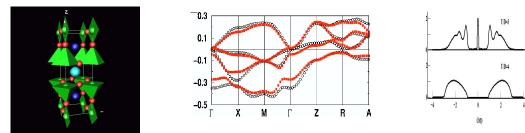
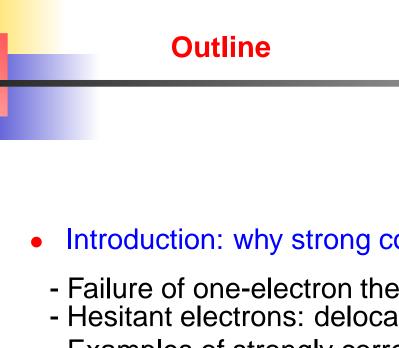
Correlation Effects in Real Material

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- Introduction: why strong correlations?
- Failure of one-electron theories
- Hesitant electrons: delocalized waves or localized particles ?
- Examples of strongly correlated materials
- Different energy scales and MIT in TMO
- Methods to deal with correlations in realistic ways
 - Concepts (LDA+U, LDA+DMFT)
 - Practical details
 - Examples
- Spin-physics out of Correlation
 - t-J and Heisenberg models
 - Super-exchange

 Good description of many microscopic properties are obtained in terms of -

Born-Oppenheimer Approximation

Nuclei and the electrons to a good approximation may be treated separately.

One-electron Approximation

Each electron behaves as an independent particle moving in the mean field of the other electrons plus the field of the nuclei.

Most satisfactory foundation of the one electron picture is provided by the local approximation to the Hohenberg-Kohn-Sham density functional formalism

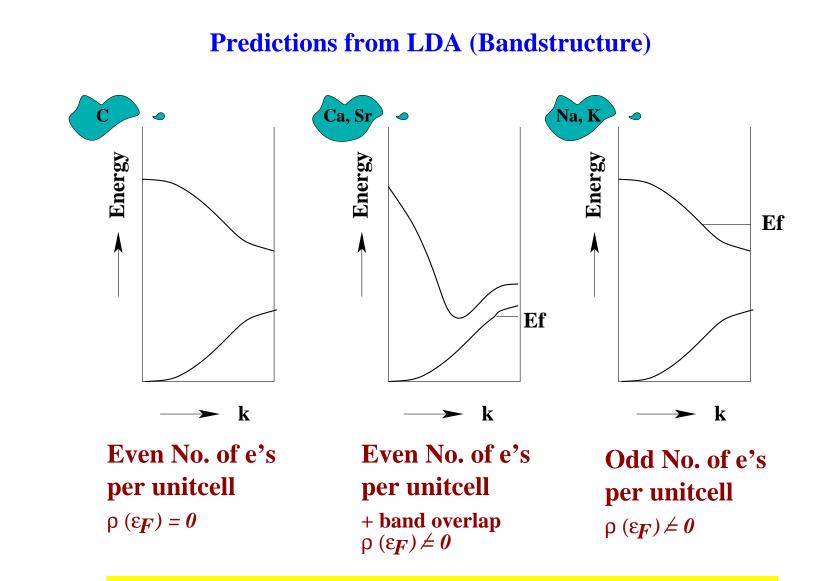


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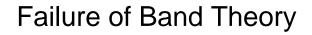
- LDA leads to an effective one electron potential which is a function of local electron density.
- Leads to Self consistent solution to an one electron Schrödinger Eqn.

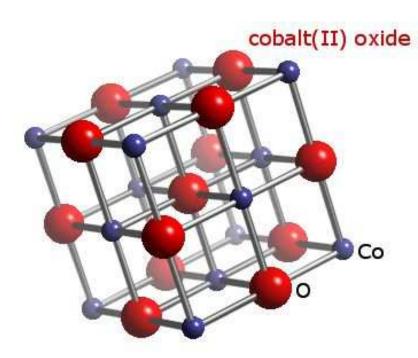
* The conventional band-structure calculations within the framework of LDA is surprising successful for many materials.

- * However, they fail for materials with strong e-e correlation !
- correlation effect necessarily arise, and
- the consideration of electron correlation effects provides the natural way to understand the phenomena like the insulating nature of CoO.



Accordingly to LDA, odd no. of e's per unit cell always give rise to Metal !

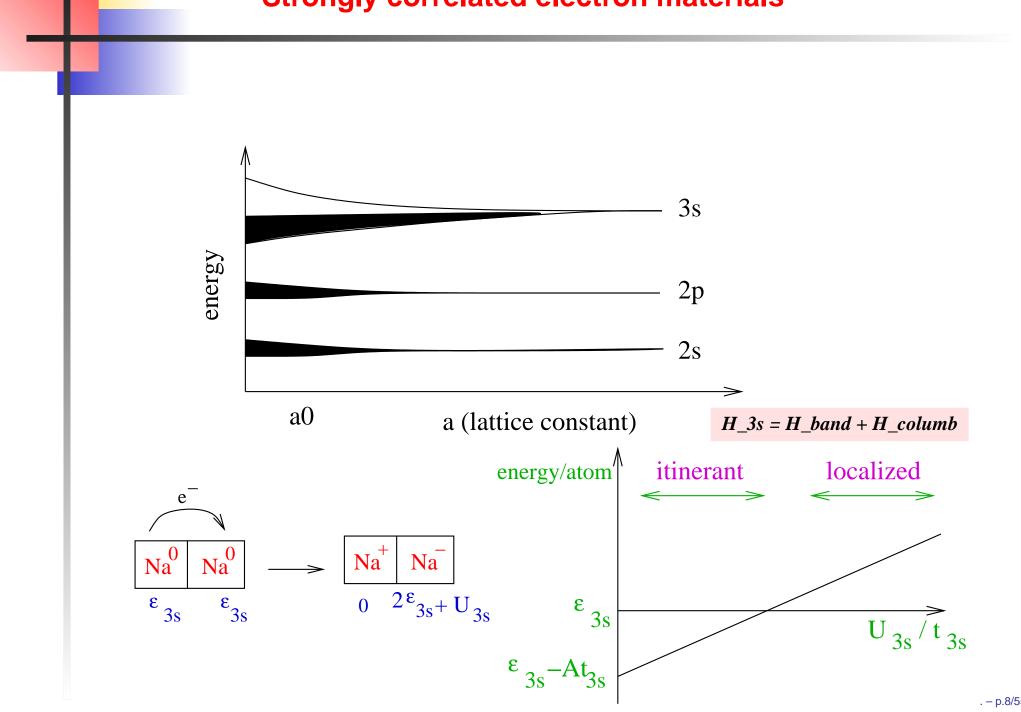




Total No. of electrons = 9 + 6 = 15

Band theory predicts CoO to be metal, while it is the toughest insulator known

Failure of LDA –) Failure of single particle picture––) Importance of e–e interaction effects (Correlation)



broad energy bands- associated with large KE, highly *itinerant*

 \rightarrow well described using *wave-like picture*

narrow energy bands- e-s spend larger time around a given atom tendency towards *localization*, effects of statistical correlations between the motion of individual electrons become important \rightarrow particle-like picture may be more appropriate

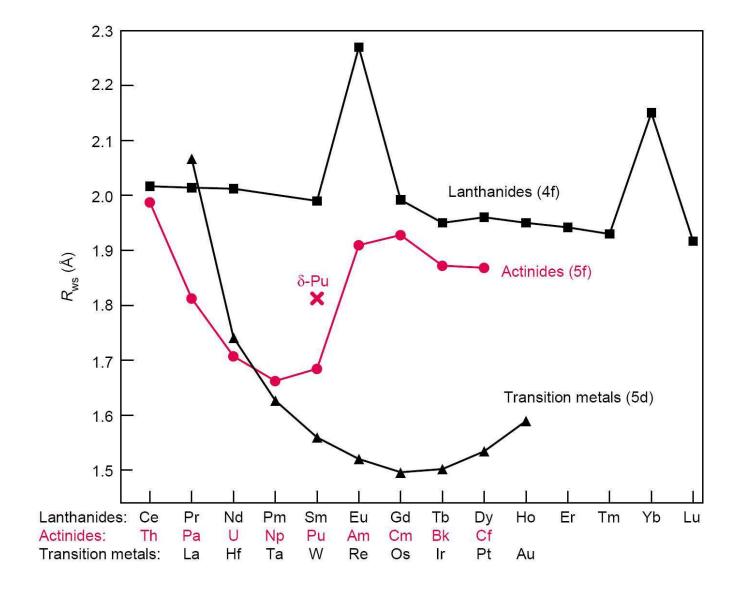
Intermediate situation - localized character on short time-scales and itinerant character on the long time-scale co-exist! \rightarrow e-s *hesitate* between being itinerant and localized.

