

# A Banach function space $X$ for which all operators from $l^p$ to $X$ are compact

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# Outline

- 1 Vector measures
- 2 Operators
- 3 The construction

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# Vector measure

Let  $(\Omega, \Sigma)$  be a measurable space and  $X$  a Banach space.

A (countably additive) vector measure is

$$\nu: \Sigma \rightarrow X$$

satisfying, for any disjoint family  $(A_i)_{i=1}^{\infty}$  of  $\Sigma$ -measurable sets, that

$$\nu \left( \bigcup_{i=1}^{\infty} A_i \right) = \sum_{i=1}^{\infty} \nu(A_i),$$

with convergence in the topology of  $X$ .

# Examples

- For  $1 \leq p < \infty$ :

$$A \in \mathcal{M}([0, 1]) \mapsto \nu_p(A) := \chi_A \in L^p([0, 1]).$$

- For  $(r_n)$  the Rademacher functions:

$$A \in \mathcal{M}([0, 1]) \mapsto \nu(A) := \left( \int_A r_n(t) dt \right)_{n=1}^{\infty} \in \ell^2,$$

where

$$r_n(t) := \text{sign}(\sin(2^n \pi t)), \quad n \in \mathbb{N}.$$

# The space of vector measures

- Fix  $(\Omega, \Sigma)$  a measurable space and  $X$  a Banach space. The collection of all vector measures  $\nu: \Sigma \rightarrow X$  is a linear space denoted by

$$ca(\Sigma, X).$$

- It becomes a Banach space when endowed with the norm of the (total) semivariation:

$$\|\nu\| := \sup \left\{ |x^* \nu|(\Omega) : x^* \in X^*, \|x^*\| \leq 1 \right\},$$

where

- $X^*$  is the topological dual space of  $X$ .
- $x^* \nu$  is the scalar measure  $A \in \Sigma \mapsto x^* \nu(A) \in \mathbb{R}$ .
- $|x^* \nu|$  is the variation of  $x^* \nu$ .

# The space of vector measures

- The semivariation of  $\nu$  is a **sub-additive** set function:

$$A \in \Sigma \mapsto \|\nu\|(A) := \sup \{ |x^* \nu|(A) : x^* \in X^*, \|x^*\| \leq 1 \}.$$

- An equivalent expression for the semivariation is

$$\sup_{B \in \Sigma, B \subset A} \|\nu(B)\|_X \leq \|\nu\|(A) \leq 2 \sup_{B \in \Sigma, B \subset A} \|\nu(B)\|_X.$$

- Countably additive vector measures are **bounded**:

$$\nu \in \mathbf{ca}(\Sigma, X) \Rightarrow \|\nu\| < \infty.$$

# The range of a vector measure

- The **range** of a vector measure  $\nu: \Sigma \rightarrow X$  is

$$rg(\nu) := \left\{ \nu(A) : A \in \Sigma \right\} \subset X \quad (\text{it is a bounded set in } X).$$

- Bartle-Dunford-Schwartz (1955):  $rg(\nu)$  is **relatively weakly compact** in  $X$ :

1 There exists  $\mu$  with  $\|\nu\| \ll \mu = |x_0^* \nu|$ ,  $x_0^* \in X^*$  (Rybakov, 1970).

2 The  $\nu$ -integration operator  $T$

$$S = \sum_{i=1}^n a_i \chi_{A_i} \mapsto T(S) := \sum_{i=1}^n a_i \nu(A_i) \in X,$$

can be extended to  $T: L^\infty(\mu) \rightarrow X$ .

3  $T: L^\infty(\mu) \rightarrow X$  is **weak\*-to-weak** continuous.



## Measures with compact range

- A relevant subspace of  $ca(\Sigma, X)$  is

$$cca(\Sigma, X) := \left\{ \nu \in ca(\Sigma, X) : \nu \text{ has relatively compact range in } X \right\}.$$

$cca(\Sigma, X)$  is a closed subspace of  $ca(\Sigma, X)$  for the norm of the semivariation.

- The situation of  $cca(\Sigma, X) \subseteq ca(\Sigma, X)$  is a question of interest:

$$ca(\Sigma, X) = cca(\Sigma, X) \iff \mathcal{L}(\ell^\infty, X) = \mathcal{K}(\ell^\infty, X),$$

every bounded linear operator  $T: \ell^\infty \rightarrow X$  is compact.

# A result of Lech Drewnowski

## Theorem (1990, 1998)

Suppose that the  $\sigma$ -algebra  $\Sigma$  admits a nonzero, atomless, finite, positive measure. TFAE:

- (a)  $ca(\Sigma, X) \supset \ell^\infty$ .
- (b)  $ca(\Sigma, X) \supset c_0$ .
- (c)  $cca(\Sigma, X) \supset c_0$ .
- (d)  $\mathcal{L}(\ell^2, X) \neq \mathcal{K}(\ell^2, X)$ .

