#### BENCHMARK CALCULATIONS OF ATOMIC DATA FOR PLASMA AND DISCHARGE APPLICATIONS

Klaus Bartschat, Drake University

Moscow State University Moscow, June 29, 2011 Many Thanks to:



Igor Bray, Dmitry Fursa, Arati Dasgupta,

Alexei Grum-Grzhimailo, Don Madison, ...

John Giuliani, George Petrov, Graeme Lister, Andreas Dinklage, Dirk Dodt Michael Allan, Steve Buckman, Michael Brunger, Hartmut Hotop, Morty Khakoo, and many other experimentalists who are pushing us hard ...

Phil Burke, Charlotte Froese Fischer, Oleg Zatsarinny

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#### BENCHMARK CALCULATIONS OF ATOMIC DATA FOR PLASMA AND DISCHARGE APPLICATIONS OVERVIEW:

#### I. Introduction:

- Who cares, and why?
- Data Wanted vs. Data Available
- Production and Assessment of Atomic Collision Data

#### **II.** Numerical Methods

- Distorted-Wave Methods
- The Close-Coupling Method: Recent Developments CCC, RMPS, IERM, TDCC, ECS, ..., **BSR**

#### **III. Selected Results**

- Energy Levels
- Oscillator Strengths
- Cross Sections for Electron–Impact Excitation and Ionization (H, He, ..., Au, Hg)

#### **IV.** Applications

- Modelling of a Molybdenum-Oxide Lamp
- Modelling of a Ne Discharge
- V. Summary and Outlook

## **Motivation (Theoretical investigations)**

NRL Radiation Hydrodynamics Code 6720

Why Study Excitation of Neutral Complex Atoms?

Basic research on electron collision with heavy atomic species:

- Theoretical investigation is challenging due to difficult target description; channel coupling is also difficult.
- Accurate cross sections are needed for a wide range of electron energies; need for different theoretical approaches valid for low and high energy regions.
- Large disparities between recent calculations and measurements; available experimental datasets are limited but can be used as benchmark checks.

## **Motivation (Applications)**



Rare gases in plasma applications

plasma displayplasma processingz-pinchespanelslaser amplifierslighting

#### Ar-Xe laser at NRL; high-pressure Xe lamp (Greifswald)

Develop a thorough understanding of the Ar-Xe atomic and molecular processes, kinetics, and reaction channels that affect the gain of the Ar-Xe laser.

As a first step, calculate accurate cross sections for all electron-induced transitions between the ground state  $5p^6$  and the excited  $5p^56s$ ,  $5p^56p$ , and  $5p^55d$  states in Xenon.

Major Challenge: Lasing is between highly excited levels

## **Motivation (Applications)**



Spectroscopic analysis of Plasma discharges

## Molybdenum-oxide lamp

To predict the most important kinetic pathways leading to emission of visible and ultraviolet radiation.

**Challenge**: The Mo atom has extremely complicated atomic structure. The visible radiation is a result of a sequence of transitions between the excited states of Mo with electrons.







## Reconstruction of Electron Energy Distribution Functions from Optical Emission Spectroscopy

#### Dirk Dodt<sup>1</sup>, Andreas Dinklage<sup>1</sup>, Rainer Fischer<sup>1</sup>, Klaus Bartschat<sup>2</sup>,Oleg Zatsarinny<sup>2</sup>

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## Motivation: Somebody wants to know about Xe ...

IOP PUBLISHING

J. Phys. D: Appl. Phys. 40 (2007) 4532-4543

JOURNAL OF PHYSICS D: APPLIED PHYSICS

doi:10.1088/0022-3727/40/15/025

## **Electron kinetics of the e-beam pumped Ar–Xe laser**

G M Petrov<sup>1</sup>, J L Giuliani<sup>1</sup>, J P Apruzese<sup>1</sup>, A Dasgupta<sup>1</sup>, Tz Petrova<sup>2</sup>, K Bartschat<sup>3</sup> and D Rose<sup>4</sup>

IOP PUBLISHING

JOURNAL OF PHYSICS D: APPLIED PHYSICS

J. Phys. D: Appl. Phys. 42 (2009) 185203 (8pp)

doi:10.1088/0022-3727/42/18/185203

## Near-infrared collisional radiative model for Xe plasma electrostatic thrusters: the role of metastable atoms

Rainer A Dressler<sup>1</sup>, Yu-hui Chiu<sup>2</sup>, Oleg Zatsarinny<sup>3</sup>, Klaus Bartschat<sup>3</sup>, Rajesh Srivastava<sup>4</sup> and Lalita Sharma<sup>4,5</sup>

## ... for a variety of reasons

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## Dielectric barrier discharge lamp comprising an uv-b phosphor Xe or Xe/Ne mix

USPTO Application #: 20090223901

Title: Dielectric barrier discharge lamp comprising an uv-b phosphor

Abstract: This invention relates to a dielectric barrier Xe discharge lamp comprising an UV-B phosphor. The lamp consists of a gas-tight, discharge vessel with a gas filling containing Xe or a Xe/Ne mixture and is provided with a luminescent layer, which comprises at least one luminescent material emitting in the UV-B range (280 to 320 nm). The luminescent layer comprises a Gd3+ activated phosphor according to the formulas McPO4:Gd, Lal1-XAl3(BO3)4:Gdx, and La1-xB3O6:Gdx which is eventually sensitised by Bi3+, Pr3+ or Nd3+ The advantages of such an UV-B emitting discharge lamp over fluorescent lamps based on a Hg low-pressure discharge are a high power density, 10 instant light, long lifetime, a spectral power distribution which is only determined by the phosphor blend, and an arbitrary burner design. (end of abstract)

Agent: <u>Philips Intellectual Property & Standards</u> - <u>Briarcliff Manor, NY, US</u> Inventors: <u>Thomas Juestel</u>, <u>Petra Huppertz</u>, <u>Detlef Uwe Wiechert</u>, <u>Walter Mayr</u>, <u>Heinrich Von Busch</u> USPTO Applicaton #: 20090223901 - Class: 210748 (USPTO)

## **Calculations are extremely difficult!**

- Experiment: Buckman et al. (1983) not absolute
- Theory: Semi-relativistic Breit-Pauli R-matrix (close-coupling) models



## Data Wanted vs. Data Available ...



15 20

incident energy (eV)

## **Production and Assessment of Atomic Data**

- Data for electron collisions with atoms and ions are needed for **modeling processes** in
  - laboratory plasmas, such as discharges in lighting and lasers
  - astrophysical plasmas
  - planetary atmospheres
- The data are obtained through
  - experiments
    - valuable but expensive (\$\$\$) benchmarks (often differential in energy, angle, spin, ...)
    - often problematic when absolute (cross section) normalization is required
  - calculations (Opacity Project, Iron Project, ...)
    - relatively cheap
    - almost any transition of interest is possible
    - often restricted to particular energy ranges:
      - high ( $\rightarrow$  Born-type methods)
      - low ( $\rightarrow$  close-coupling-type methods)
    - cross sections may peak at "intermediate energies" ( $\rightarrow$  ???)
  - good (or bad?) guesses
- Sometimes the results are (obviously) wrong or (more often) inconsistent!