Transition metals:

- d-orbitals extend much further from the nucleus than the *core* electrons.

- throughout the 3d series (and even more in 4d series), d-electrons do have an itinerant character, giving rise to quasiparticle bands!

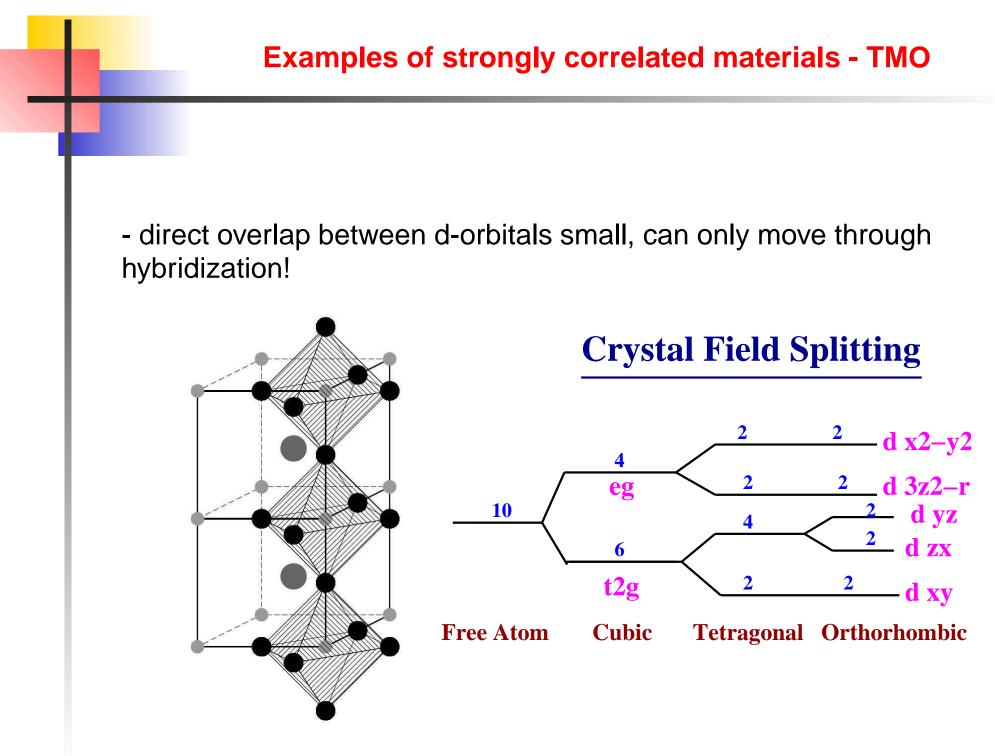
- electron correlations do have important physical effects, but not extreme ones like localization.



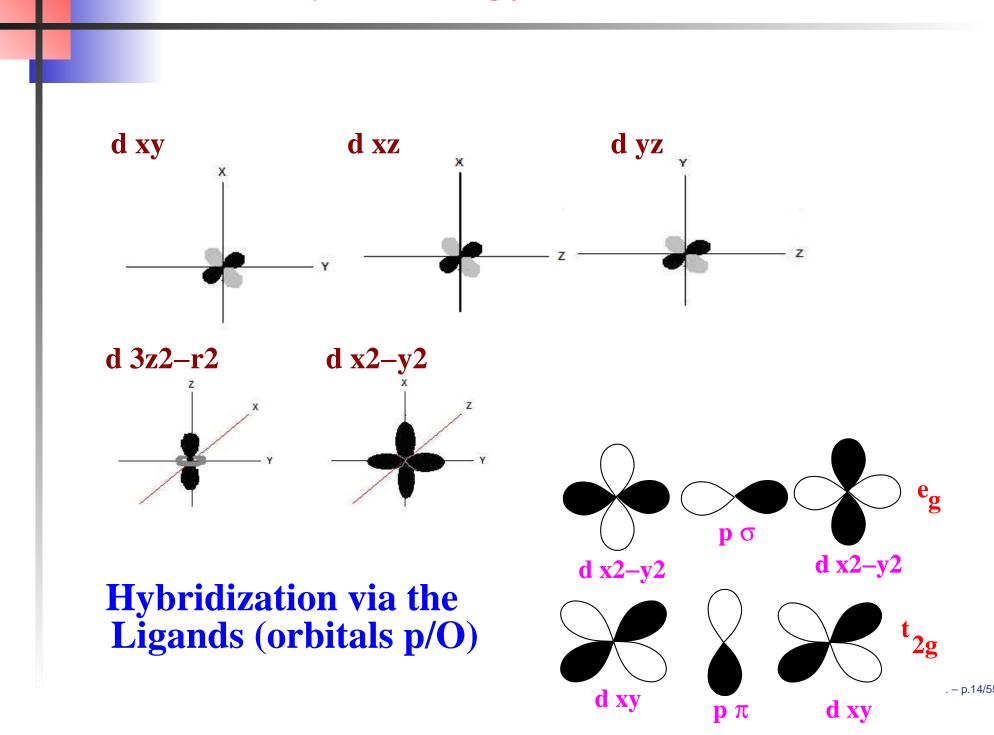
f-electrons: rare earths, actinides and their compounds:

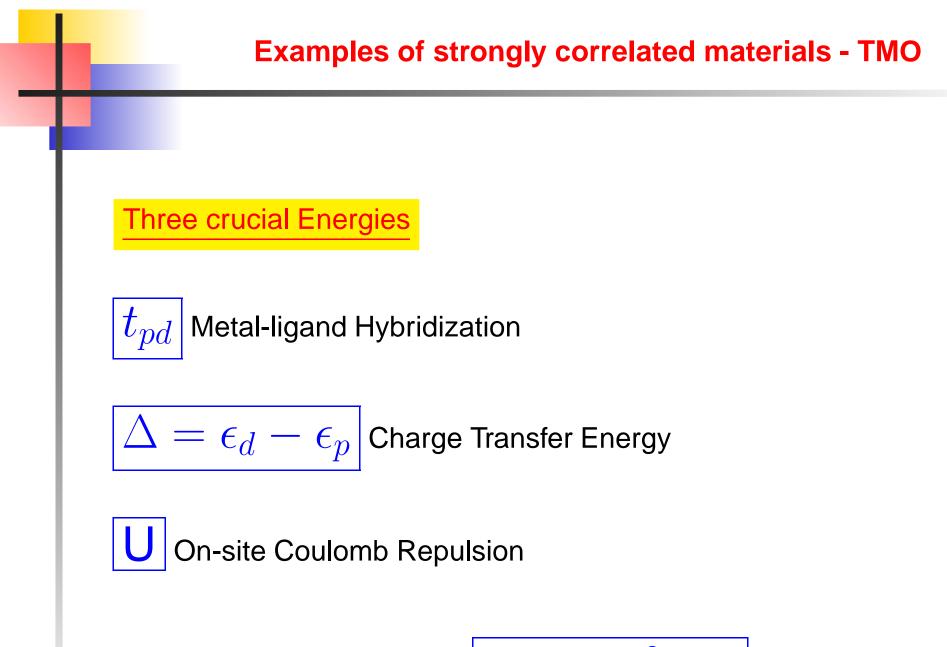
- rare-earth 4f-electrons tend to be localized than itinerant, contribute little to cohesive energy, other e- bands cross E_F , hence the metallic character.

