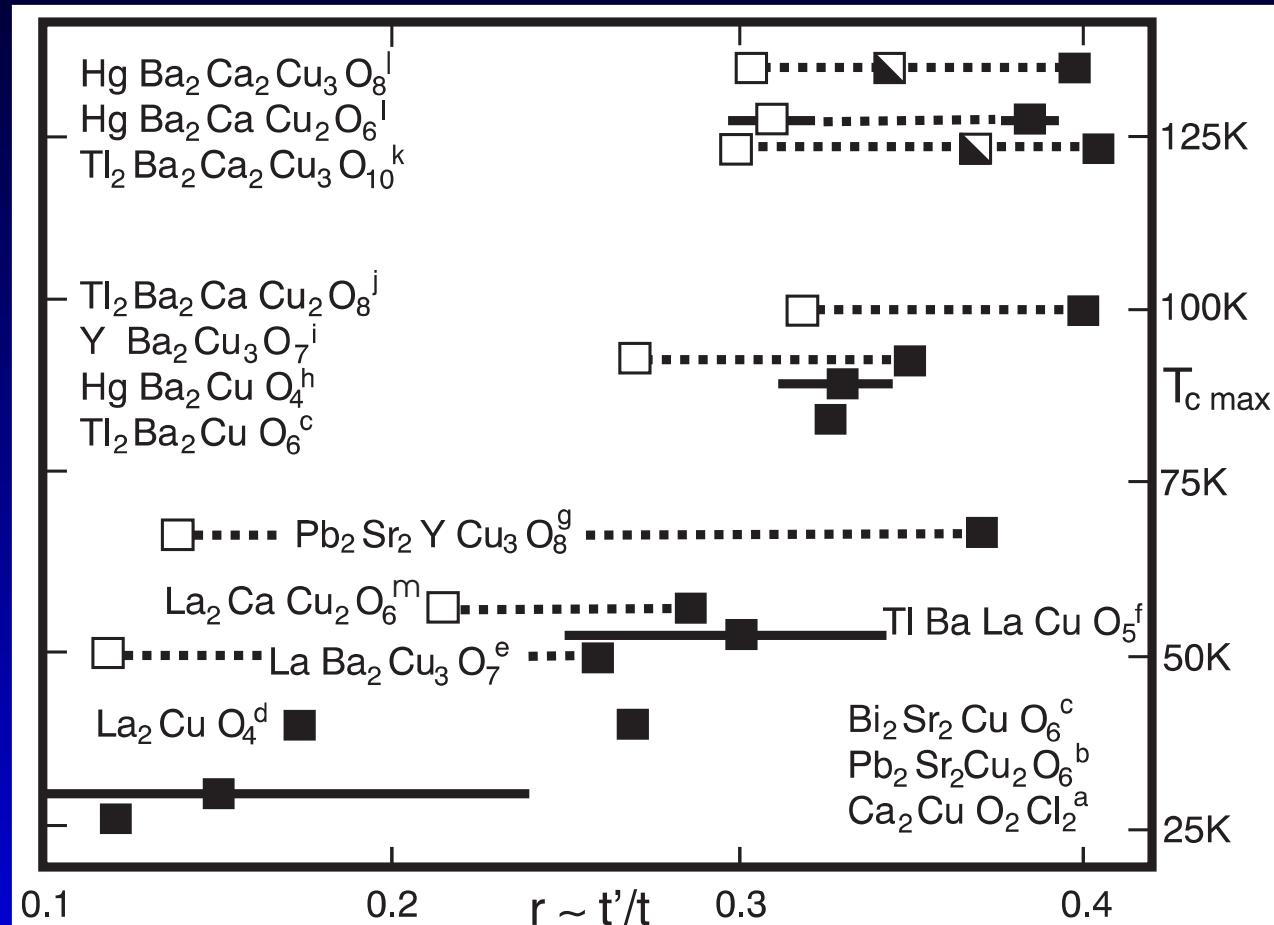
# Parameters of one-band model

single-layer materials



$r$  is controlled by the distance from Cu to apical O and the bonding of apical O to La, Bi, Tl or Hg

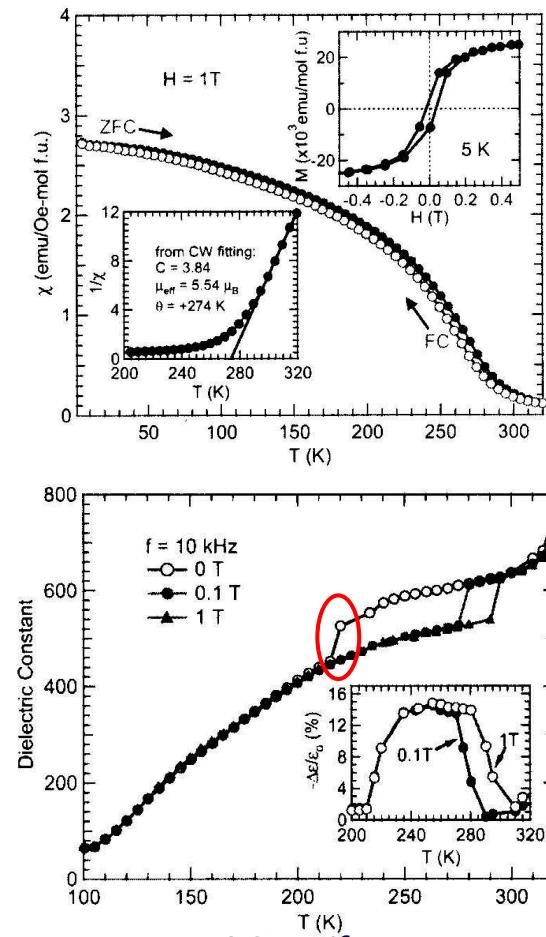
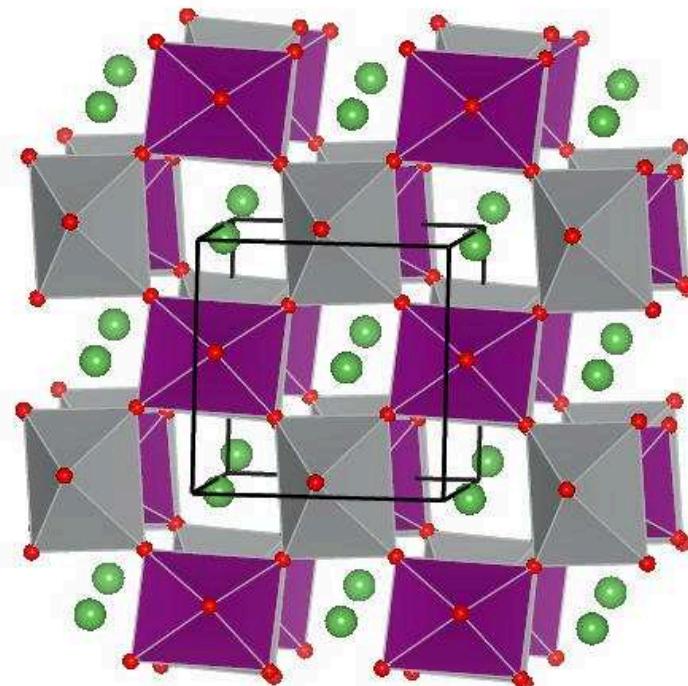
# Material dependence