Basic Question: WHO IS RIGHT? (And WHY???) For complete data sets, theory is often the "only game in town"!

## **Numerical Approaches**

#### • Born-type methods

- PWBA, DWBA, FOMBT, PWBA2, DWBA2, ...
- fast, easy to implement, flexible target description, test physical assumptions
- two states at a time, no channel coupling, problems for low energies and optically forbidden transitions, results depend on the choice of potentials, unitarization

## • (Time-Independent) Close-coupling-type methods

- CCn, CCO, CCC, RMn, IERM, RMPS, DARC, BSR,  $\ldots$
- Standard method of treating low-energy scattering; based upon the expansion

$$\Psi_E^{LS\pi}(\mathbf{r}_1,\ldots,\mathbf{r}_{N+1}) = \mathcal{A} \sum_i \Phi_i^{LS\pi}(\mathbf{r}_1,\ldots,\mathbf{r}_N,\mathbf{\hat{r}}) \frac{1}{r} F_{E,i}(r)$$

- simultaneous results for transitions between **all states** in the expansion; sophisticated, publicly available codes exist; results are **internally consistent**
- expansion must be cut off ( $\rightarrow$  CCC, RMPS, IERM)
- usually, a single set of mutually orthogonal one-electron orbitals is used for all states in the expansion ( $\rightarrow$  BSR with non-orthogonal orbitals)
- Time-dependent and other direct methods
  - TDCC, ECS
  - solve the Schrödinger equation directly on a grid
  - very expensive, only possible for (quasi) one- and two-electron systems.

#### **Numerical Methods**

- Born-type methods
- Close-coupling-type methods (time-independent)
- Time-dependent and other direct methods

#### The (First-Order) Distorted-Wave Approximation

- Standard method of treating high-energy scattering
- Based upon the "two-potential approach"

$$V = V_1 + (V - V_1) = V_1 + V_2$$

and the solution of differential equation

$$\left[\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} - 2\left\{U_E(r) + V_{E,\mathrm{rel}}(r) - E\right\}\right] \chi_{E,l}(r) = 0.$$

• The potential

$$\begin{split} V_1 &\equiv U_E(r) + V_{\rm E,rel}(r) \\ &= U_{\rm static}(r) + U_{E,\rm exch}(r) + U_{E,\rm pol}(r) + i\,U_{E,\rm abs}(r) + V_{E,\rm rel}(r) \end{split}$$

is "easy" to handle, while the rest  $(V_2)$  is only accounted for to first order.

#### • Advantages:

- $\bullet~{\rm fast}$
- relatively easy to implement
- flexible target description possible
- easy to test assumptions about the physics involved

#### • Disadvantages:

- channel coupling is neglected (no Feshbach resonances)
- problems for low energies and optically forbidden transitions
- results depend on the choice of  $V_1$
- lack of unitarization can be a problem

#### The (Time-Independent) Close-Coupling Expansion

- Standard method of treating low-energy scattering
- Based upon an expansion of the total wavefunction as

$$\Psi_E^{LS\pi}(\mathbf{r}_1,\ldots,\mathbf{r}_{N+1}) = \mathcal{A} \sum_i \Phi_i^{LS\pi}(\mathbf{r}_1,\ldots,\mathbf{r}_N,\mathbf{\hat{r}}) \frac{1}{r} F_{E,i}(r)$$

• Target states  $\Phi_i$  diagonalize the N-electron target Hamiltonian according to

$$\langle \Phi_{i'} \mid H_T^N \mid \Phi_i \rangle = E_i \, \delta_{i'i}$$

• The unknown radial wavefunctions  $F_{E,i}$  are determined from the solution of a system of coupled integrodifferential equations given by

$$\left[\frac{d^2}{dr^2} - \frac{\ell_i(\ell_i+1)}{r^2} + k^2\right] \, F_{E,i}(r) = 2 \sum_j V_{ij}(r) \, F_{E,j}(r) + 2 \sum_j W_{ij} \, F_{E,j}(r)$$

with the direct coupling potentials

$$V_{ij}(r) = -\frac{Z}{r} \,\delta_{ij} + \sum_{k=1}^{N} \langle \Phi_i \mid \frac{1}{|\mathbf{r}_k - \mathbf{r}|} \mid \Phi_j \rangle$$

and the exchange terms

$$W_{ij}F_{E,j}(r) = \sum_{k=1}^{N} \left\langle \Phi_i \mid \frac{1}{|\mathbf{r}_k - \mathbf{r}|} \mid (\mathcal{A} - 1) \, \Phi_j F_{E,j} \right\rangle$$

 $\mathbf{H} \Psi = \mathbf{E} \Psi$ 

• For each "i", one needs several sets of independent solutions subject to the boundary conditions

$$\begin{split} F_{E,ij}(r=0) &= 0\\ \lim_{r \to \infty} F_{E,ij} &= \delta_{ij} \, \sin\left(k_i r - \frac{1}{2}\ell_i \pi\right) + \mathcal{K}_{ij} \, \cos\left(k_i r - \frac{1}{2}\ell_i \pi\right); \ i = 1, n_{open}\\ \lim_{r \to \infty} F_{E,ij} &= \mathcal{C}_{ij} \, \exp(-|k_i|r); \ i > n_{open} \end{split}$$

- Collision problem consists of finding the solution for each total energy.
- Possible simplifications:
  - No exchange outside a sphere of radius  $a (\rightarrow R-matrix method)$
  - "Effective range formula" and simpler Born-type approximations

#### • Advantages:

- based on an "exact" expansion
- simultaneous results for transitions between all states in the expansion
- sophisticated, publicly available codes exist

#### • Disadvantages:

- expansion must be cut off
- usually, a single set of mutually orthogonal one-electron orbitals is used for all states in the expansion
- pseudo-orbitals may increase the flexibility but bring new problems with them