- actinide (5f) display behavior intermediate between TM and rare earths
- e- correln becomes more apparent in compounds involving rare-earth or actinides.
- extremely large effective mass \rightarrow *heavy fermion behavior*.
- At high temp local mag. mom and Curie law, low-temp screening of the local moment and Pauli form \rightarrow *Kondo effect*



Examples of strongly correlated materials- TMO





Band-width is controlled by:

$$t_{eff} = t_{pd}^2 / \Delta$$

Examples of strongly correlated materials - TMO

Minimal model for a TMO

$$H = \epsilon_p \sum_{j\sigma} p_{j\sigma}^{\dagger} p_{j\sigma} + \epsilon_d \sum_{i\sigma} d_{i\sigma}^{\dagger} d_{i\sigma}$$
$$- t_{pd} \sum_{i\sigma} \sum_{\delta} (d_{i\sigma}^{\dagger} p_{i\pm\delta\sigma} + h.c.) + U_d \sum_i n_{i\uparrow}^d n_{i\downarrow}^d$$

And may be add

 t_{pp} , U_{pp} , U_{pd}

..... orbital degeneracy, etc

Examples of strongly correlated materials - TMO

The infamous Hubbard U

Naively:
$$\int \phi^*_{i\uparrow} \phi_{i\uparrow} \frac{1}{|r-r'|} \phi^*_{i\downarrow} \phi_{i\downarrow}$$

But this is HUGE (10 -20 eV)!

SCREENING plays a key role, in particular by 4s electrons

- Light TMOs (left of V): p-level much below d-level; 4s close by : U not so big $U < \Delta$

- Heavy TMOs (right of V): p-level much closer; 4s much above d-level : U is very big $U>\Delta$

The Mott phenomenon: turning a half-filled band into an insulator

Consider the simpler case first: U



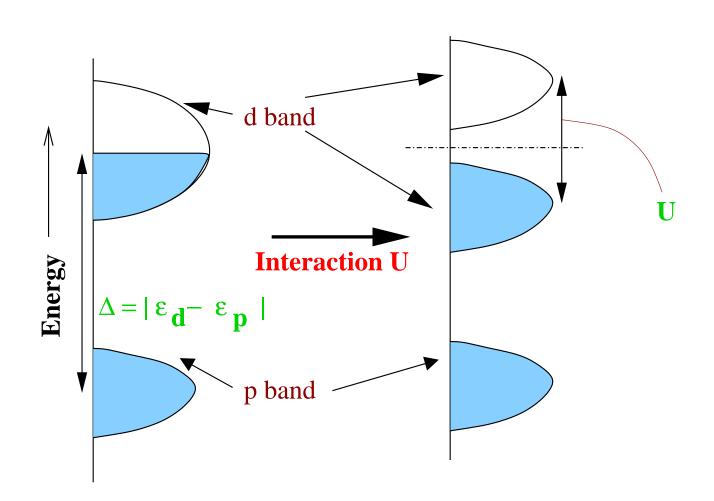
Moving an electron requires creating a hole and a double occupancy: ENERGY COST U

This object, once created, can move with a kinetic energy of order of the bandwidth W!

U < W: A METALLIC STATE IS POSSIBLE

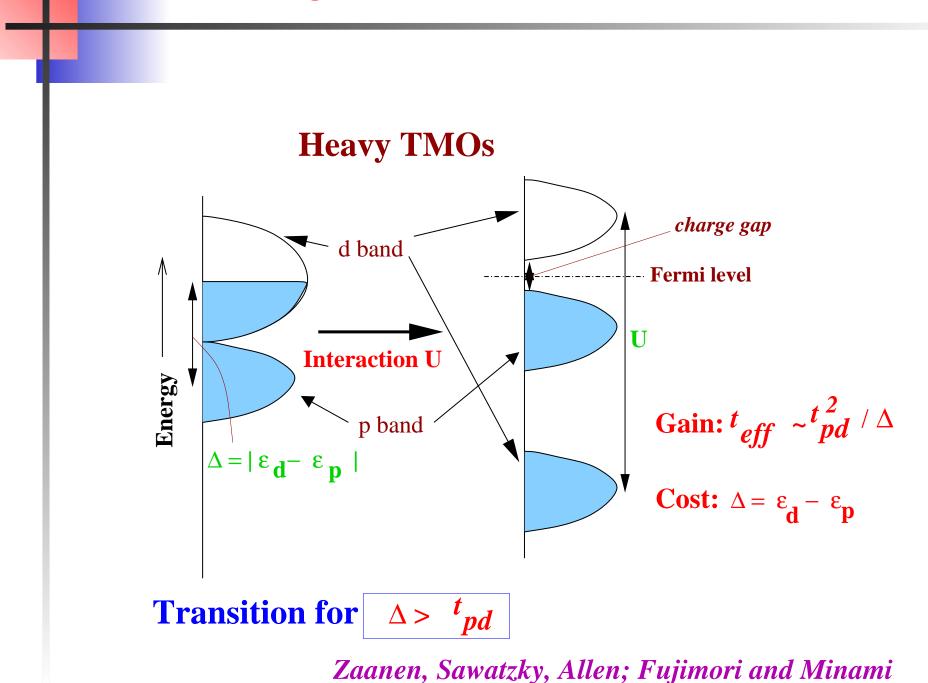
U > W: AN INSULATING STATE IS PREFERRED

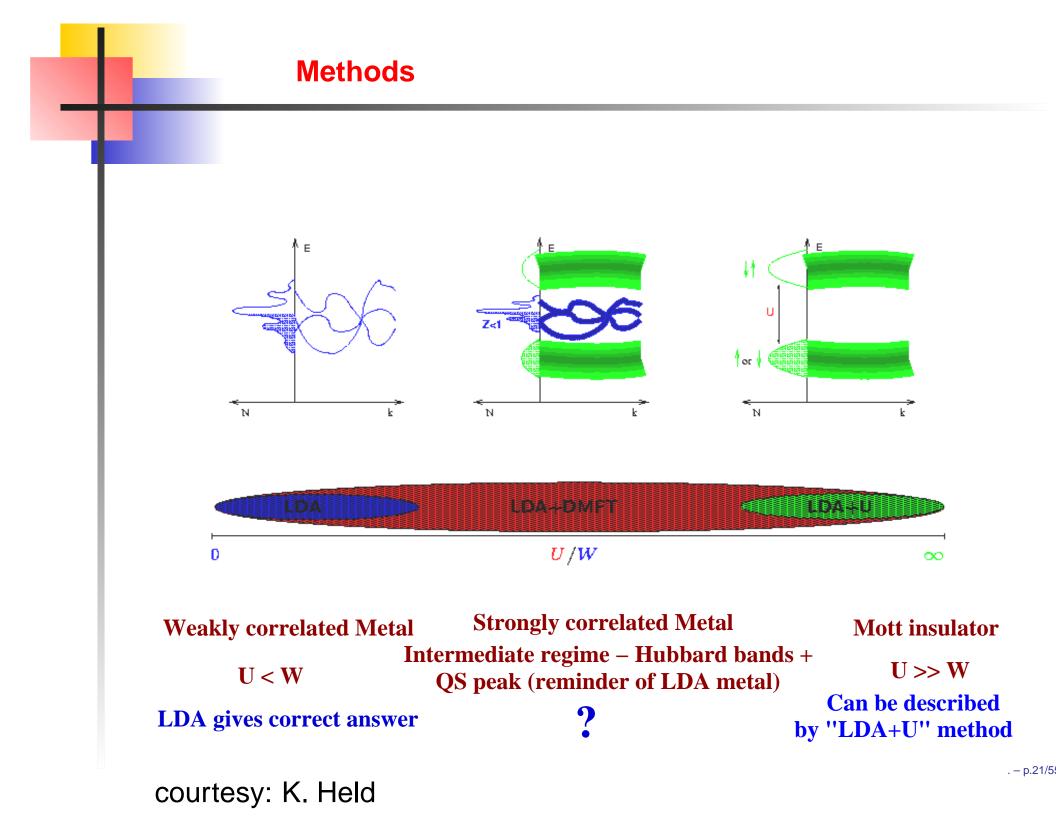
Hubbard bands



The composite excitation hole+double occupancy forms a *band* (cf excitonic band)