PRL 87 047003; PRB 80, 014510; PRB 79, 134522; PRB 78, 035132

# $\text{La}_2\text{NiMnO}_6$

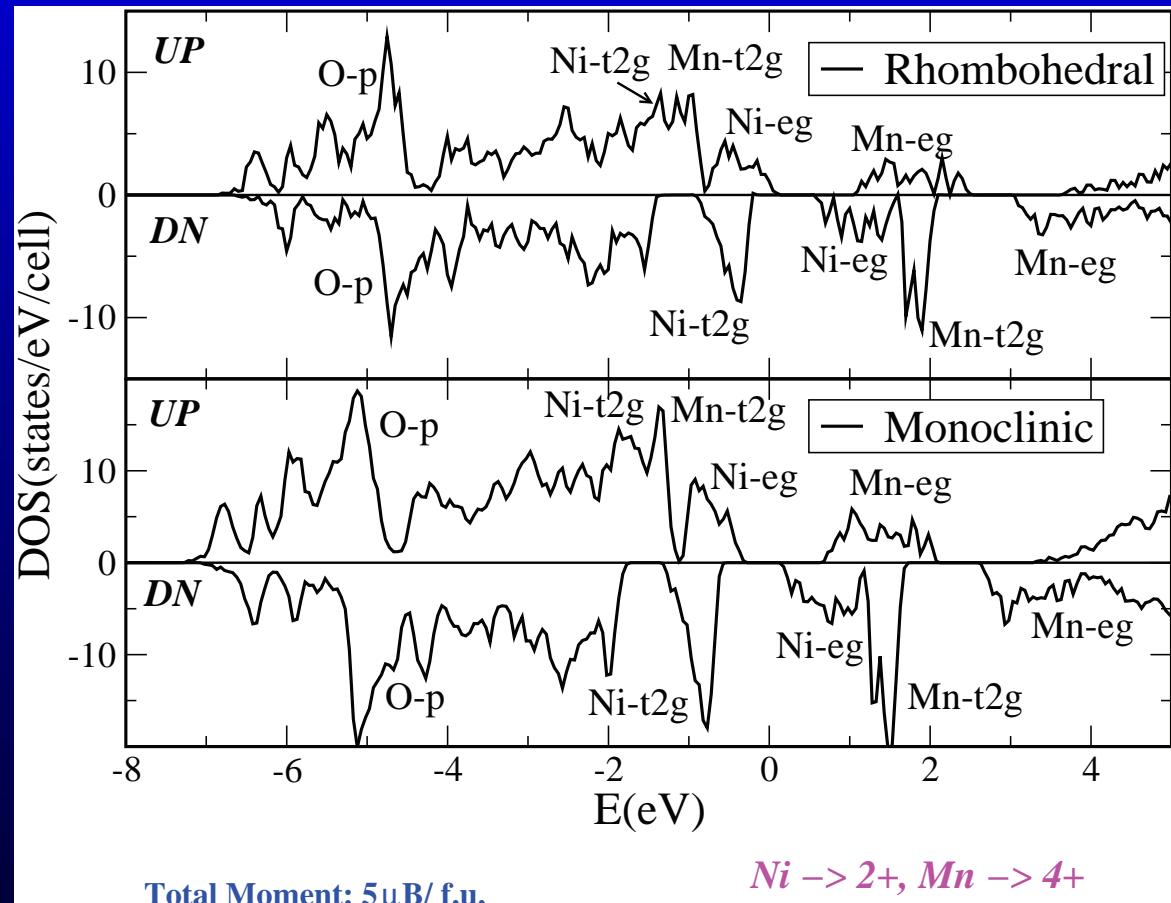
A FM insulating double-perovskite with magnetocapacitance at room temperature



FM  $T_c \sim 280 \text{ K}$ , Saturation Magnetization  $4.96 \mu_B/\text{f.u.}$

*Adv. Mater.* **17**, 2225 (2005)

# La<sub>2</sub>NiMnO<sub>6</sub> - GGA electronic structure

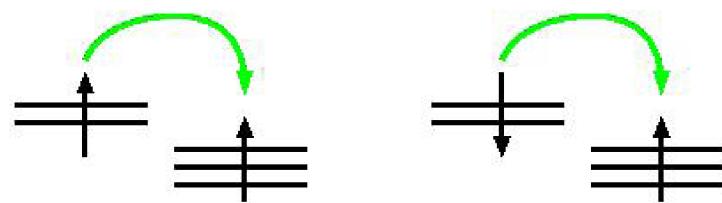


Moment at Ni:  $\sim 1.5\mu\text{B}$

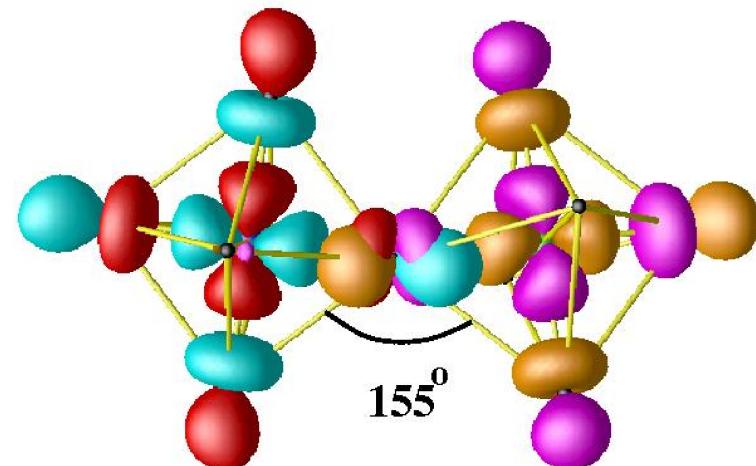
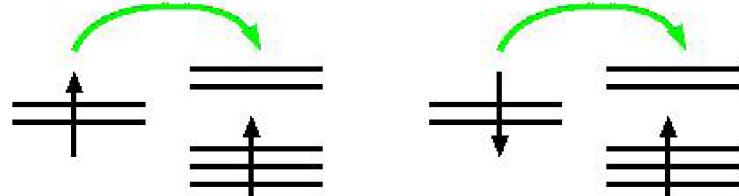
Moment at Mn:  $3\mu\text{B}$

## Magnetism: Extended Kugel-Khomski model

Ni eg – Mn t<sub>2g</sub> Superexchange



Ni eg – Mn eg Superexchange



$$t_{e,e} = 0.20 \text{ eV}$$

$$t_{e,t} = 0.02 \text{ eV}$$

$$\Delta_{e,e} = 1.90 \text{ eV}$$

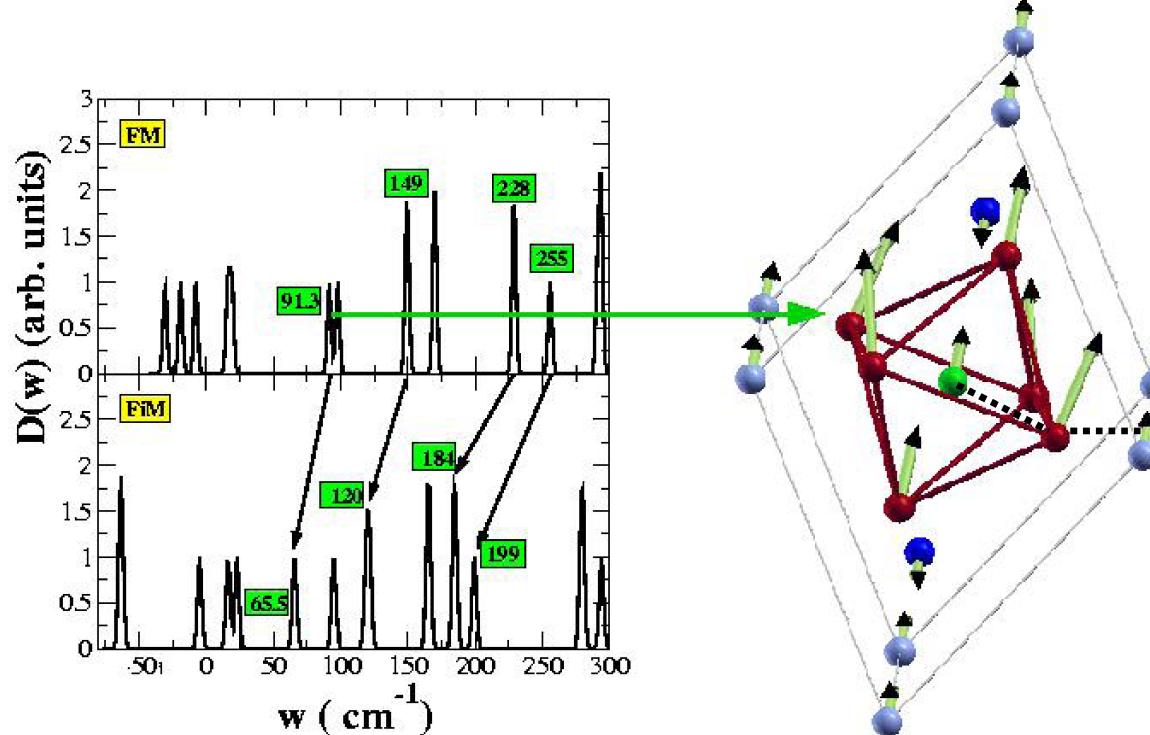
$$\Delta_{e,t} = 0.25 \text{ eV}$$

Sov. Phys. Ups. 25, 231 (1982)

$$J_{Ni-Mn} = 4 \frac{\sum_{m,m'} (t_{e^m, t^{m'}})^2}{(U + \Delta_{e,t})} - 4 \frac{\sum_{m,m'} (t_{e^m, e^{m'}})^2 J_H}{(U + \Delta_{e,e} - J_H)(U + \Delta_{e,e})}$$