• For each "i", one needs several sets of independent solutions subject to the boundary conditions

$$\begin{split} F_{E,ij}(r=0) &= 0\\ \lim_{r \to \infty} F_{E,ij} &= \delta_{ij} \, \sin\left(k_i r - \frac{1}{2}\ell_i \pi\right) + \mathcal{K}_{ij} \, \cos\left(k_i r - \frac{1}{2}\ell_i \pi\right); \ i = 1, n_{open}\\ \lim_{r \to \infty} F_{E,ij} &= \mathcal{C}_{ij} \, \exp(-|k_i|r); \ i > n_{open} \end{split}$$

- Collision problem consists of finding the solution for each total energy.
- Possible simplifications:
  - No exchange outside a sphere of radius  $a \rightarrow \mathbf{R}$ -matrix method)
  - "Effective range formula" and simpler Born-type approximations
- Advantages:
  - based on an "exact" expansion
  - simultaneous results for transitions between all states in the expansion
  - sophisticated, publicly available codes exist
- Disadvantages:
  - expansion must be cut off
  - usually, a single set of mutually orthogonal one-electron orbitals is used for all states in the expansion
  - pseudo-orbitals may increase the flexibility but bring new problems with them

# Close-coupling can yield *complete* data sets, and the results are *internally consistent* (unitary theory that conserves total flux)!

#### **Big Problem:**

#### Treatment of the Target Continuum States ?

- "Convergent Close-Coupling" **CCC**
- "*R*-Matrix with Pseudo-States" **RMPS** Method
- "Intermediate Energy  $\mathcal{R}$ -Matrix" **IERM** Method
- Idea: Represent both the discrete and the continuum target states by diagonalizing the target Hamiltonian in a large square-integrable basis:
  - lower eigenvalues and eigenvectors represent physical bound states;
  - discrete negative-energy pseudo-states approximate the effect of the infinite number of physical discrete states;
  - discrete positive-energy pseudo-states approximate the effect of the target continuum.

All three methods can handle IONIZATION via excitation of pseudo-states with positive energy!

#### Inclusion of Relativistic Effects

• **Re-coupling** of non-relativistic results (**CCC**; problematic near threshold)



- Perturbative approach (matrix elements calculated between non-relativistic wavefunctions; Breit-Pauli R-matrix)
- **Dirac**-based approach (**DARC** = **D**irac **A**tomic **R**-Matrix **C**ode; needs further development)

2008: CCC and BSR now fully relativistic! (applied to outer-shell e-Cs and e-Au) 2009: DBSR fully operational: e-Kr, Xe, Au, Hg with opening of core ===> e-W, W<sup>+</sup> may be within reach :):):) J. Phys. B: At. Mol. Opt. Phys. 38 (2005) 1667–1678

doi:10.1088/0953-4075/38/11/008

# The agreement of Breit–Pauli and Dirac *R*-matrix collision strengths for iron peak elements: an Fe<sup>14+</sup> case study

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#### Abstract

In calculating collision strengths and excitation rates for electron impact on moderately ionized iron peak elements, one might question whether the Breit–Pauli *R*-matrix method is sufficiently accurate as compared with the Dirac *R*-matrix method. We test this for Fe<sup>14+</sup> by removing as far as possible any variation in algorithmic features, such as the energy mesh and target state expansion, as opposed to genuine differences between the two approaches. We find the average difference between the Breit–Pauli and Dirac *R*-matrix effective collision strengths is only 6%, which confirms the hypothesis that if one gets the Dirac and Breit–Pauli target states close, and resolves the resonances adequately (we use up to 384 101 points), then the Dirac and Breit–Pauli collision strengths are in good agreement. We finally tabulate the best converged effective collision strengths for  $T = 10^5 - 10^7$  K for all transitions involving the lowest 10 levels of Fe<sup>14+</sup>.



**Figure 1.** Effective collision strengths for two strong dipole-allowed transitions; results from the Breit–Pauli *R*-matrix calculation are represented by the solid lines and those from the Dirac *R*-matrix calculation by the dashed lines. The upper graph is for the  $3s^2 {}^1S_0 - 3s^2 p^1 P_1$  transition and the bottom graph is for the  $3s^2 p^2 P_1 - 3p^2 {}^3P_2$  transition.

**Figure 2.** Effective collision strengths for two double-electron transitions; results from the Breit–Pauli *R*-matrix calculation are represented by the solid lines and those from the Dirac *R*-matrix calculation by the dashed lines. The upper graph is for the  $3s^2 {}^{1}S_0 - 3p^2 {}^{1}D_2$  transition and the lower graph is for the  $3s^2 {}^{1}S_0 - 3p^2 {}^{1}D_2$  transition and the lower graph is for the  $3s^2 {}^{1}S_0 - 3p^2 {}^{3}P_2$  transition.

6e+06

6e+06

8e+06

8e+06

**Breit-Pauli seems o.k. for this case (and many others!)** 

#### Time-dependent and time-independent close-coupling methods for the electron-impact ionization of Be<sup>+</sup>

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$$i \frac{\partial P_{\ell_1 \ell_2}^{LS}(r_1, r_2, t)}{\partial t} = T_{l_1 l_2}(r_1, r_2) P_{l_1 l_2}^{LS}(r_1, r_2, t) + \sum_{l_1', l_2'} V_{l_1 l_2, l_1' l_2'}^{L}(r_1, r_2) P_{l_1' l_2'}^{LS}(r_1, r_2, t),$$
(1)

$$T_{l_1 l_2}(r_1, r_2) = -\frac{1}{2} \frac{\partial^2}{\partial r_1^2} - \frac{1}{2} \frac{\partial^2}{\partial r_2^2} + V_{PP}^{l_1}(r_1) + V_{PP}^{l_2}(r_2),$$
(2)

$$V_{l_{1}l_{2},l_{1}'l_{2}'}^{L}(r_{1},r_{2}) = (-1)^{L+l_{2}+l_{2}'} \sqrt{(2l_{1}+1)(2l_{1}'+1)(2l_{2}+1)(2l_{2}'+1)} \sum_{\lambda} \frac{r_{<}^{\lambda}}{r_{>}^{\lambda+1}} \begin{pmatrix} l_{1} & \lambda & l_{1}' \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l_{2} & \lambda & l_{2}' \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} L & l_{2}' & l_{1}' \\ \lambda & l_{1} & l_{2} \end{pmatrix}.$$
(3)