Charge transfer insulators





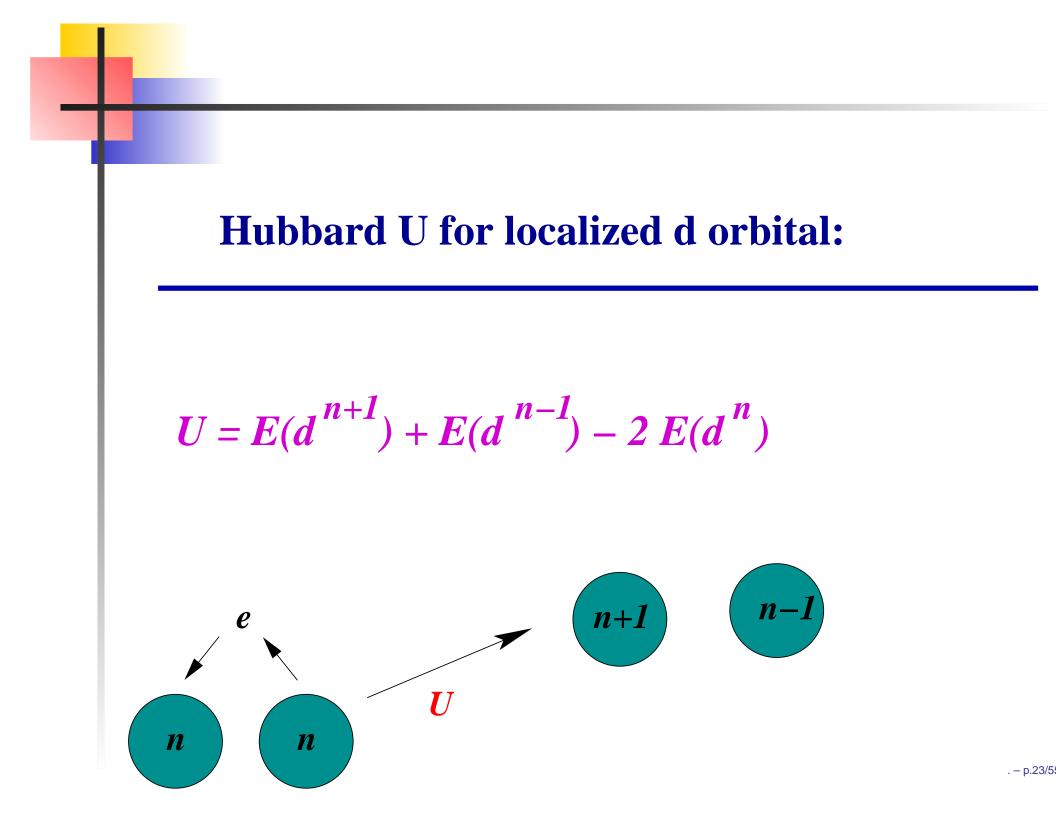
Basic Idea of LDA+U

PRB 44 (1991) 943, PRB 48 (1993) 169

• Delocalized s and p electrons: LDA

• Localized d or f-electrons: + U

using on-site d-d Coulomb interaction (Hubbard-like term) $U \sum_{i \neq j} n_i n_j$ instead of averaged Coulomb energy U N(N-1)/2



LDA+U energy functional (Static Mean Field Theory):

$$E_{local}^{LDA+U} = E^{LDA}$$
$$-UN(N-1)/2 + \frac{1}{2}U\sum_{i\neq j}n_in_j$$

LDA+U potential :

$$V_i(\hat{r}) = \frac{\delta E}{\delta n_i(\hat{r})} = V^{LDA}(\hat{r}) + U(\frac{1}{2} - n_i)$$

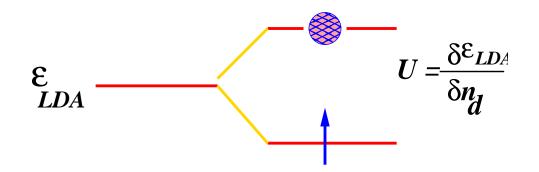
LDA+U eigenvalue :

$$\epsilon_i = \frac{\delta E}{\delta n_i} = \epsilon_i^{LDA} + U(\frac{1}{2} - n_i)$$

For occupied state $n_i = \mathbf{1} \rightarrow \epsilon_i = \epsilon^{LDA} - U/2$

For unoccupied state $n_i = \mathbf{0} \rightarrow \epsilon_i = \epsilon^{LDA} + U/2$

$$\downarrow \\ \Delta \epsilon_i = U \text{ MOTT-HUBBARD GAP}$$



LDA+U functional:

 $E^{LSDA+U}[\rho^{\sigma}(r), \{n^{\sigma}\}] = E^{LSDA}[\rho^{\sigma}(r)] + E^{U}[\{n^{\sigma}\}] - E_{dc}[\{n^{\sigma}\}]$

Screened Coulomb Correlations:

$$E^{U}[\{n^{\sigma}\}] = \frac{1}{2} \sum_{\{m\},\sigma} \{\langle m, m^{''} | V_{e,e} | m^{'}, m^{'''} \rangle n^{\sigma}_{mm'} n^{-\sigma}_{m^{''}m^{'''}} + (\langle m, m^{''} | V_{e,e} | m^{'}, m^{''} \rangle - \langle m, m^{''} | V_{e,e} | m^{'''}, m^{'} \rangle n^{\sigma}_{mm'} n^{\sigma}_{m^{''}m^{'''}} \}$$

LDA-double counting term:

$$E_{dc}[\{n^{\sigma}\}] = \frac{1}{2}Un(n-1) - \frac{1}{2}J[n^{\uparrow}(n^{\uparrow}-1) + n^{\downarrow}(n^{\downarrow}-1)]$$

Slater parametrization of U

Multipole expansion:

$$\frac{1}{|r-r'|} = \sum_{kq} \frac{4\pi}{2k+1} \frac{r_{<}^k}{r_{>}^{k+1}} Y_{kq}^*(\hat{r}) Y_{kq}(\hat{r}')$$

Coulomb Matrix Elements in Y_{lm} basis:

$$\langle mm' || m''m''' \rangle = \sum_{k} a_{k}(m, m'', m', m'')F^{k}$$

$F^k \rightarrow Slater$ integrals

Average interaction: U and J U = F⁰; J (for d electrons) = $\frac{1}{14}(F^2 + F^4)$

How to calculate U and J

PRB 39 (1989) 9028

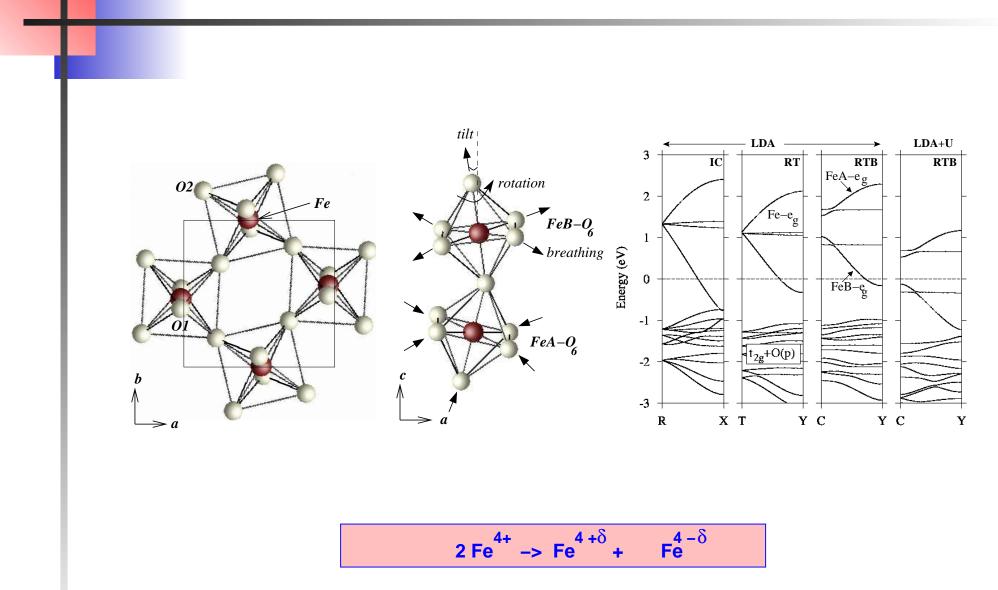
- Constrained DFT + Super-cell calculation
- Calculate the energy surface as a function of local charge fluctuations.
- Mapped onto a self-consistent mean-filed solution of the Hubbard model.
- Extract U and J from band structure results.

- Constrained DFT works in the fully localized limit. Therefore often overestimates the magnitude of *U*.
- For the same element, U depends also on the ionicity in different compounds → higher the ionicity, larger the U.
- One thus varies *U* in the reasonable range (Comparison with photoemission..).