**$J_{Ni-Mn} \approx 4-7 \text{ meV}$**   
**( $U \approx 4-5 \text{ eV}$ ,  $J_H = 0.9 \text{ eV}$ )**

## Analysis of $\Gamma$ -Point Phonons and calculated dielectric constant



- ❖ Presence of soft IR-active phonon modes exhibiting strong coupling with spin.
- ❖ The lowest energy IR-active mode soften in FiM phase, in which atomic displacements make the Ni-O-Mn angles closer to  $180^\circ$ , indication of exchange striction.

## Calculated Dielectric Constants

Static Dielectric Response :  $\epsilon_0 = \epsilon_\infty + \epsilon_{ph} = \epsilon_\infty + \sum_m \frac{\Sigma_{p,m}}{\omega_m^2}$

$\epsilon_\infty \rightarrow$  Electronic Dielectric Constant : 22 (FM) ; 20 (FiM)

$\epsilon_{ph} \rightarrow$  Sum of contribution from each IR-active phonon.

$\Sigma_{p,m}$  : Effective plasma frequency, calculated from Born effective charge tensor and the eigendisplacements of the phonons.

$\omega_m$  : Phonon frequency.

**Calculated values :  $\epsilon_0 \rightarrow 119$  (FM)**

**221 (FiM)**

**Dominated by the softest IR-active mode : 77 (FM)**  
**185(FiM)**

# Strongly correlated electrons

LDA

+Materials-specific

-Fails for strong correln.

Model Approaches

- Input parameters unknown

+ Account for correln. effects

→ Improve the description starting from LDA, by combining ab-initio calculations with many-body methods.

# Effective Models

- Hubbard Hamiltonian

$$H_{Hu} = - \sum_{\langle i,j \rangle, \sigma} t_{ij} [\hat{c}_{i,\sigma}^\dagger \hat{c}_{j,\sigma} + \hat{c}_{j,\sigma}^\dagger \hat{c}_{i,\sigma}] + U \sum_i \hat{n}_{i,\uparrow} \hat{n}_{i,\downarrow}$$

- t-J Hamiltonian     $U \gg t$      $J \approx t^2/U$

$$H_{t-J} = - \sum_{\langle i,j \rangle, \sigma} t_{ij} [\hat{c}_{i,\sigma}^\dagger \hat{c}_{j,\sigma} + \hat{c}_{j,\sigma}^\dagger \hat{c}_{i,\sigma}] + \sum_{\langle i,j \rangle} J_{ij} \hat{S}_i \hat{S}_j$$

- Heisenberg Hamiltonian    1 electron/site

$$H_{Heis} = \sum_{\langle i,j \rangle} J_{ij} \hat{S}_i \hat{S}_j$$

# Methods

- Density Functional Theory.- Electronic structure

$\Downarrow$  *downfolding*+*e – e correln*

Effective Model



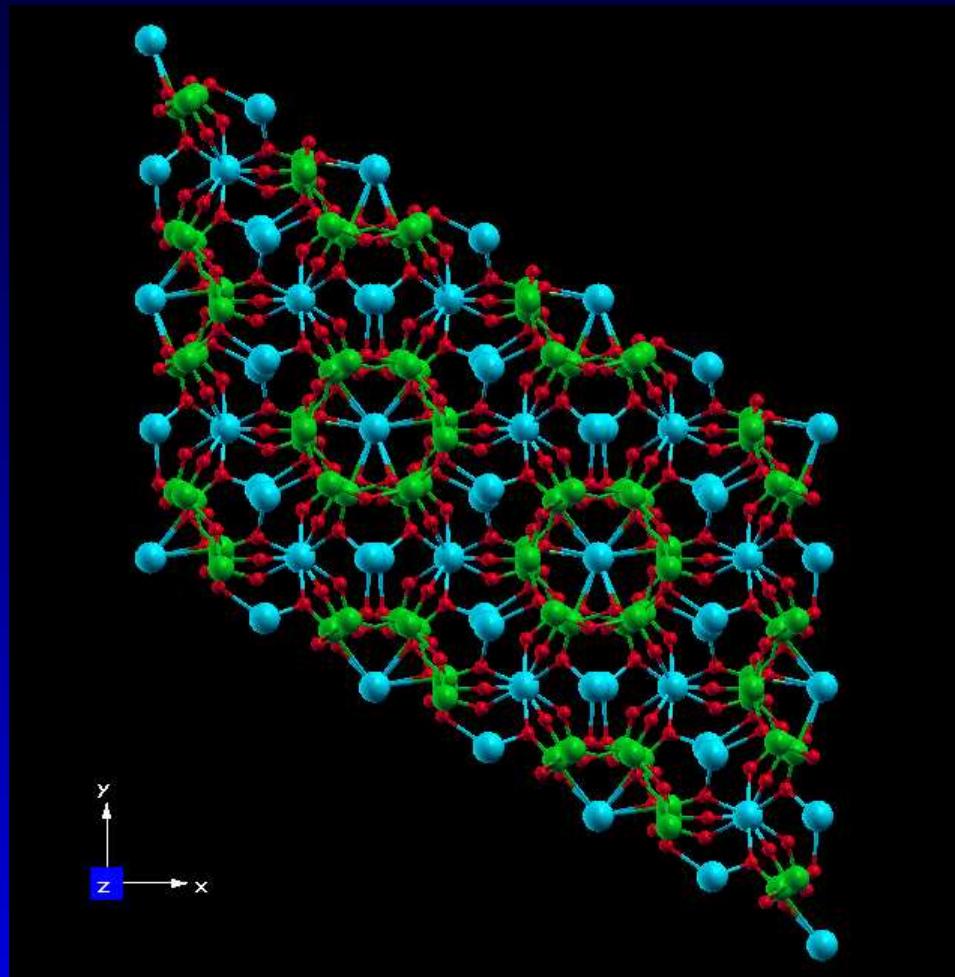
- Many-body methods.- Exact diagonalization, QMC, DMRG, Bond-Operator Theory, variational Ansätze, . . . . .



Physical Picture

# QSS: Ex. Na<sub>2</sub>V<sub>3</sub>O<sub>7</sub>

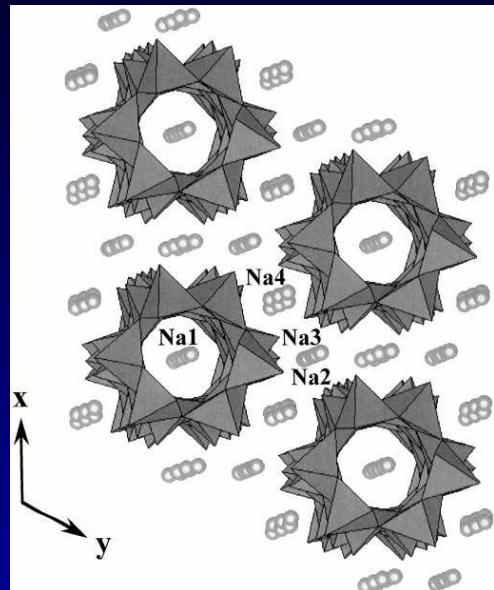
First transition-metal based nanotubular system!