The coupled partial differential equations are solved on a two-dimensional lattice using an explicit time propagator. At time t=0 the wave function  $P_{l_1 l_2}^{LS}(r_1, r_2, 0)$  is constructed as a symmetric product of an incoming radial wave packet for the scattering electron and a bound radial orbital  $P_{nl}(r)$  for the valence electron. Following the collision at time t=T, the spin-averaged electron-impact ionization cross section is given by

#### **Time-development of a wavepacket for e-Be<sup>+</sup>**

#### PINDZOLA, ROBICHEAUX, BADNELL, AND GORCZYCA





FIG. 1. <sup>1</sup>S partial-wave probability densities for electron-impact ionization of Be<sup>+</sup> at 50.0 eV using a model potential: (a) contour plot for  $|P_{ss}^{00}(r_1, r_2, t=0)|^2$  and (b) contour plot for  $|P_{ss}^{00}(r_1, r_2, t=20)|^2$ .

FIG. 2. <sup>1</sup>*S* partial-wave probability densities for electron-impact ionization of Be<sup>+</sup> at 50.0 eV using a model pseudopotential: (a) contour plot for  $|P_{ss}^{00}(r_1, r_2, t=0)|^2$  and (b) contour plot for  $|P_{ss}^{00}(r_1, r_2, t=20)|^2$ .

#### Benchmark Results for e-H Excitation Bartschat, Bray, Burke, and Scott, J. Phys. B 29 (1996) 5493

- Using a variational method, Schwartz solved the **low-energy elastic e-H scattering problem** with high accuracy in the early 1960's.
- As seen in further benchmark work in the inelastic regime, **CCC**, **RMPS**, and **IERM** predictions agree extremely well with each other, and also with the experimental data (dots on 2s, 2p) of Williams (1988). [From Bartschat, Bray, Burke, and Scott, J. Phys. B **29** (1996) 5493.]



#### Total Cross Section and Spin Asymmetry in e-H Ionization K. Bartschat and I. Bray, J. Phys. B 29 (1996) L577



#### Total Cross Sections for Electron-Impact Excitation of Helium K. Bartschat, J. Phys. B 31 (1998) L469



In 1998, de Heer recommends 0.5 x (CCC+RMPS) for uncertainty of 10% — independent of experiment!

So far, so good ...



There are BIG differences in excitation from the metastsable!

#### It looks like theory may be correct after all!

PRL 94, 173201 (2005)

PHYSICAL REVIEW LETTERS

week ending 6 MAY 2005

#### Electron Collisions with Laser Cooled and Trapped Metastable Helium Atoms: Total Scattering Cross Sections

L. J. Uhlmann, R. G. Dall, A. G. Truscott, M. D. Hoogerland,\* K. G. H. Baldwin, and S. J. Buckman<sup>†</sup>



Bartschat [4] demonstrates that a total of four calculations, from an early eikonal approximation to several versions of the R-matrix approach, and the CCC technique all give essentially the same result, which favors the lower excitation cross section. The present total cross section result, also shown in Fig. 4, should, by definition, be larger than any partial cross section that contributes to it. Thus our measurements also strongly favor the earlier Wisconsin result [18], which is smaller than the present total cross section, over the later one [19].

#### PHYSICAL REVIEW A 68, 062705 (2003)

#### Electron-impact excitation of beryllium and its ions

C. P. Ballance and D. C. Griffin Department of Physics, Rollins College, Winter Park, Florida 32789, USA

#### J. Colgan, S. D. Loch, and M. S. Pindzola Department of Physics, Auburn University, Auburn, Alabama 36849, USA (Received 24 August 2003; published 18 December 2003)

Inelastic electron scattering from light atomic species is of fundamental importance and has significant applications in fusion-plasma modeling. Therefore, it is of interest to apply advanced nonperturbative, close-coupling methods to the determination of electron-impact excitation for these atoms. Here we present the results of *R* matrix with pseudostate (RMPS) calculations of electron-impact excitation cross sections through the n=4 terms in Be, Be<sup>+</sup>, Be<sup>2+</sup>, and Be<sup>3+</sup>. In order to determine the effects of coupling of the bound states to the target continuum in these species, we compare the RMPS results with those from standard *R*-matrix calculations. In addition, we have performed time-dependent close-coupling calculations for excitation from the ground and the metastable terms of Be<sup>+</sup> and the metastable term of Be<sup>3+</sup>. In general, these results are found to agree with those from our RMPS calculations. The full set of data resulting from this work is now available on the Oak Ridge National Laboratory Controlled Fusion Atomic Data Center web site, and will be employed for collisional-radiative modeling of Be in magnetically confined plasmas.



FIG. 2. Electron-impact excitation cross sections from the  $2s^{2} {}^{1}S$  ground term of Be to the  $2snp {}^{3}P$  and  $2snp {}^{1}P$  excited terms for n=3 and 4. Dashed curves are from the present 29-term *R*-matrix calculation; solid curves are from the present 280-term RMPS calculation; solid circles are from CCC calculations as described in Fursa and Bray [10] and provided at the CCC database web site [11].

FIG. 3. Electron-impact excitation cross sections from the  $2s^{2} {}^{1}S$  ground term of Be to the  $2sns {}^{1}S$  and  $2snd {}^{1}D$  excited terms. Dashed curves are from the present 29-term *R*-matrix calculation; solid curves are from the present 280-term RMPS calculation; solid circles are from CCC calculations as described in Fursa and Bray [10] and provided at the CCC database web site [11].

### A Grand Challenge: Electron Collisions with Molybdenum



## Mo-O<sub>3</sub>-Ar = electrodeless non-Hg discharge bulb



 $p_{Ar}$ = 1 Torr, charged with MO<sub>3</sub> powder,  $R_{bulb}$ =1.3 cm,  $P_{RF}$ =200 W@ 13.6 MHz

#### Unique lamp properties:

Electrodeless, non-LTE, low pressure plasma, non-Hg, metallic emitter, recycling chemistry, displaying a broad spectrum with component near optimum.

#### **Spectrum:**

Calibrated for absolute intensities.



10,000 lumens, ~ 40 lpw

NRL

Code 6720

Giuliani, Petrov, Pechacek & Meger, Trans. Plas. Sci., **31**, 564 (2003) Shamamian, et al., patent, 2000

NRL

The Mo lamp is a low-pressure gas discharge lamp. The active element (Mo) emits direct visible light covering the UV and the whole visible domain



Reference: G M Petrov, J L Giuliani, A Dasgupta, K Bartschat and R Pechacek , *Journal of Applied Physics* **95** (2004) 5284

## **Excitation pathways of visible and UV emission from Mo**



#### **Motivation**

Predict the most important kinetic pathways leading to emission of visible and ultraviolet radiation.