Where to find U and J

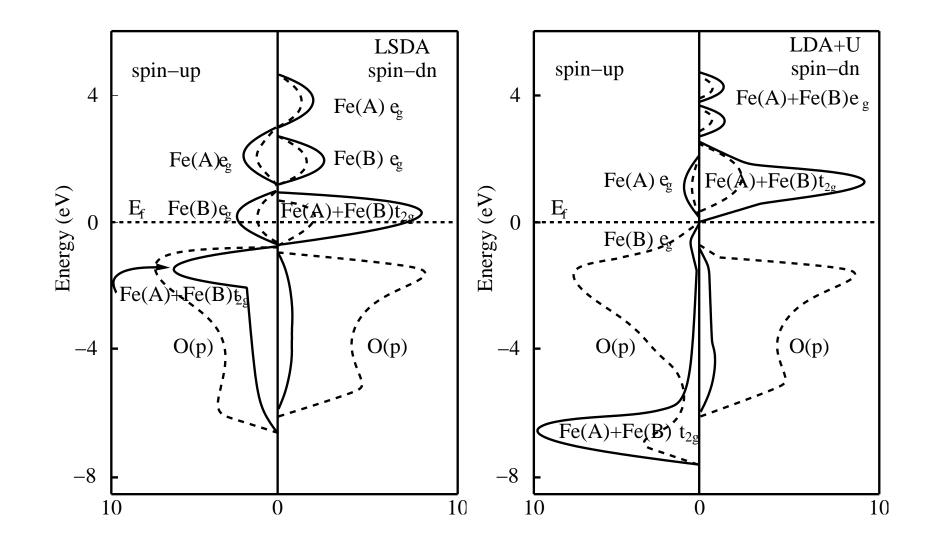
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PRB 44 (1991) 943 : 3d atoms
PRB 50 (1994) 16861 : 3d, 4d, 5d atoms
PRB 58 (1998) 1201 : 3d atoms
PRB 44 (1991) 13319 : Fe(3d)
PRB 54 (1996) 4387 : Fe(3d)
PRL 80 (1998) 4305 : Cr(3d)
PRB 58 (1998) 9752 : Yb(4f)
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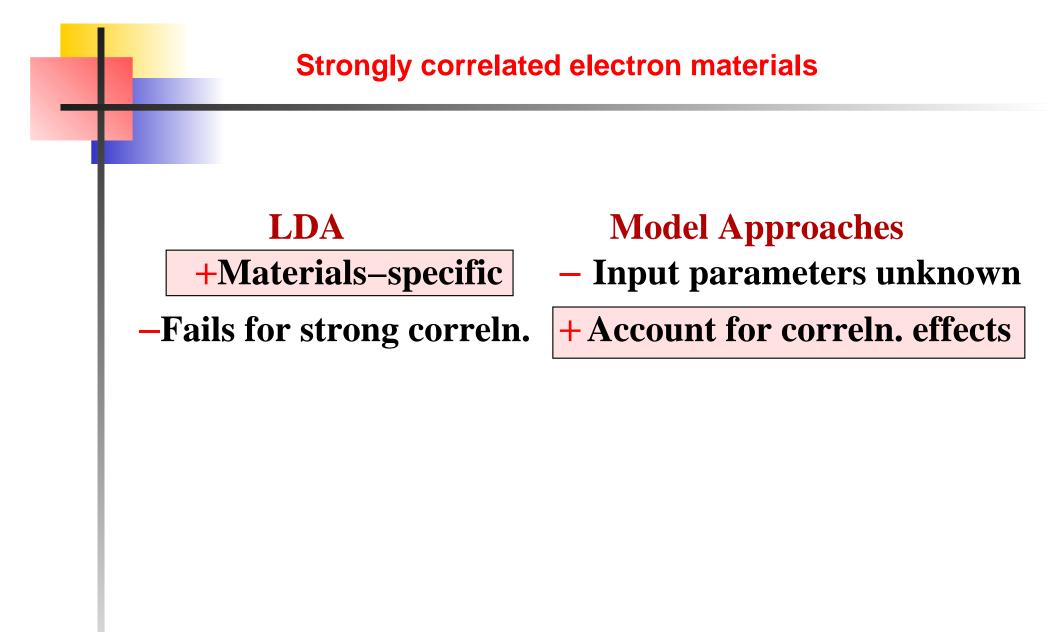
CO in CaFeO₃

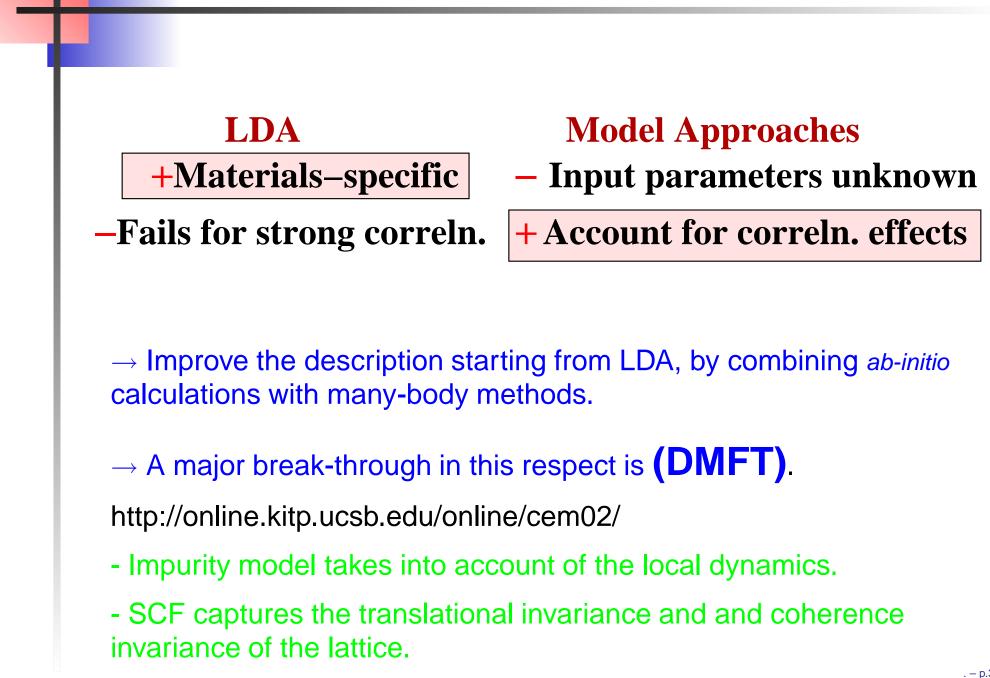


Phys. Rev. B 72, 045143 (2005)

CO in CaFeO₃







DMFT emphasizes local correlation \rightarrow we need a localized basis set, i.e. basis functions which are centered on the atomic positions ${\bf R}$ in the crystal lattice

Use Wannier function basis !

A basis set of localized orbitals is constructed from the exact scattering solutions of a superposition of short-ranged, spherically-symmetric potential wells (the so-called muffin-tin approximation to the potential) at a mesh of energies, $\epsilon_0, \epsilon_1, \ldots, \epsilon_N$

The number of energy points, N, defines the order of such muffin-tin orbitals, the NMTO's.

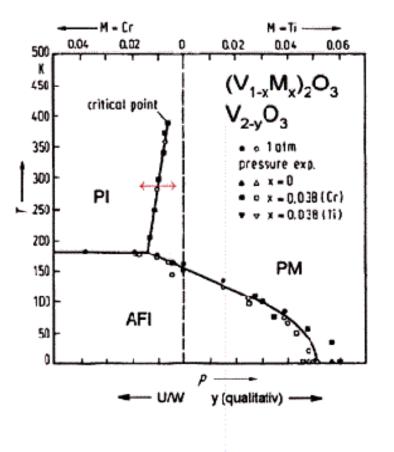
Each NMTO satisfies a specific boundary condition which provides it with an orbital character and makes it localized.

♣ The NMTO's being energy-selective in nature are flexible and may be chosen to span selected bands \Rightarrow Downfolding

If these bands are isolated, the NMTO set spans the Hilbert space of the Wannier functions. In other words, the orthonormalized NMTO's are the localized Wannier functions.