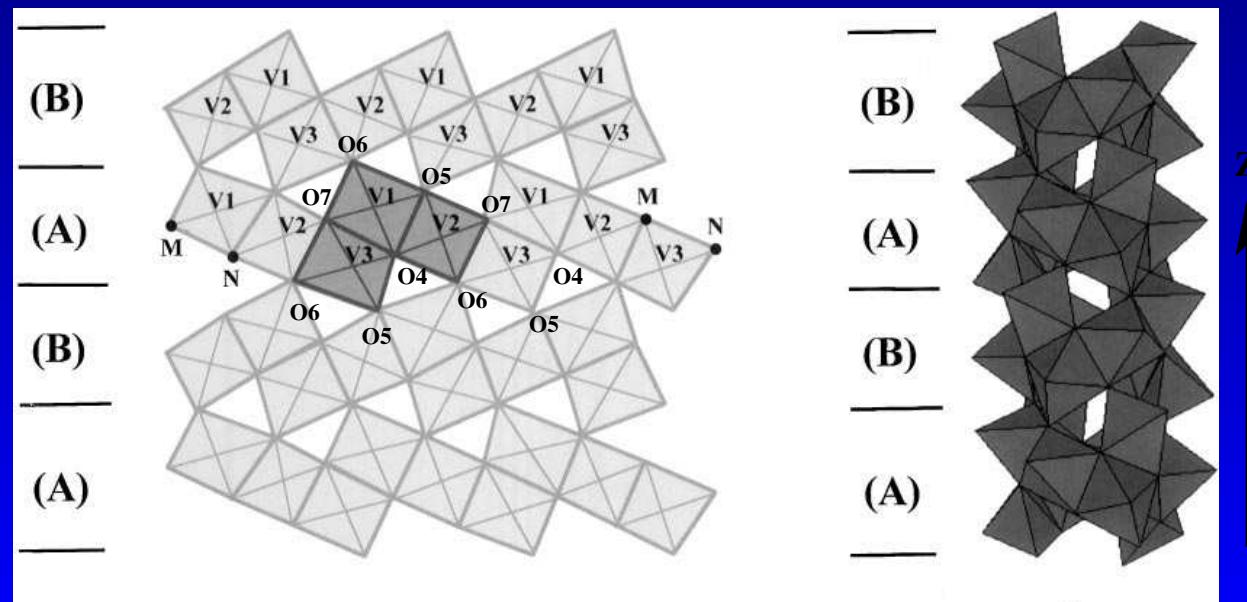
- $Na$
- $V$
- $O$

- Distorted VO<sub>5</sub> pyramids. V<sup>4+</sup> - 3d<sup>1</sup>, spin-1/2 system

# $\text{Na}_2\text{V}_3\text{O}_7$ : Crystal Structure



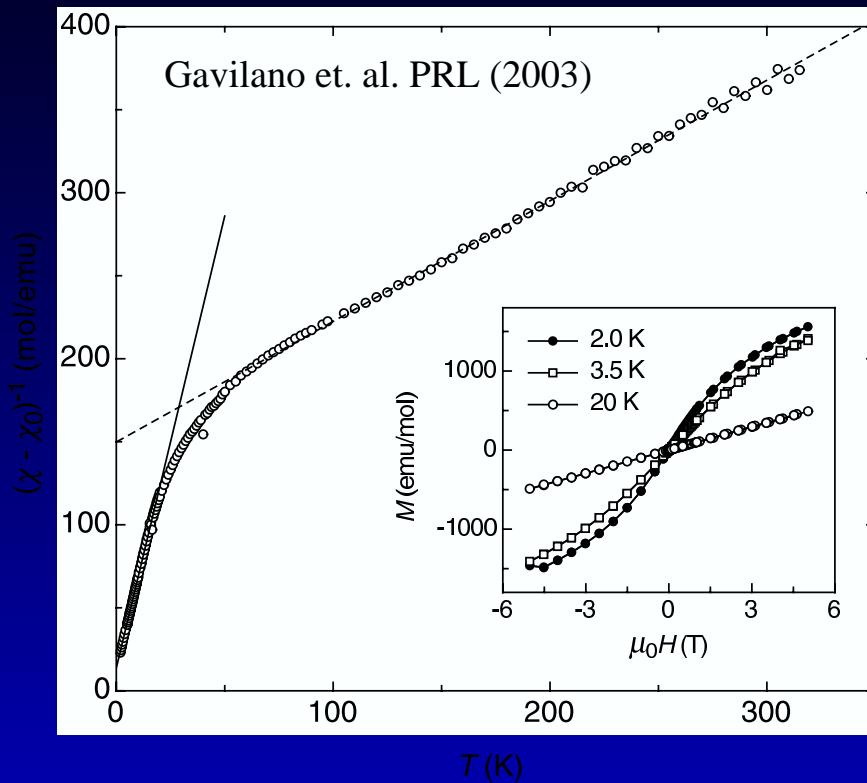
- Distorted  $\text{VO}_5$  square-pyramids
- Interaction paths:  
corner- and edge-shared pyramids
- $\text{V}^{4+}$  :  $3d^1$
- spin- $1/2$  system



## Description of the underlying low-energy spin model?

- Nine-leg spin-tubes  
Millet et. al. Jour. Solid St. Chem. (1999)
- Mutually intersecting helical spin chains  
Whangbo et. al. Solid St. Comm. (2000)
- Effective three-leg spin tubes with inter-ring frustration  
Lüscher et. al. PRB (2004)
- Dimerized vanadium moments  
Gavilano et. al. cond-mat/0501756

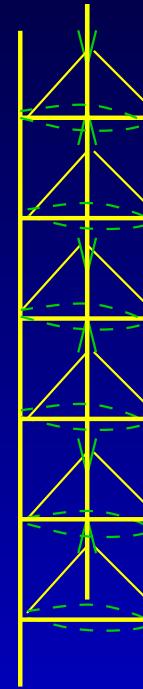
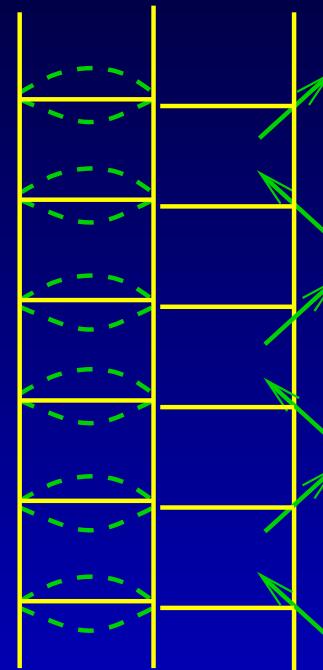
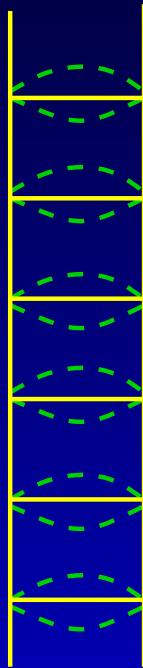
# Na<sub>2</sub>V<sub>3</sub>O<sub>7</sub>: Magnetic Susceptibility



- Curie-Weiss at high and low T:  $\chi(T) = \chi_{dia} + \frac{C}{T-\theta}$
- AFM interactions
- *reduction* in effective magnetic moment high T → low T