## Objective

Establish the main pathways leading to emission of visible and UV radiation from Mo atoms by using a set of atomic data (excitation cross sections).

## Challenge

The Mo atom has extremely complicated atomic structure. The visible radiation is a result of a sequence of transitions between the excited states of Mo with electrons.

## Path #1:visible radiation with wavelengths 550-603 nm



#### $Mo(a^7S^e) \Rightarrow Mo(z^7P^o) \Rightarrow Mo(c^5D^e) \Rightarrow Mo(z^5P^o) \Rightarrow radiation.$



# Path #2-3:Visible radiation with wavelengths 550–603 and 444-446 nm



#### $Mo(a^7S^e) \Rightarrow Mo(a^5S^e) \Rightarrow Mo(z^5P^o) \Rightarrow radiation.$



#### $Mo(a^7S^e) \Rightarrow Mo(a^5D^e) \Rightarrow Mo(y^5P^o) \Rightarrow radiation.$



## Path #4:visible radiation with wavelengths 430 nm

NRL fiation Hydrodyna

Code 6720




# **Excitation Cross sections of Mo I (R-Matrix calculations)**

NRL



Reference: K Bartschat, A Dasgupta, G M Petrov and J L Giuliani, *J. Phys. B.* **35** (2002) & *New Journal of Physics* **6** (2004) 145,

## **Excitation Cross sections of Mo I**



**Cross sections -> Boltzmann code and chemical-diffusion model; Pathway to visible light** 

**Does theory have any chance?** 

# **Spectra of Mo: Model vs. Experiment**

NRL



## back to the noble gases ...

Metastable Production in Electron Collisions with Ar and Xe



**Oops** — maybe we should try a bit harder?

### Metastable Excitation Function in Kr

Experiment: Buckman et al (1983), multiplied by 0.67

Theories: 31-state Breit-Pauli R-matrix (Zeman & Bartschat 1998) 51-state Breit-Pauli R-matrix (Bartschat & Grum-Grzhimailo 2000)



#### Electron-Impact Excitation of Krypton $(4p^55s)^3P_2$ [Phys. Rev. A 65 (2002) 042724]

#### Theory:

Dasgupta/Madison (DW) Bartschat/Grum-Grzhimailo (BPRM)

#### **Experiment:**

Kolokov and Terekhova  $(\bullet)$ 

Mityureva et al.  $(\circ)$ 

New data from the Wisconsin group agree much better with the theoretical predictions.

The real trouble is the disagreement among the theories!



### **History of the Belfast R-Matrix Program**

• Basic Idea: Rewrite close-coupling expansion as

$$\Psi_E(\pmb{r}_1,...,\pmb{r}_{N+1}) = \sum_k A_{Ek} \Psi_k(\pmb{r}_1,...,\pmb{r}_{N+1})$$

with energy-independent basis functions

$$\Psi_k(\mathbf{r}_1,...,\mathbf{r}_{N+1}) = \sum_{ij} a_{ijk} \Phi(\mathbf{r}_1,...,\hat{\mathbf{r}}_{N+1}) u_{ij}(r) / r + \sum_j b_{jk} \chi_j(\mathbf{r}_1,...,\mathbf{r}_{N+1})$$

- Applications to atoms:
  - Burke et al (1971), Burke and Robb (1975)
  - Many calculations since then; see Burke and Berrington (1993)
- Computer Codes:
  - **RMATRX-I:** Berrington *et al* (1995)
  - **RMATRX-II:** non-relativistic, improved angular integration (P.G. Burke, V.M. Burke)
  - **PRMAT:** parallelized version of RMATRX-II + FARM, Sunderland *et al* (2002)
  - Badnell's RMAT: http://amdpp.phys.strath.ac.uk/rmatrix/

**RMATRX-I** with possibility for radiative damping

- Principal ingredient: a single set of orthogonal one-electron orbitals
  - $< P_{n\ell} | u_{k\ell} >= 0 \rightarrow$ large (N+1)-electron expansions needed for consistency
  - $< P_{n\ell} | P_{n'\ell} >= 0 \rightarrow$  difficulties to achieve accurate target representation (term-dependence, relaxation effects, correlation)
- pseudo-orbitals can increase flexibility but also lead to pseudo-states and pseudo-resonances

### **Example of Term-Dependence: 4d and 5d orbitals in Kr and Xe**

- **strong term dependence** of the valence orbitals
- need to include relativistic effects due to the fine-structure splitting of the np<sup>5</sup> core
- strong interaction between np<sup>5</sup>(n+1)s and np<sup>5</sup>nd levels
- core-valence and inner-core correlation



This is how BSR started at Drake

# **Can R-matrix (close-coupling) do better?**

A general and effective program for electron collisions with atoms and ions using a B-spline approach with non-orthogonal orbitals

Oleg Zatsarinny and Klaus Bartschat

NSF Award Numbers: PHY-0311161 (ITR) and PHY-0555226

now PHY-0903818 + Teragrid

# We have a great new program :):):)

General B-Spline R-Matrix (Close-Coupling) Programs (D)BSR

- Key Ideas:
  - Use *B*-splines as universal basis set to represent the continuum orbitals
  - Allow non-orthogonal orbital sets for bound and continuum radial functions

### not just the numerical basis!



- Consequences:
  - Much improved target description possible with small CI expansions
  - $\bullet$  Consistent description of the N-electron target and (N+1)-electron collision problems
  - No "Buttle correction" since B-spline basis is effectively complete
- Complications:
  - Setting up the Hamiltonian matrix can be very complicated and lengthy
  - Generalized eigenvalue problem needs to be solved
  - Matrix size typically **50,000** and higher due to size of *B*-spline basis
  - Rescue: Excellent numerical properties of *B*-splines; use of (SCA)LAPACK *et al.*

# [One of] our apparatus ...

# TACC TEXAS ADVANCED COMPUTING CENTER Ranger User Guide



Operations		579 TFLOPS (Peak)
Nodes(blades)	Four Quad-Core AMD Opteron processors	3,936 Nodes / 62,976 Cores
Memory	Distributed	123 TB Aggregate
Shared Disk	Lustre, parallel File System	1.7 PB Raw

## History of the B-Spline R-matrix program

- van der Hart (1997) shows that the idea works very well for e-H collisions.
- Zatsarinny and Fischer (1999) develop **Breit\_NO**, a general program for angular integrations with non-orthogonal orbital sets.
- Zatsarinny and Fischer (2000) describe a general (non-relativistic) BSR program and use it for photo-ionization of Li.
- Zatsarinny and Bartschat (2004) include relativistic effects and use it for electron-impact excitation of Ne.
- Zatsarinny publishes the code in Comp. Phys. Commun. 174 (2006) 273.
- Zatsarinny and Bartschat (2008) develop a fully relativistic version and use it for e-Cs, e-Au, e-Hg.
- A large number (growing fast) of calculations have now been performed for:
  - electron-impact excitation of complex atoms and ions
  - atomic structure (!)
  - photo-ionization and photodetachment.