- (d): There exists a bounded, linear and non-compact operator

$$T: \ell^2 \rightarrow X.$$

# A problem

Let  $X$  be a Banach space.

Is it true that

$$\mathcal{L}(\ell^2, X) = \mathcal{K}(\ell^2, X) \Rightarrow \mathcal{L}(\ell^\infty, X) = \mathcal{K}(\ell^\infty, X)?$$

## A definition by Hans Jarchow

- For  $1 \leq p \leq \infty$ , denote by

$$\mathcal{K}_p$$

the collection of all Banach spaces  $X$  satisfying

$$\mathcal{W}(L^p([0, 1]), X) = \mathcal{K}(L^p([0, 1]), X).$$

- Here:
  - $\mathcal{L}(Y, X)$  are the bounded linear operators  $T: Y \rightarrow X$ .
  - $\mathcal{W}(Y, X)$  are the weakly compact linear operators  $T: Y \rightarrow X$ .
  - $\mathcal{K}(Y, X)$  are the compact linear operators  $T: Y \rightarrow X$ .
- In general

$$\mathcal{K}(Y, X) \subseteq \mathcal{W}(Y, X) \subseteq \mathcal{L}(Y, X).$$

## Some facts

- For  $p = 1$ :

$$\mathcal{W}(L^1, X) = \mathcal{K}(L^1, X) \iff X \text{ is a Schur space}$$

(compact and weakly compact subsets of  $X$  coincide).

- For  $1 < p < \infty$ :

$$X \in \mathcal{K}_p \iff \mathcal{L}(L^p, X) = \mathcal{K}(L^p, X).$$

(due to reflexivity of  $L^p$ ).

- For  $p = \infty$ :

$$X \in \mathcal{K}_\infty \iff \mathcal{L}(\ell^\infty, X) = \mathcal{K}(\ell^\infty, X).$$

(since  $\ell^\infty \approx L^\infty([0, 1])$  and facts on operators).

# Results by Hans Jarchow

## Theorem (1991)

(a) For  $1 < r < p < 2$  we have

$$\mathcal{K}_1 \subsetneq \mathcal{K}_r \subsetneq \mathcal{K}_p \subsetneq \mathcal{K}_2.$$

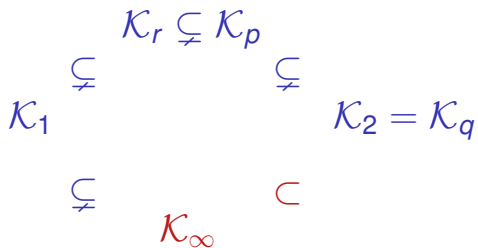
(b) For  $2 < q < \infty$  we have

$$\mathcal{K}_2 = \mathcal{K}_q.$$

(c) For  $q = \infty$  we have

$$\mathcal{K}_1 \subsetneq \mathcal{K}_\infty \subseteq \mathcal{K}_2.$$

For  $1 < r < p < 2 < q < \infty$



# A problem by Hans Jarchow

Is it true that

$$\mathcal{K}_p \subseteq \mathcal{K}_\infty \subsetneq \mathcal{K}_2, \quad (1 < p < 2)?$$

That is, for  $1 < p < 2$

$$\mathcal{L}(\ell^p, X) = \mathcal{K}(\ell^p, X) \Rightarrow \mathcal{L}(\ell^\infty, X) = \mathcal{K}(\ell^\infty, X)?$$

and

$$\mathcal{L}(\ell^2, X) = \mathcal{K}(\ell^2, X) \not\Rightarrow \mathcal{L}(\ell^\infty, X) = \mathcal{K}(\ell^\infty, X)?$$

The previous problem was

$$\mathcal{L}(\ell^2, X) = \mathcal{K}(\ell^2, X) \Rightarrow \mathcal{L}(\ell^\infty, X) = \mathcal{K}(\ell^\infty, X)?$$

which corresponds to  $\mathcal{K}_2 \subseteq \mathcal{K}_\infty$ .



# Solution to the previous problems

We prove the following:

For  $1 < p < \infty$

$$\mathcal{L}(\ell^p, X) = \mathcal{K}(\ell^p, X) \not\cong \mathcal{L}(\ell^\infty, X) = \mathcal{K}(\ell^\infty, X).$$

From where it follows that

$$\mathcal{K}_p \not\subset \mathcal{K}_\infty, \quad (1 < p \leq 2).$$

# The result

Theorem (C. & Rodríguez-Piazza, 2014)

*There exists a rearrangement invariant space  $X$  on  $[0, 1]$  such that, for every  $p \in (1, \infty)$ , if*

$$T: \ell^p \rightarrow X$$

*is a bounded linear operator then  $T$  is compact.*

Consequences:

- $\mathcal{L}(\ell^p, X) = \mathcal{K}(\ell^p, X)$ .
- Since  $X$  is rearrangement invariant

$$L^\infty([0, 1]) \subseteq X \subseteq L^1([0, 1]),$$

together with  $\ell^\infty \approx L^\infty([0, 1])$  implies there exists a non-compact operator  $\ell^\infty \rightarrow X$ .