# AFM spin-1/2 Ladder Systems

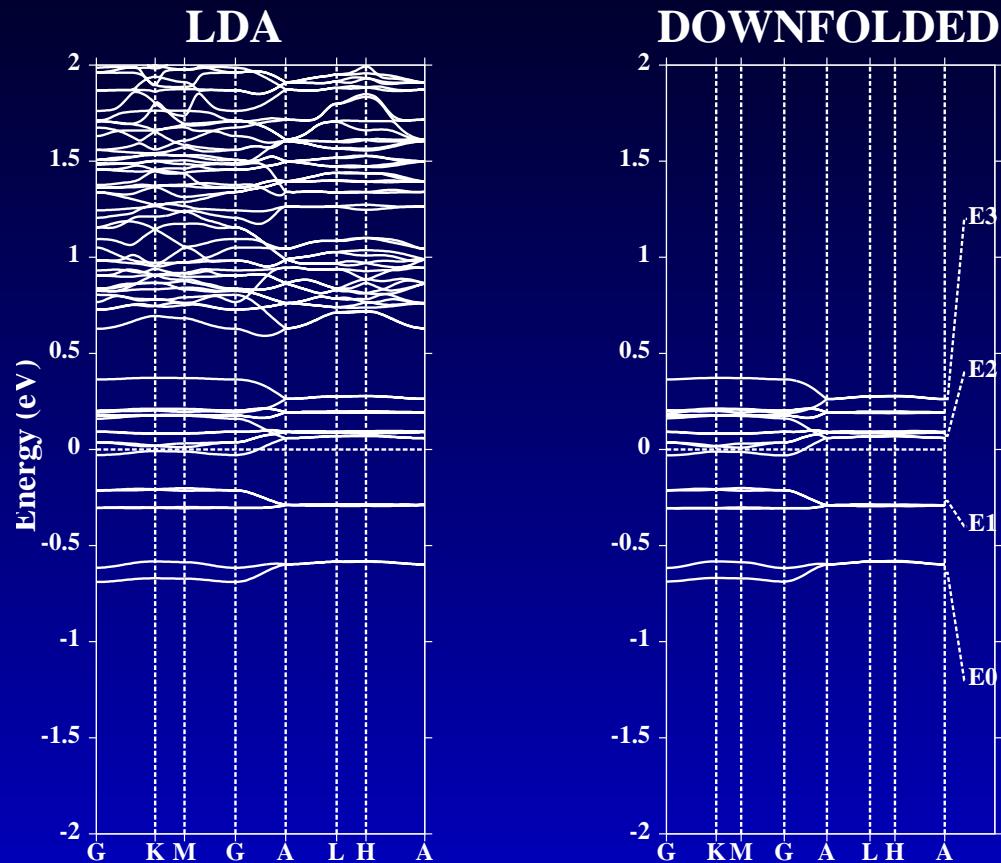
- Even-leg ladders
  - Odd-leg ladders



# Even-leg: spin-singlets $\rightarrow$ **spin-gap**

Odd-leg : O.B.C  $\rightarrow$  no spin-gap, : P.B.C  $\rightarrow$  spin + chirality  $\rightarrow$  spin-gap

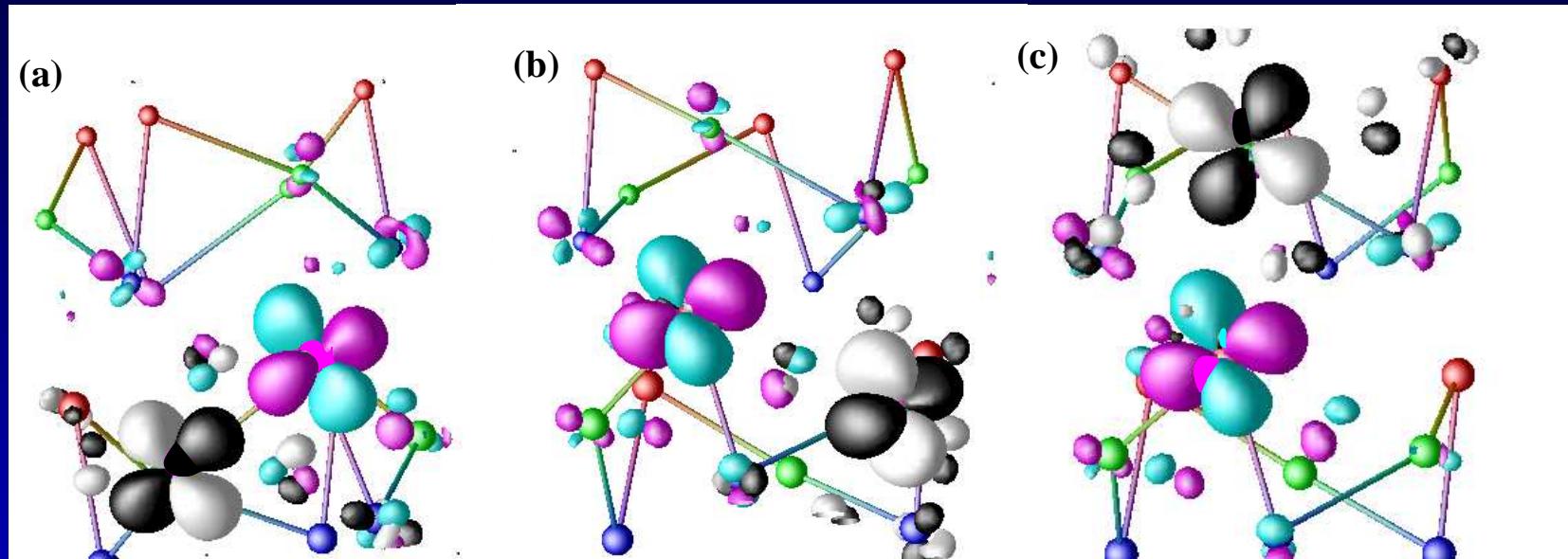
# Na<sub>2</sub>V<sub>3</sub>O<sub>7</sub>: Electronic Structure



- V- d<sub>xy</sub> character at EF.
- For modeling only the low-energy part matters.

# Na<sub>2</sub>V<sub>3</sub>O<sub>7</sub> - Effective Model

● V1 ● V2 ● V3



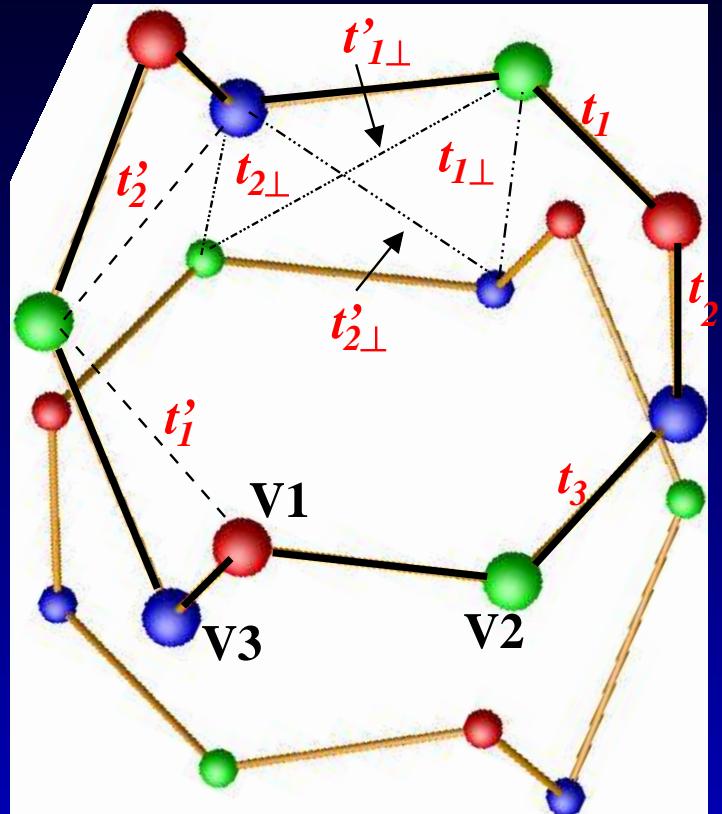
edge-sharing intra-ring  
V1–V2 ( $t_1 = -0.18$  eV)

corner-sharing intra-ring  
V1–V2 ( $t'_1 = -0.13$  eV)

corner-sharing inter-ring  
V1–V2 ( $t_\perp = -0.03$  eV)