## List of early calculations with the BSR code (rapidly growing)

hv + Li	Zatsarinny O and Froese Fischer C J. Phys. B 33 313 (2000)							
$hv + \text{He}^-$	Zatsarinny O, Gorczyca T W and Froese Fischer C J. Phys. B. 35 4161 (2002)	)						
$hv + C^{-}$	Gibson N D et al. Phys. Rev. A 67, 030703 (2003)	at least 30 more						
$hv + B^-$	Zatsarinny O and Gorczyca T W Abstracts of XXII ICPEAC (2003)							
$hv + O^-$	Zatsarinny O and Bartschat K Phys. Rev. A 73 022714 (2006)	SINCE 2000						
$hv + Ca^{-}$	Zatsarinny O et al. Phys. Rev. A 74 052708 (2006)							
e + He	Stepanovic et al. J. Phys. B 39 1547 (2006)							
	Lange M et al. J. Phys. B 39 4179 (2006)							
<b>e</b> + <b>C</b>	Zatsarinny O, Bartschat K, Bandurina L and Gedeon V Phys. Rev. A 71 0427	02 (2005)						
e + O	Zatsarinny O and Tayal S S J. Phys. B 34 1299 (2001)							
	Zatsarinny O and Tayal S S J. Phys. B 35 241 (2002)							
	Zatsarinny O and Tayal S S As. J. S. S. 148 575 (2003)							
e + Ne	Zatsarinny O and Bartschat K J. Phys. B 37 2173 (2004)							
	Bömmels J et al. Phys. Rev. A 71, 012704 (2005)	Bömmels J et al. Phys. Rev. A 71, 012704 (2005)						
	Allan M et al. J. Phys. B 39 L139 (2006)							
e + Mg	Bartschat K, Zatsarinny O, Bray I, Fursa D V and Stelbovics A T J. Phys. B 3	7 2617 (2004)						
e + S	Zatsarinny O and Tayal S S J. Phys. B 34 3383 (2001)							
	Zatsarinny O and Tayal S S J. Phys. B 35 2493 (2002)							
e + Ar	Zatsarinny O and Bartschat K J. Phys. B 37 4693 (2004)							
e + K (inner-shell)	Borovik A A et al. Phys. Rev. A, 73 062701 (2006)							
e + Zn	Zatsarinny O and Bartschat K Phys. Rev. A 71 022716 (2005)							
$e + Fe^+$	Zatsarinny O and Bartschat K Phys. Rev. A 72 020702(R) (2005)							
e + Kr	Zatsarinny O and Bartschat K J. Phys. B 40 F43 (2007)							
e + Xe	Allan M, Zatsarinny O and Bartschat K Phys. Rev. A 030701(R) (2006)							
Rydberg series in C	Zatsarinny O and Froese Fischer C J. Phys. B 35 4669 (2002)							
osc. strengths in Ar	Zatsarinny O and Bartschat K J. Phys. B: At. Mol. Opt. Phys. 39 2145 (2006)	)						
osc. strengths in S	Zatsarinny O and Bartschat K J. Phys. B: At. Mol. Opt. Phys. 39 2861 (2006	)						
osc. strengths in Xe	Dasgupta A et al. Phys. Rev. A 74 012509 (2006)							

### I will show a small selection of results ...

## Structure Calculations with the BSR Code

IOP PUBLISHING

Phys. Scr. T134 (2009) 014020 (9pp)

Physica Scripta

doi:10.1088/0031-8949/2009/T134/014020

# B-spline calculations of oscillator strengths in noble gases Phys. Scr. T 134 (2009) 014020

#### **Oleg Zatsarinny and Klaus Bartschat**

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#### Abstract

B-spline box-based multi-channel calculations of transition probabilities in noble gases are reported for energy levels up to n = 12. Energy levels and oscillator strengths for transitions from the  $p^6$  ground-state configuration, as well as for transitions between excited states, have been computed in the Breit–Pauli approximation. Individually optimized, term-dependent sets of non-orthogonal valence orbitals are used to account for the strong term dependence in the one-electron orbitals. The agreement in the length and velocity gauges of the transition data and the accuracy of the binding energies are used to estimate the accuracy of our results, which are also compared with experimental and other theoretical data. It is shown that the present method can be used for accurate calculations of oscillator strengths for states with intermediate to high *n*-values, for which it is difficult to apply standard multi-configuration Hartree–Fock (MCHF) methods. Recent developments based on the extension of our computer codes from the semi-relativistic Breit–Pauli Hamiltonian to the full relativistic Dirac–Breit Hamiltonian are also reported.

### Some Examples of Structure Results ...

#### Binding energies (NIST) and energy differences (computed – observed) in eV for low-lying

levels in Ne, Ar, Kr and Xe.