# The construction

- Given two sequences  $a = (a_k)$  and  $\varepsilon = (\varepsilon_k)$  satisfying
  - $(\varepsilon_k)$  decreases to zero.
  - $a_k > 0$  for all  $k \geq 1$ .
  - $\sum_{k=1}^{\infty} \varepsilon_k a_k = 1$ .
- We define

$$X(\varepsilon, a) := \left\{ f \in L^1([0, 1]) : \|f\|_X := \sum_{k=1}^{\infty} a_k \int_0^{\varepsilon_k} f^*(t) dt < \infty \right\}.$$

# General properties of $X$

The space  $X$  satisfies:

- $X$  is a rearrangement invariant Banach function space,

$$\|f\|_1 \leq \|f\|_X \leq \|f\|_\infty.$$

- $X$  is separable.
- The  $X$ -valued vector measure

$$A \in \mathcal{M}([0, 1]) \longmapsto \chi_A \in X$$

has non relatively compact range in  $X$ .

# Comments

- $X$  is a Lorentz space  $\Lambda(\varphi)$  space, for  $\varphi' = \sum_{k=1}^{\infty} a_k \chi_{[0, \varepsilon_k]}$ .
- Every Lorentz space is  $\Lambda(\varphi) = X(\varepsilon, a)$  for adequate  $\varepsilon$ , and  $a$ :
  - $a_k = 2^k$ .
  - $\varepsilon_k$  such that  $\{t \in [0, 1] : \varphi'(t) > 2^k\} = [0, \varepsilon_k]$ .
- Lemma 1. A property of  $X$ :

*If  $f_n \rightarrow 0$  weakly in  $X$  and  $\|f_n\|_X \geq C > 0$ , then, for some  $\delta > 0$ ,*

$$\|f_n\|_{L^1} \geq \delta.$$

Proof: directly via sliding hump argument; or via the Subsequence Splitting Property of Kadec and Pełczyński.

# A particular choice

- The space  $X$  which proves the theorem is given by the following choice:
  - $\varepsilon_k := \exp(-e^{2^{k+1}})$ .
  - $a_k := 2^{-k} \exp(e^{2^{k+1}})$ .
- Lemma 2: functions  $f \in X$  satisfy:

$$m\left(\{t \in [0, 1] : |f(t)| > \lambda \|f\|_X\}\right) \leq \exp(-e^\lambda).$$

# The technical lemma

Lemma 3:

Let  $T: \ell^p \rightarrow X$  be bounded and linear operator. Suppose it satisfies

$$\|T(e_n)\|_{L^q} \geq 1, \quad n \geq 1,$$

where  $1/p + 1/q = 1$ .

Then, there exists a constant  $C_p > 0$ , a sequence  $(x_n) \subset \ell^p$  with  $\|x_n\|_{\ell^p} \leq 1$ , and scalars  $\lambda_n \rightarrow \infty$  such that:

$$m\left(\{t \in [0, 1] : |T(x_n)(t)| > \lambda_n\}\right) > \exp(-C_p \lambda_n^q).$$

# The proof

Let  $T: \ell^p \rightarrow X$  bounded linear. Suppose  $T$  is not compact.

- 1 There exists  $S: \ell^p \rightarrow X$  with  $\|S(e_n)\|_X \geq 1$ .
- 2 From Lemma 1:  $\|S(e_n)\|_{L^1} > \delta$ . This implies  $\|S(e_n)\|_{L^q} > \delta$ .
- 3 From Lemma 3:

$$m\left(\{t \in [0, 1] : |S(x_n)(t)| > \lambda_n\}\right) > \exp(-C_p \lambda_n^q),$$

for some  $C_p > 0$ ,  $x_n \in \ell^p$ , and  $\lambda_n \rightarrow \infty$ .

- 4 From Lemma 2:

$$m\left(\{t \in [0, 1] : |S(x_n)(t)| > \lambda_n\}\right) \leq \exp(-e^{\lambda_n}).$$



# Conclusion

## Theorem

There exists a rearrangement invariant space  $X$  on  $[0, 1]$  such that, for every  $p \in (1, \infty)$ , if

$$T: \ell^p \rightarrow X$$

is a bounded linear operator then  $T$  is compact.

## Theorem

$$\mathcal{L}(\ell^p, X) = \mathcal{K}(\ell^p, X) \not\cong \mathcal{L}(\ell^\infty, X) = \mathcal{K}(\ell^\infty, X).$$

Thank you for your attention.