The edge-shared coupling is as strong as corner-shared

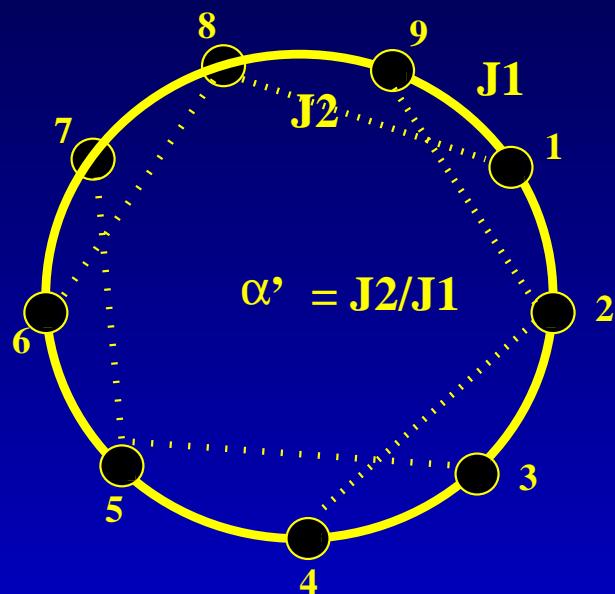
# $\text{Na}_2\text{V}_3\text{O}_7$ - Effective Model



Intra-ring (eV)					Inter-ring (eV)			
$t_1$	$t_2$	$t_3$	$t'_1$	$t'_2$	$t_{1\perp}$	$t_{2\perp}$	$t'_{1\perp}$	$t'_{2\perp}$
-.18	-.15	-.14	-.13	-.14	-.03	-.02	-.02	-.03

# Na<sub>2</sub>V<sub>3</sub>O<sub>7</sub> - Effective Model

description: tubes consisting of weakly coupled nine-site rings with partial frustration



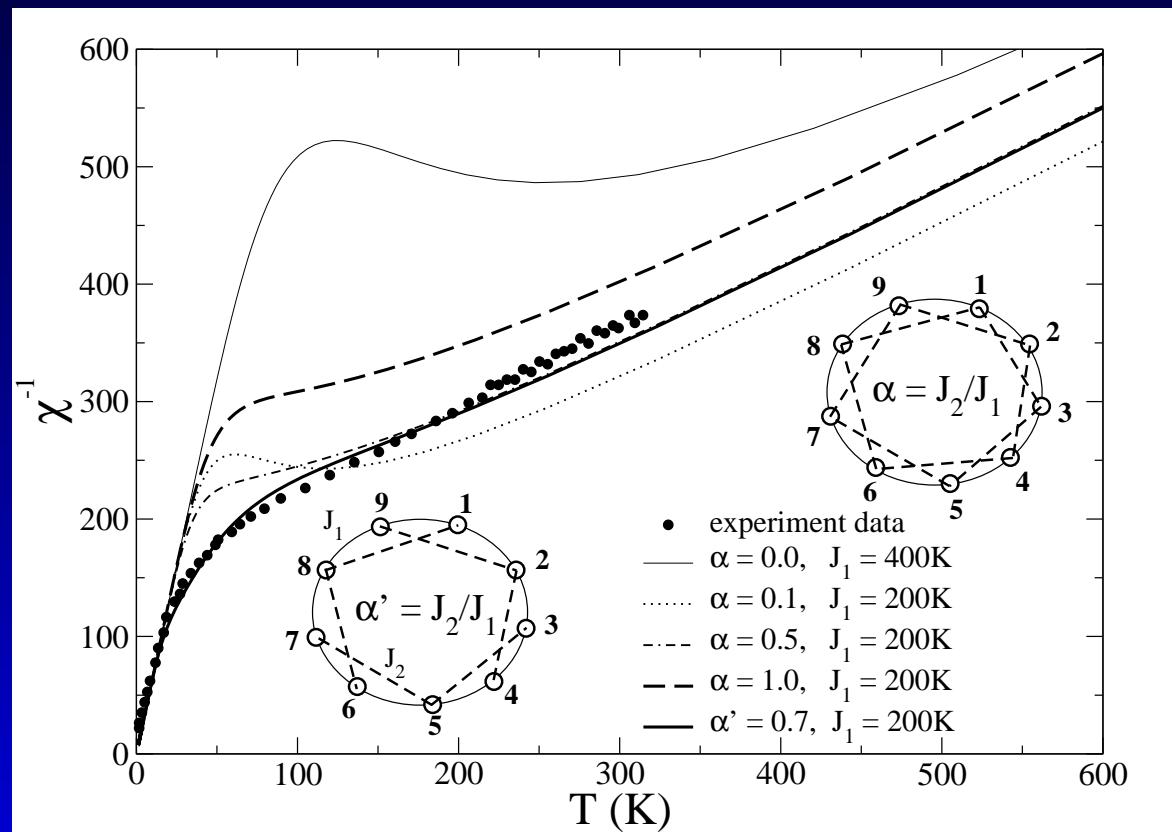
Effective spin Model :

- neglect inter-ring coupling
- $t_1 \sim t_2 \sim t_3$
- $t'_1 \sim t'_2$

$$H = J_1 \sum_{i=1}^9 (S_i \cdot S_{i+1} + \alpha'_i S_i \cdot S_{i+2})$$

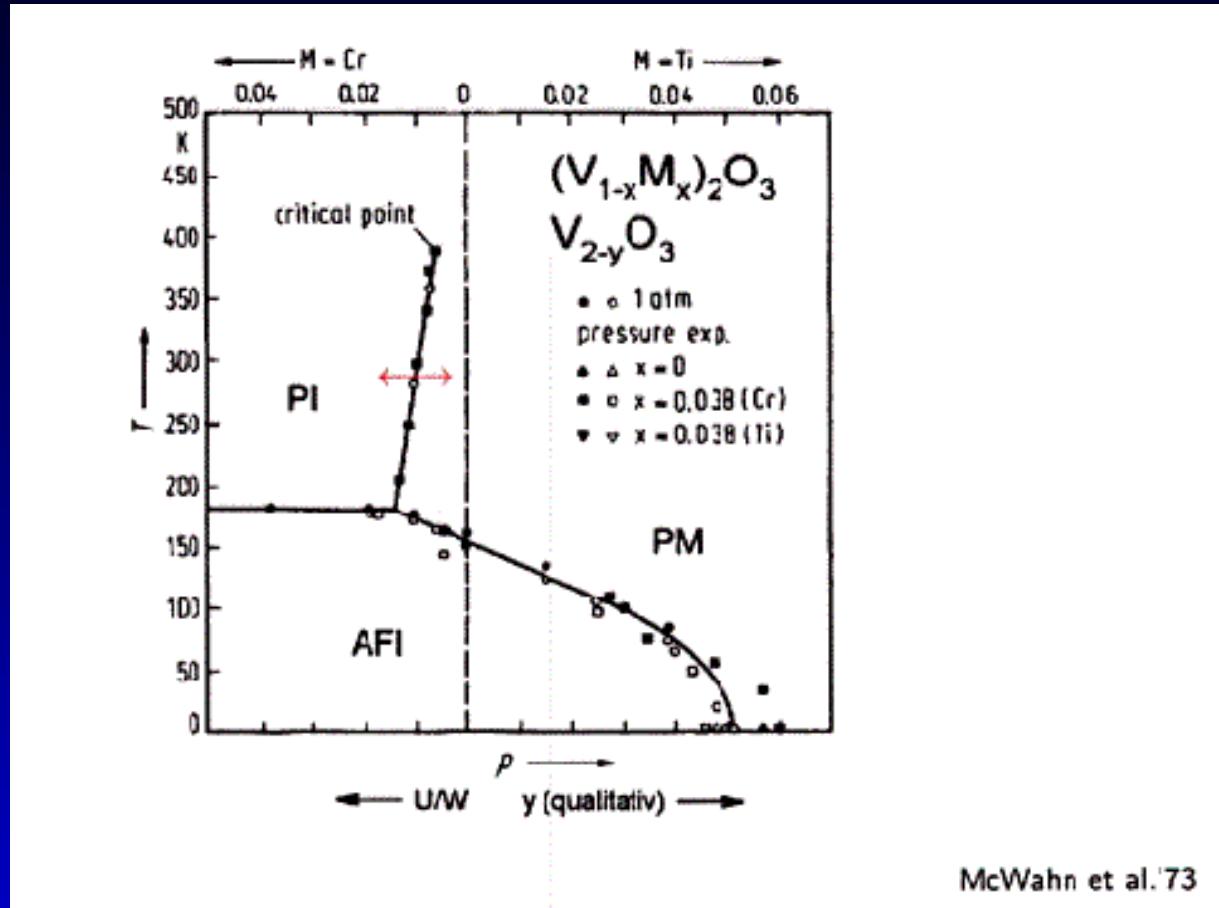
# Na<sub>2</sub>V<sub>3</sub>O<sub>7</sub> - Magn. Susceptibility