Ne	NIST	Diff.	Ar	NIST	Diff.	Kr	NIST	Diff.	Xe	NIST	Diff.
2p <sup>6</sup>	21.565	-0.061	3p <sup>6</sup>	15.760	-0.044	$4p^6$	14.000	-0.024	5p <sup>6</sup>	12.565	0.020
3s [3/2]2	4.945	0.012	4s[3/2]2	4.211	0.102	5s [3/2]2	4.084	0.128	6s[3/2]2	4.250	0.100
3s [3/2]1	4.894	0.015	4s[3/2]1	4.136	0.100	5s [3/2]1	3.967	0.115	6s[3/2]1	4.129	0.094
3s'[1/2]0	4.849	0.014	4s'[1/2]0	4.036	0.099	5s'[1/2]0	3.437	0.136	6s'[1/2]0	3.118	0.061
3s'[1/2]1	4.716	0.014	4s'[1/2]1	3.932	0.095	5s'[1/2]1	3.356	0.127	6s'[1/2]1	2.996	0.071
		0.200			0.310			0.350			0.300
3p [1/2]1	3.183	-0.007	4p[1/2]1	2.853	0.033	5p [1/2]1	2.696	0.033	6p[1/2]1	2.985	0.071
3p [5/2]3	3.009	-0.009	4p[5/2]3	2.684	0.024	5p [5/2]3	2.557	0.036	6p[5/2]2	2.880	0.068
3p [5/2]2	2.989	-0.007	4p[5/2]2	2.665	0.028	5p [5/2]2	2.555	0.046	6p[5/2]3	2.845	0.055
3p [3/2]1	2.952	-0.009	4p[3/2]1	2.606	0.022	5p [3/2]1	2.473	0.031	6p[3/2]1	2.776	0.043
3p [3/2]2	2.928	-0.008	4p[3/2]2	2.588	0.029	5p [3/2]2	2.454	0.036	6p[3/2]2	2.744	0.057
3p [1/2]0	2.853	-0.008	4p[1/2]0	2.487	0.024	5p [1/2]0	2.334	0.052	6p[1/2]0	2.632	0.064
		0.070			0.130			0.140			0.130
3p'[3/2]1	2.871	-0.012	4p'[3/2]1	2.477	0.020	5p'[3/2]1	1.899	0.042	6p'[3/2]1	1.608	0.040
3p'[3/2]2	2.860	-0.010	4p'[3/2]2	2.457	0.025	5p'[1/2]1	1.859	0.034	6p'[3/2]2	1.511	0.057
3p'[1/2]1	2.838	-0.012	4p'[1/2]1	2.432	0.023	5p'[3/2]2	1.856	0.039	6p'[1/2]1	1.496	0.053
3p'[1/2]0	2.599	-0.009	4p'[1/2]0	2.280	0.018	5p'[1/2]0	1.743	0.048	6p'[1/2]0	1.424	0.065
		0.075			0.120			0.130			0.120

# Binding energies (NIST) and energy differences (computed – observed) in eV for low-lying levels in Ne, Ar , Kr and Xe .

Ne	NIST	Diff.	Ar	NIST	Diff.	Kr	NIST	Diff.	Xe	NIST	Diff.
3d [1/2]0	1.540	-0.004	$3d[1/2]_0$	1.915	0.116	4d [1/2]0	2.001	0.116	5d[1/2]0	2.675	0.014
3d [1/2]1	1.538	-0.004	$3d[1/2]_1$	1.896	0.113	4d [1/2]1	1.963	0.112	5d[1/2]1	2.648	0.066
3d [7/2]4	1.530	-0.001	3d[3/2] <sub>2</sub>	1.856	0.105	4d [3/2]2	1.888	0.101	5d[7/2]4	2.622	0.168
3d [7/2]3	1.530	-0.006	3d[7/2] <sub>4</sub>	1.780	0.081	4d [7/2]4	1.874	0.094	5d[3/2]2	2.607	0.042
3d [3/2]2	1.528	-0.005	3d[7/2] <sub>3</sub>	1.747	0.072	4d [7/2]3	1.821	0.083	5d[7/2]3	2.526	0.144
3d [3/2]1	1.524	-0.005	3d[5/2] <sub>2</sub>	1.697	0.064	4d [5/2]2	1.742	0.072	5d[5/2]2	2.408	0.109
3d [5/2]2	1.516	-0.007	3d[5/2] <sub>3</sub>	1.661	0.048	4d [5/2]3	1.715	0.068	5d[5/2]3	2.345	0.122
3d [5/2]3	1.516	-0.006	$3d[3/2]_1$	1.607	0.047	4d [3/2]1	1.645	0.058	5d[3/2]1	2.164	0.011
		0.015			0.180			0.200			0.250
3d'[5/2]2	1.428	-0.009	3d'[5/2] <sub>2</sub>	1.546	0.054	4d'[3/2]2	1.196	0.041	5d'[5/2]2	1.264	0.034
3d'[5/2]3	1.428	-0.008	3d'[3/2] <sub>2</sub>	1.526	0.045	4d'[5/2]2	1.174	0.042	5d'[3/2]2	1.227	0.020
3d'[3/2]2	1.427	-0.008	3d'[5/2] <sub>3</sub>	1.524	0.048	4d'[5/2]3	1.142	0.038	5d'[5/2]3	1.190	0.035
3d'[3/2]1	1.425	-0.007	3d'[3/2] <sub>1</sub>	1.456	0.025	4d'[3/2]1	0.995	0.019	5d'[3/2]1	0.958	0.016
		0.010			0.150			0.160			0.225
4s [3/2]2	1.901	0.007	5s[3/2] <sub>2</sub>	1.691	0.028	6s [3/2]2	1.647	0.020	7s[3/2]2	2.003	0.034
4s [3/2]1	1.876	0.006	5s[3/2] <sub>1</sub>	1.670	0.026	6s [3/2]1	1.614	0.023	7s[3/2]1	1.972	0.034
4s'[1/2]0	1.804	0.005	5s'[1/2] <sub>0</sub>	1.519	0.018	6s'[1/2]0	0.970	0.026	7s'[1/2]0	0.697	0.039
4s'[1/2]1	1.785	0.006	5s'[1/2] <sub>1</sub>	1.505	0.019	6s'[1/2]1	0.963	0.023	7s'[1/2]1	0.688	0.039
		0.040			0.070			0.080			0.075



Oscillator strengths for excitation from the ground state in Ne, as obtained in the length  $(F_L)$  and velocity  $(F_V)$  forms of the electric dipole operator, respectively.