O. K. Andersen and T. Saha-Dasgupta Phys. Rev. B 62, R16219 (2000)

Phase diagram of V_2O_3

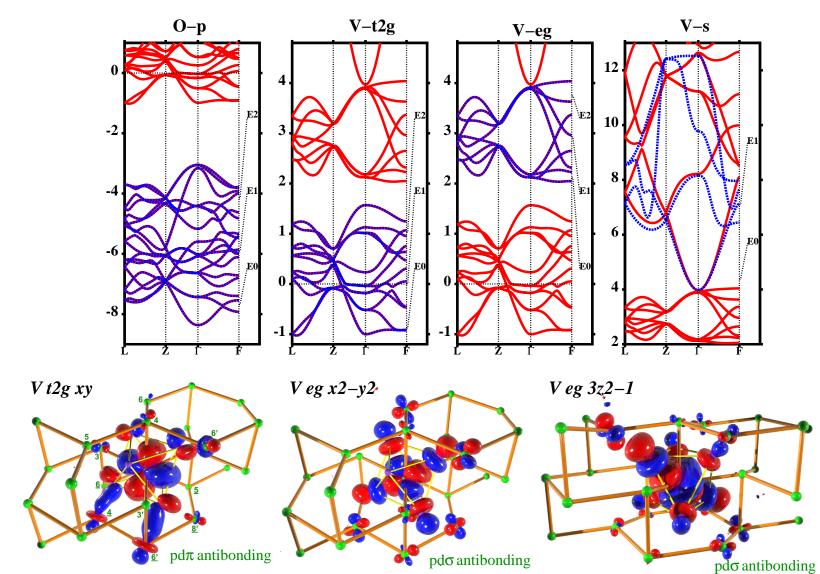


McWahn et al. 73

* undergoes 1st–order M–I transition – can be induced by temp., pressure, alloying * PM–PI : same crystal (corundum) & magn. structure

* only known example among transition-metal oxides to show aPM-PI transition .- p.36/5

V2O3: Corundum Structure



ling . – p.37/5

Input Hamiltonian- multi-band Hubbard Hamiltonian:

$$H = H^{lda} + \frac{1}{2} \sum U_{mm'} n_{im\sigma} n_{im'\bar{\sigma}} + \frac{1}{2} \sum_{m \neq m'} [U_{mm'} - J_{mm'}] n_{im\sigma} n_{im'\sigma}$$

• The many-body Hamiltonian depends on the choice of the basis func.- NMTO's are the ideal candidates!

• The low-energy Hamiltonian defined above, involves ONLY correlated, localized Wannier orbitals and <u>no other orbitals</u> \rightarrow achieved via NMTO-downfolding

• Assume dc. corrections to be orbital-independent within d-manifold \Rightarrow results into simple shift of the chemical potential!

 \Rightarrow Many-body Hamiltonian solve by DMFT.



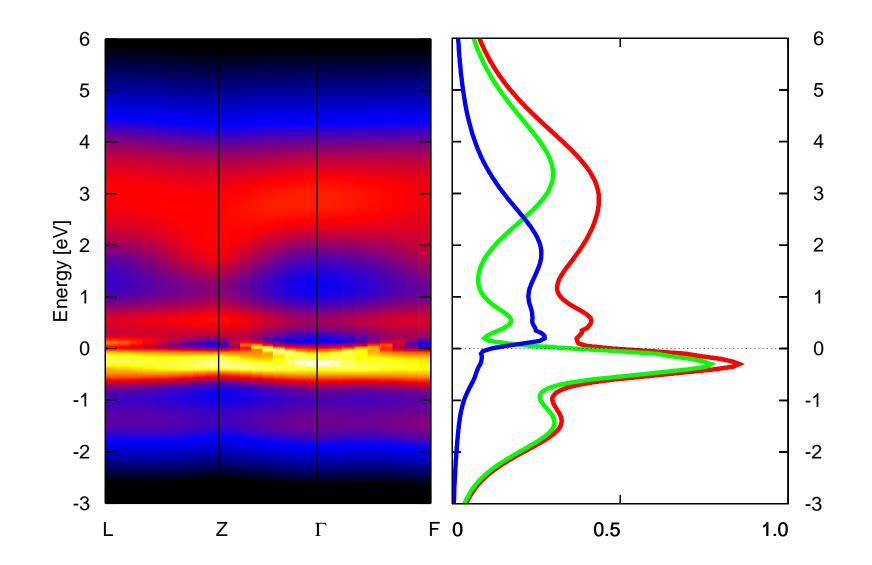
 \Rightarrow Multi-orbital quantum impurity problem solve by QMC.

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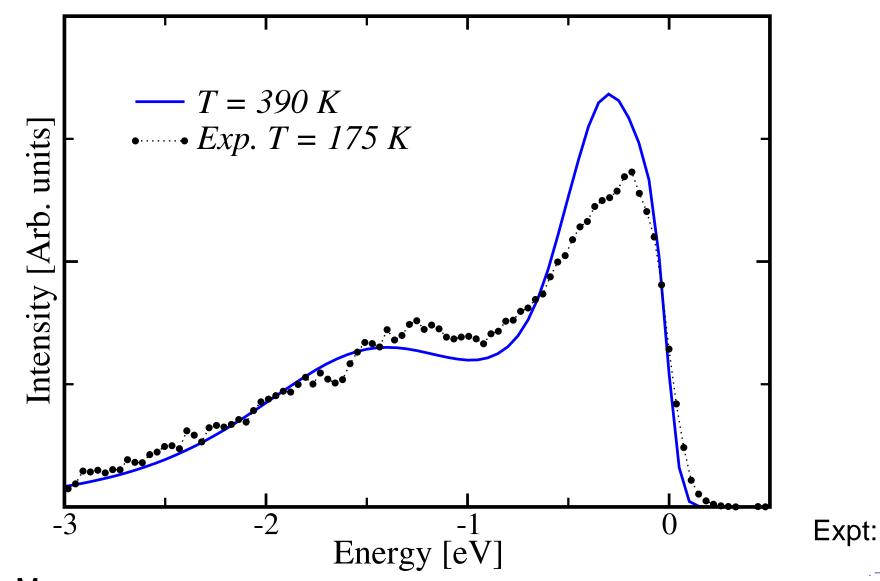
- Maps the interacting electron problem onto a sum of non-interacting problems (*single particle moves in a fluctuating, time-dependent field*)

- Evaluates this sum by Monte Carlo sampling

DMFT results



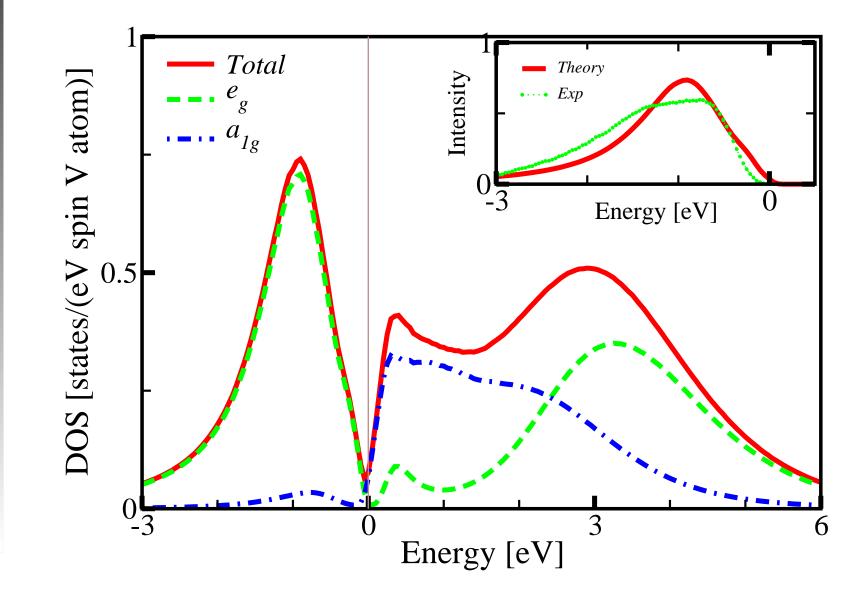
PM spectra - comparison with PES



Mo et.al.