Exact diagonalization of the derived spin-Hamiltonian



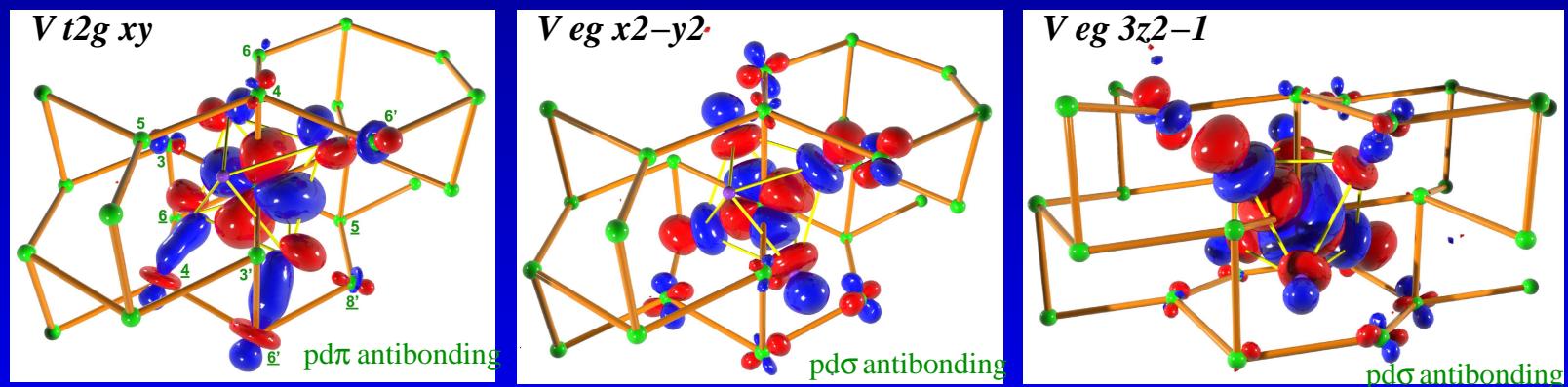
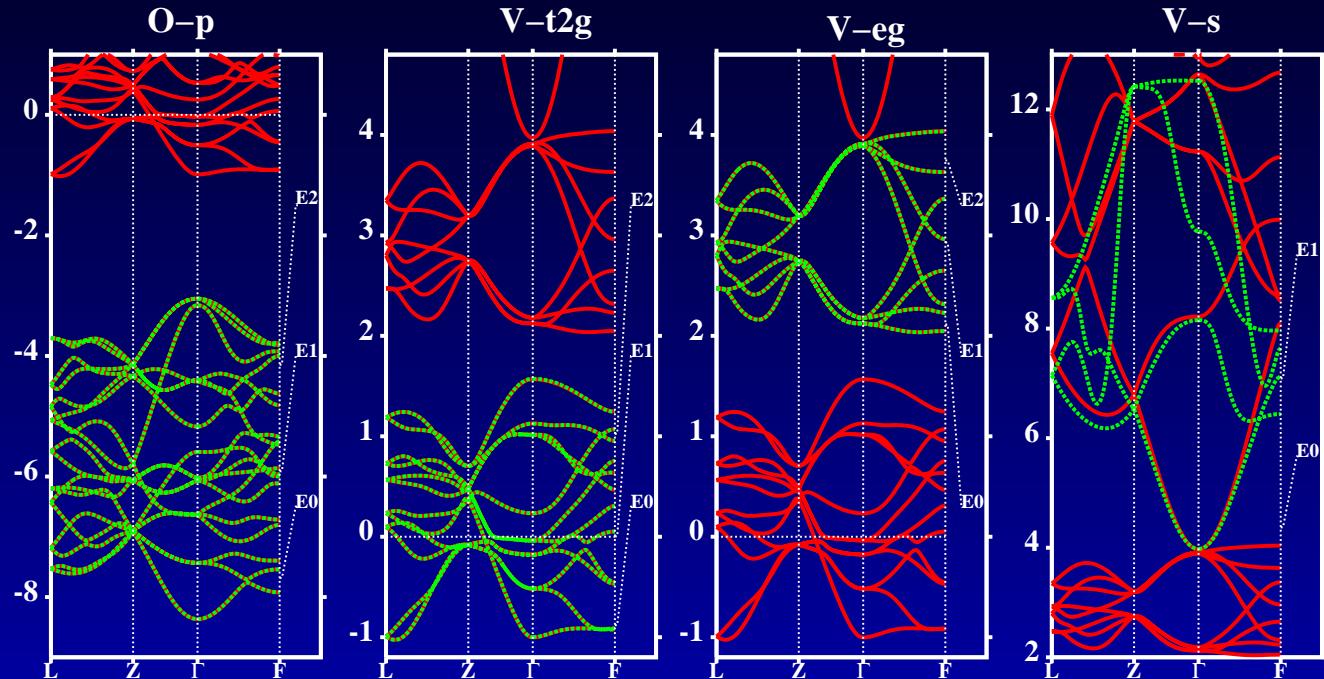
PRL 95, 107201, PRB 68, 024411, PRB 67, 245110, PRB 66, 054426, ..

# Phase diagram of $\text{V}_2\text{O}_3$



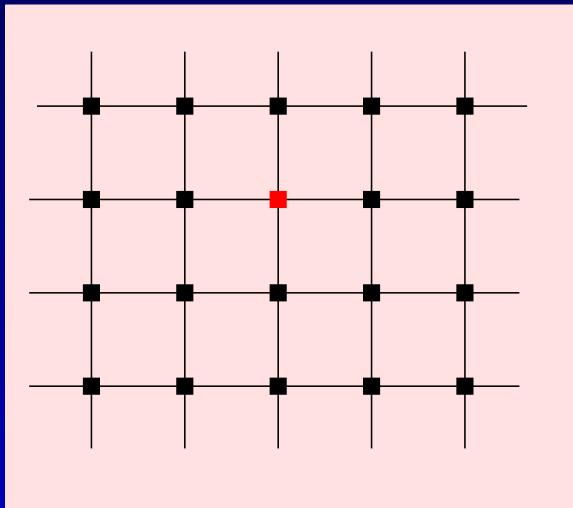
- \* undergoes 1st-order M–I transition – can be induced by temp., pressure, alloying
- \* PM–PI : same crystal (corundum) & magn. structure
- \* only known example among transition–metal oxides to show aPM–PI transition

# NMTO-downfolding



# DMFT: General Idea (RMP, 96)

Replace a LATTICE MODEL of itinerant electrons by a SINGLE-SITE (impurity orbital) hybridized with a SELF-CONSISTENT BATH



Single out one site  
*= impurity site*

Embed it in an  
**Effective medium**

which is built out of  
the other sites in a  
self-consistent manner,  
so as to restore translation invariance

- Freezes the space fluctuation, but keeps the dynamics.
- Needs to solve a quantum impurity problem.