. – p.41/5

PI spectra - comparison with PES



 $\begin{array}{l} \mbox{Hubbard model} \implies \mbox{t-J model} \\ \mbox{How spin physics arises} \\ \mbox{from} \\ \mbox{Strong Electron Correlations ?} \end{array}$

 $----\varepsilon_{at}$ +U

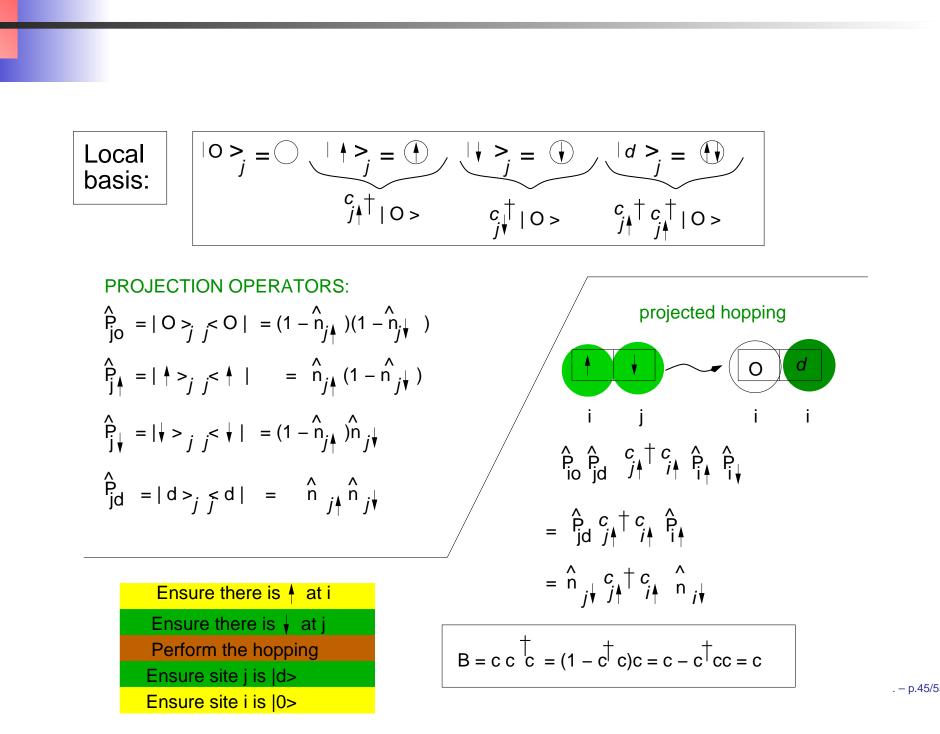
 ϵ_{at}

- Atomic limit (t=0) has huge degeneracy. For L sites occupie by N e–'s, singly occupied sites (which is \uparrow or \downarrow spin) can be picked out in $C_N^L 2^N$ ways !
- Large degeneracy makes the standard perturbation theory inapplicable.
- Treat consecutive orders of t/U systematically -> Accomplished by a suitable cannonical transformation
- Motion of e-'s are constrained by having to avoid the creation of double occupancy
- Hopping mixes the states!

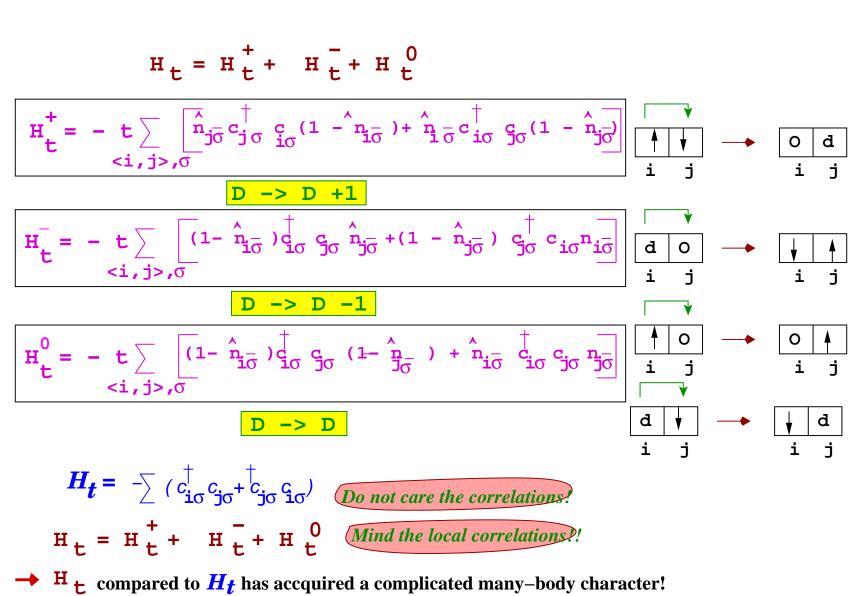
Rotate to a basis whose states are not mixed in order t

Rotate to a basis whose states are not mixed in order t²

Projected Hopping



Correlated Hopping





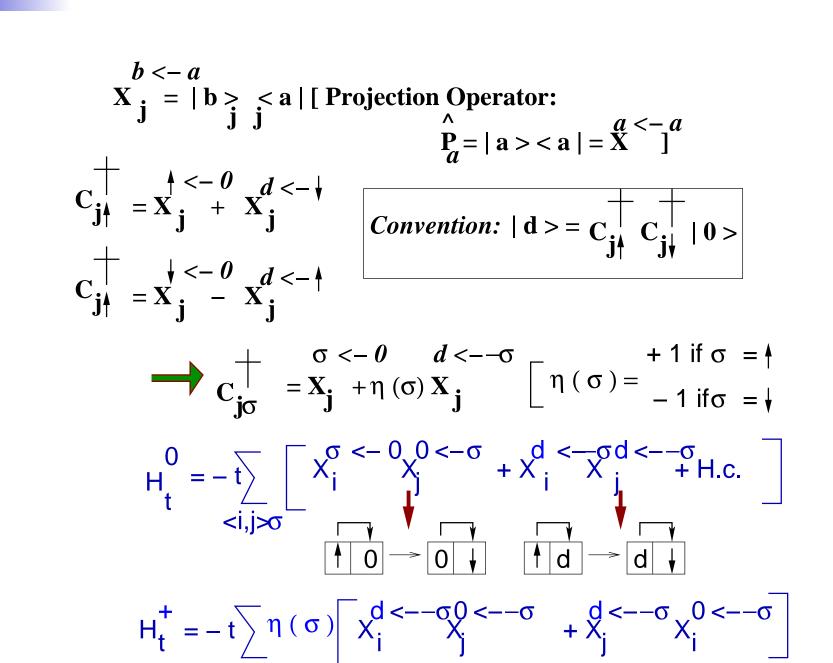
- Intuitive notion: Low energy excitations are propagarted in the lower Hubbard subband.
- Pure band motion mixes states from two subbands via H_t^+ and H_t^- .
- Unmixing can be achieved via rotation to a new basis.

Define \mathbf{H}_{eff} :

$$H_{eff} = e^{iS}He^{-iS} = H + i[S,H] + \frac{i^2}{2}[S,[S,H]] + \dots + \dots$$
$$= H_U + H_t^+ + H_t^- + H_t^o + i[S,H_U] + i[S,H_U + H_t^+ + H_t^- + H_t^o] + H_t^o$$

. – p.47/5

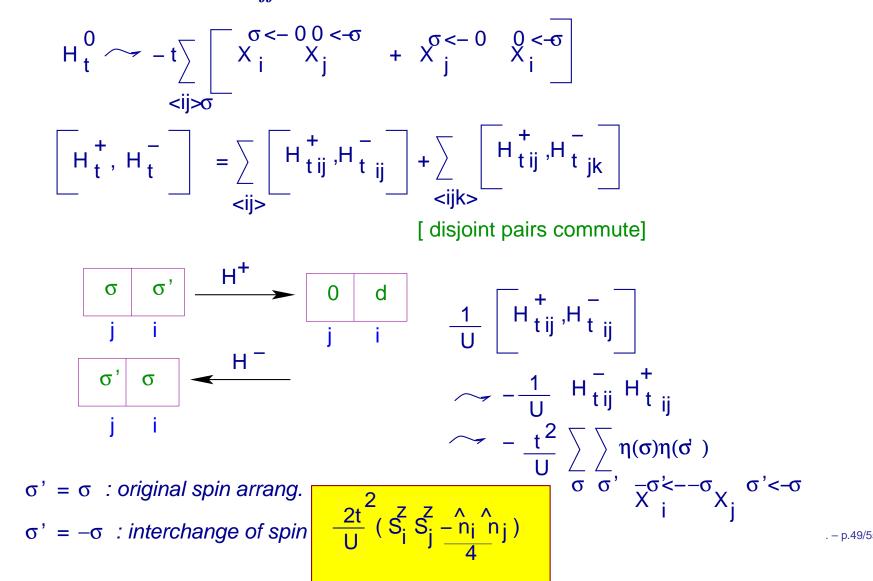
Hubbard Operators



. – p.48/5

t-J model

• Consider action of H_{eff} on the low energy sub-space



Heisenberg model

$$\begin{aligned} H_{eff} &\approx \mathsf{H}_{t-J} &= -t \sum_{ij} -\sum_{\sigma} (1 - \hat{n}_{i-\sigma}) c_{i\sigma}^{\dagger} C_{j\sigma} (1 - \hat{n}_{i-\sigma}) + h.c. \\ &+ \frac{4t^2}{U} [S_i S_j - \frac{\hat{n}_i \hat{n}_j}{4}] + 3 - siteterms.. \end{aligned}$$

Exact half-filling (n=1):

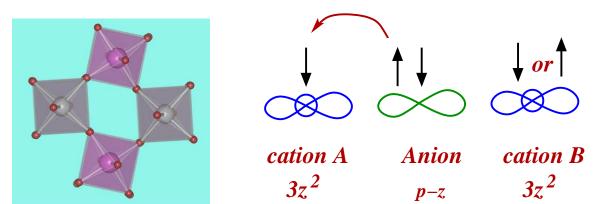
$$H = J \sum_{ij} S_i S_j$$

 \Rightarrow AF Heisenberg model [$J = \frac{4t^2}{U}$]

→ if neighboring sites are $\uparrow\downarrow$, a virtual hopping process can create an intermediate 0d pair state with energy U [associated energy gain $\frac{t^2}{U}$.

 \rightarrow If spins are $\uparrow\uparrow$ or $\downarrow\downarrow$, hopping is prohibited by Pauli principle.

Super-exchange

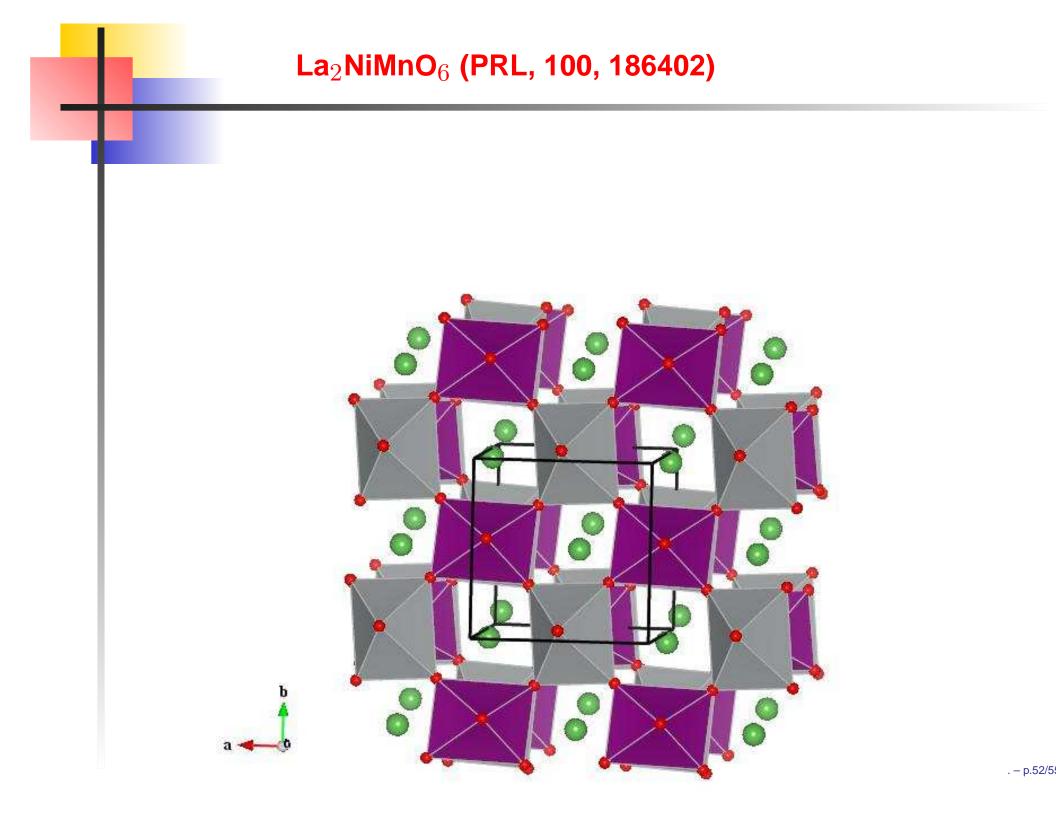


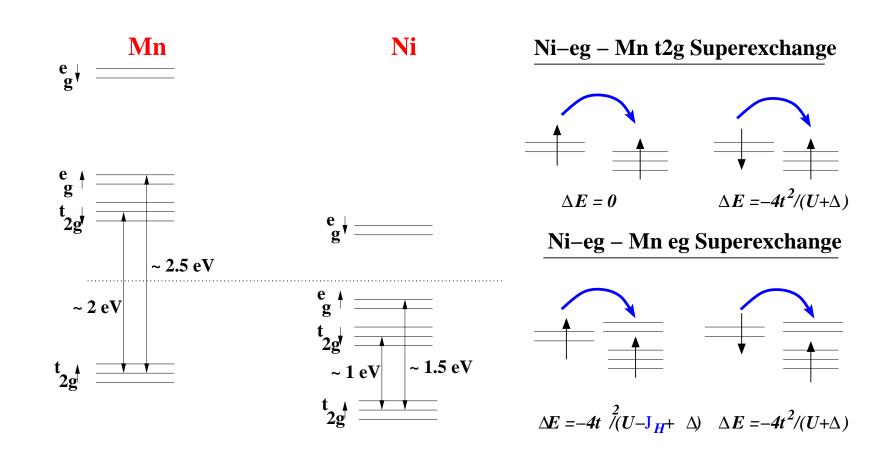
$$|pz \uparrow > \sim \frac{|pz \uparrow > + b/d_A \uparrow >}{\sqrt{1+b^2}} \quad [b \sim \frac{|pz/H/d_A >}{\varepsilon_p - \varepsilon_d}]$$

For
$$\downarrow \downarrow$$
 configuration: $E_{\downarrow \downarrow} \sim \frac{1}{1+2b^2} (\langle pz \uparrow / +b \langle d_A \uparrow / +b \langle d_B \uparrow /)$

$$\langle pz / H / pz \rangle (/pz \uparrow > + b / d_A \uparrow > + b / d_B \uparrow >)$$
For $\downarrow \uparrow$ configuration:
$$E \xrightarrow{2 \epsilon_p} + \frac{2b^2}{1+2b^2} (\epsilon_p - \epsilon_d)$$

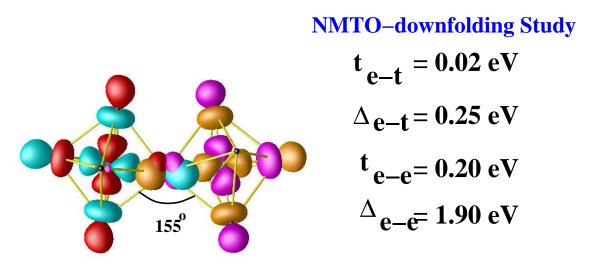
Exchange:
$$E - E = 2 b^4 (\varepsilon_d - \varepsilon_p)$$
 SUPER-EXCHANGE





Use NMTO-downfolding to estimate Δ -s and t-s





$$J_{Ni-Mn} = J^{AF} + J^{FM} = 4 \frac{\sum (t_{e,t})^2}{(U + \Delta_{e,t})} - 4 \frac{\sum (t_{e,e})^2 J_H}{(U + \Delta_{e,e} - J_H)(U + \Delta_{e,e})}$$

 $\Rightarrow J_{Ni-Mn} \approx$ 4-7 meV (U \approx 4-5 eV,J_H=0.9 eV)

Recent technological developments allow for the realistic description of strongly correlated electron system taking into account both the material specific chemical knowledge and the strong correlation aspect.