# V<sub>2</sub>O<sub>3</sub>: LDA(NMTO)+DMFT

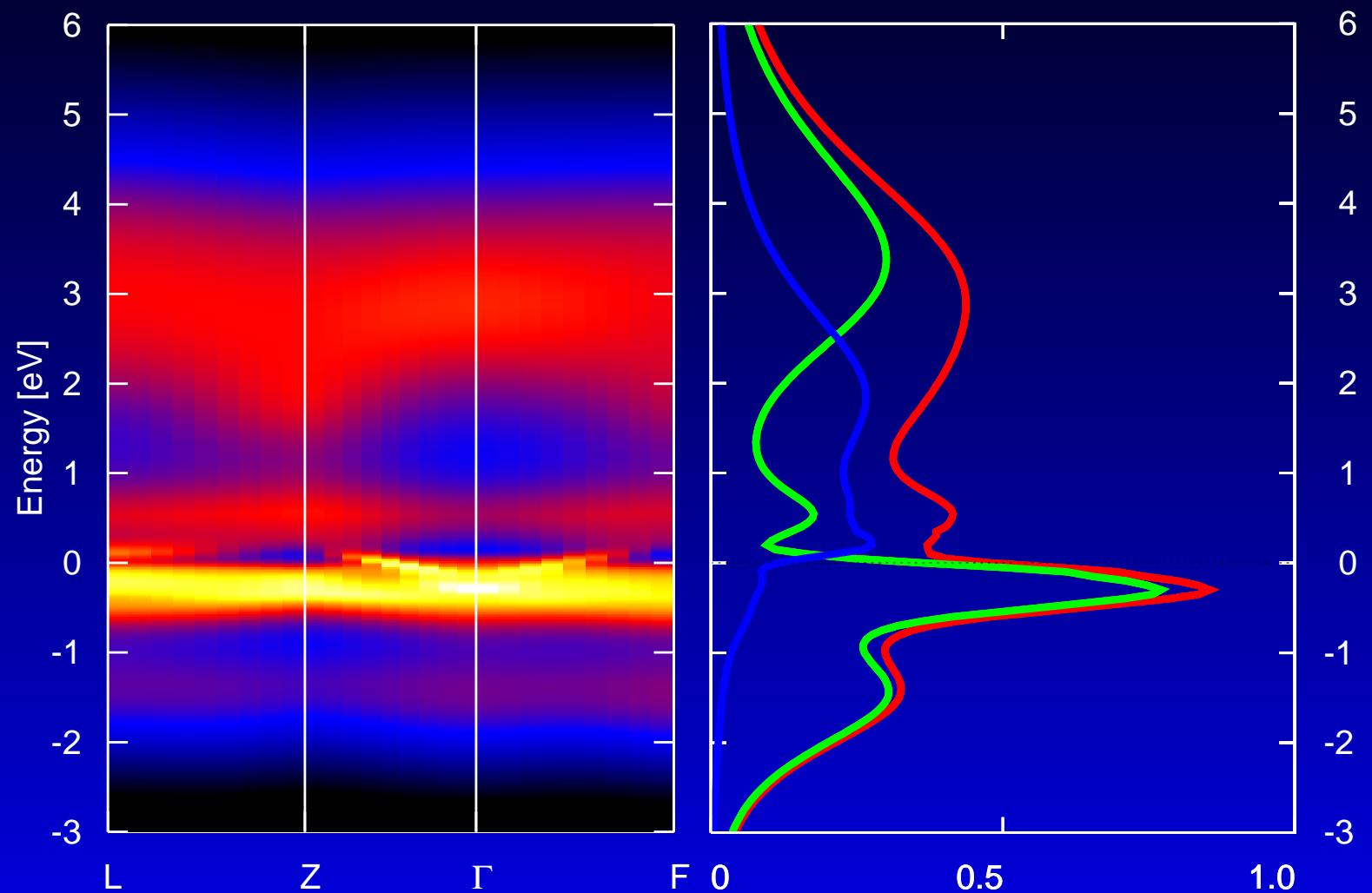
Input Hamiltonian - low-energy, multi-band  
Hamiltonian defined in a localized t<sub>2g</sub> basis:

$$\begin{aligned} H = & H^{lda} + \frac{1}{2} \left[ \sum_{imm'\sigma} U_{mm'} n_{im\sigma} n_{im'\bar{\sigma}} \right. \\ & \left. + \sum_{im \neq m'\sigma} (U_{mm'} - J_{mm'}) n_{im\sigma} n_{im'\sigma} \right] \end{aligned}$$

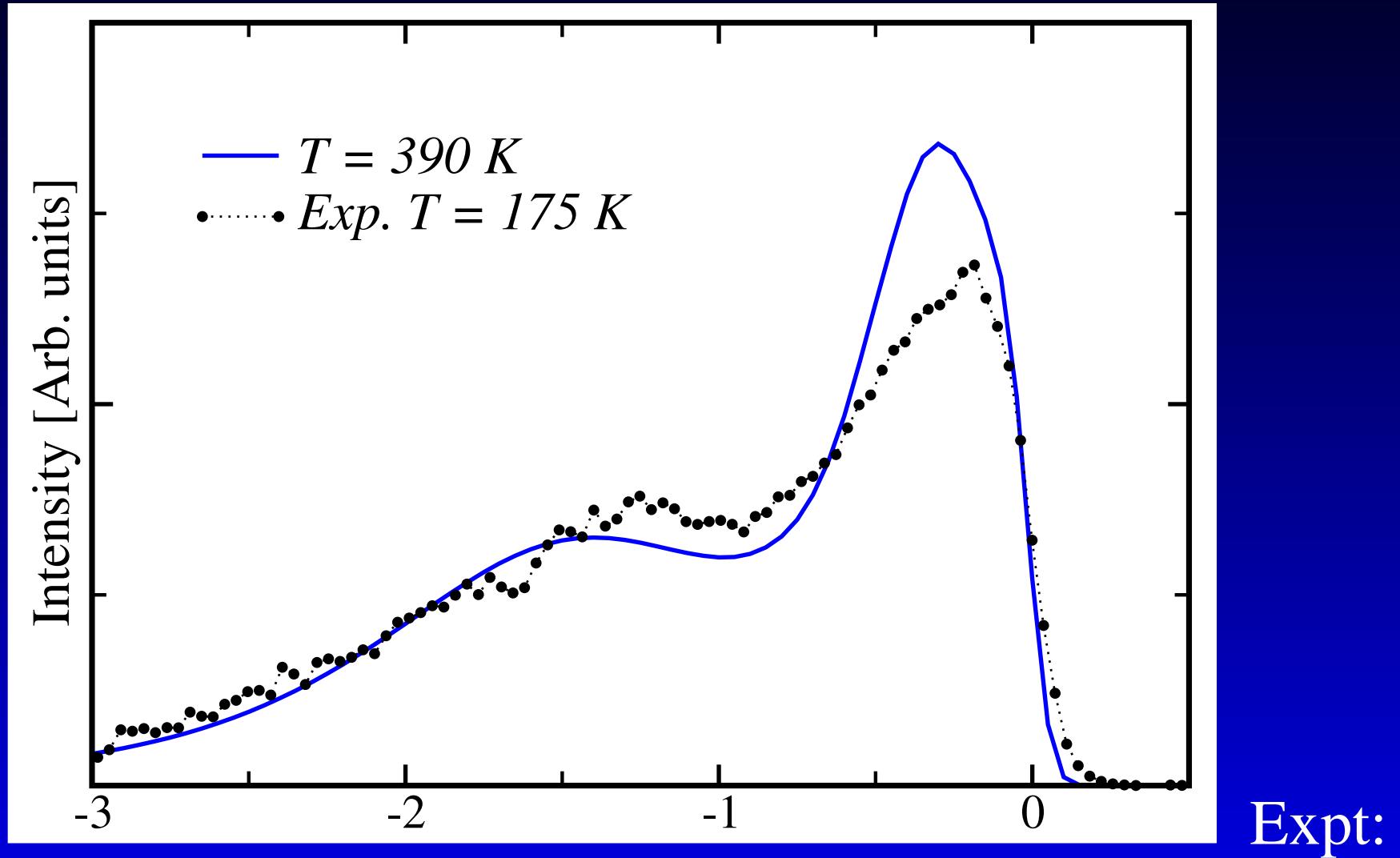
The many-body Hamiltonian depends on the choice of the basis  
func.– **NMTO’s are the ideal candidates!**

- ⇒ Many-body Hamiltonian solve by DMFT.
- ⇒ Multi-orbital quantum impurity problem solve by QMC.
- ⇒ Takes into account full structure of the self-energy.

# DMFT results



# PM spectra - comp. with PES



Mo *et.al.*

Expt:

# Conclusion

- ♣ NMTO method can be employed very efficiently to unravel chemical bonding and physical properties of novel materials.

## PEOPLE

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Roser Valenti (Frankfurt)

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