Upper level	F <sub>L</sub>	Fv	Froese Fischer (2003)	Seaton (1998)	NIST	Chan <i>et al</i> (1992)	Zhong <i>et al</i> (1997)
$3s[3/2]_1$	0.0118	0.0116	0.0109	0.0126	0.0118	0.0118(6)	0.0124(38)
3s'[1/2] <sub>1</sub>	0.0158	0.0156	0.0151	0.0168	0.0149	0.0159(8)	0.0156(9)
$4s[3/2]_1$	0.0126	0.0129	0.0132	0.0152	0.0086	0.0129(6)	0.0126(6)
4s'[1/2] <sub>1</sub>	0.0174	0.0179	0.0152	0.0193	0.013	0.0165(8)	0.0167(7)
3d[1/2] <sub>1</sub> +3d[3/2] <sub>1</sub>	0.0194	0.0198	0.0169	0.0223		0.0186(9)	0.0183(8)
3d'[3/2] <sub>1</sub>	0.00716	0.00732	0.00631	0.00859	0.0065	0.00665(33)	0.00687(32)
5s[3/2] <sub>1</sub>	0.00628	0.00640		0.00727		0.00637(32)	0.00645(18)
5s'[1/2] <sub>1</sub>	0.00481	0.00490		0.00502		0.00461(23)	0.00407(29)
4d[1/2] <sub>1</sub> +4d[3/2] <sub>1</sub>	0.00906	0.00895		0.0101		0.00944(32)	0.00937(37)
4d'[3/2] <sub>1</sub>	0.00432	0.00427		0.00481		0.00439(22)	0.00447(13)
6s[3/2] <sub>1</sub>	0.00325	0.00331		0.00371		0.00330(30)	0.00324(19)
6s'[1/2] <sub>1</sub>	0.00168	0.00172		0.00203		0.00156(16)	0.00220(45)
5d[1/2] <sub>1</sub> +5d[3/2] <sub>1</sub>	0.00520	0.00510		0.00538		0.00543(54)	0.00449(52)
5d'[3/2] <sub>1</sub>	0.00255	0.00249		0.00273		0.00229(23)	

Seaton (1998) – semiempirical QDT; Froese Fischer (2003) – Breit-Pauli MCHF; Chan *et al* (1992) – photoabsorption Zhong *et al* (1997) – electron-impact study.



Ne



Xe



#### Oscillator strengths for excitation from the ground state in Xe.

Upper	Breit	-Pauli	Di	rac	Chan	Suzuki
level	F <sub>L</sub>	F <sub>V</sub>	F <sub>L</sub>	F <sub>V</sub>	et al (1992)	et al (1996)
$6s[3/2]_1$	0.278	0.224	0.260	0.258	0.273	0.0222
6s'[1/2] <sub>1</sub>	0.186	0.157	0.188	0.189	0.186	0.158
$5d[1/2]_1$	0.0399	0.0345	0.0083*	0.0071	0.0105	
$5d[3/2]_1$	0.380	0.303	0.303	0.327	0.379	0.298
$7s[3/2]_1$	0.0785	0.0633	0.0791	0.0783	0.0859	0.0738
$6d[1/2]_1$	0.0001	0.0002	0.0003	0.0005	< 0.001	
6d[3/2] <sub>1</sub>	0.0939	0.0758	0.0987	0.0873	0.0835	
8s[3/2] <sub>1</sub>	0.0262	0.0211	0.0201	0.0192	0.0222	
$7d[1/2]_1$	0.0146	0.0105	0.0395	0.0441	0.0227	
$7d[3/2]_1$	0.0088	0.0072	0.0064	0.0081	< 0.001	
9s[3/2] <sub>1</sub>	0.0001	0.0002	0.0002	0.0003	< 0.001	
5d'[3/2] <sub>1</sub>	0.151	0.114	0.167	0.170	0.191	
8d[1/2] <sub>1</sub>	0.0123	0.0091	0.0068	0.0071	0.0088	
8d[3/2] <sub>1</sub>	0.119	0.091	0.0846	0.0835	0.0967	
$10s[3/2]_1$	0.0139	0.0110	0.0139	0.0134	0.0288	

Chan *et al*, Phys.Rev. A **46**, 149 (1992) - Photoabsorption Suzuki *et al*, Phys.Rev. **53**, 4138 (1996) - Electron-impact study

## Length vs. Velocity Results An Estimate for the Accuracy



# **Summary of structure work**

- The non-orthogonal orbital technique allows us account for **term-dependence** and **relaxation** effects practically to full extent. At the same time, this reduce the size of the configuration expansions, because we use **specific non-orthogonal sets of correlation orbitals** for different kinds of correlation effects.
- **B**-spline multi-channel models allow us to treat entire Rydberg series and can be used for accurate calculations of oscillator strengths for states with intermediate and high *n*-values. For such states, it is difficult to apply standard CI or MCHF methods.
- The accuracy obtained for the low-lying states is close to that reached in large-scale MCHF calculations.
- **Good agreement with experiment** was obtained for the transitions from the ground states and also for transitions between excited states.
- Calculations performed in this work: s-, p-, d-, and f-levels up to n = 12.

Ne - 2	.99	states	-	11300	transitions
<b>Ar</b> - 3	859	states	-	19000	transitions
Kr - 2	12	states	-	6450	transitions
Xe - 1	.25	states	-	2550	transitions



- All calculations are fully *ab initio*.
- The **computer code BSR** used in the present calculations and the results for Ar were recently published:
  - **BSR:** O. Zatsarinny, Comp. Phys. Commun. **174** (2006) 273
  - Ar: O. Zatsarinny and K. Bartschat, J. Phys. B **39** (2006) 2145

# Phys. Scr. T 134 (2009) 014020

# Let's move on to Collisions ...

### **Metastable yield in e-Ne collisions**



### position of resonance predicted to 1 meV accuracy !!!



Bömmels J, Franz K, Hoffman T H, Gopalan A, Zatsarinny O, Bartschat K, Ruf M.-W., and Hotop H Low-lying resonances in electron-neon scattering: measurements at 4 meV resolution and comparison with theory Phys. Rev. A **71**, 012704 (2005)



### **Electron-Impact Excitation of Ne (2p<sup>5</sup>3p)**



dashed line: RM31

How about angle-differential measurements?

How about higher energies?



## Resonances in the excitation of the Ne (2p<sup>5</sup>3p) states Allan, Franz, Hotop, Zatsarinny, Bartschat (2009), J. Phys. B 42, 044009



Expanded view of the resonant features in selected cross sections for the excitation of the 3p states. Experiment is shown by the more ragged red line, theory by the smooth blue line. The present experimental energies, labels (using the notation of Buckman *et al.* (1983), and configurations of the resonances are given above the spectra. Threshold energies are indicated below the lower spectrum.

### Electron-Impact Excitation of Ne (2p<sup>5</sup>3s): Differential Cross Sections at 20 eV



Experiment: Khakoo *et al*.



# **Electron-impact excitation of neon: a pseudo-state convergence study**

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#### Abstract

A number of convergent close-coupling and *R*-matrix with pseudo-state (RMPS) calculations for H-like, He-like, Li-like and Be-like ions have demonstrated that coupling to the target continuum can have large effects on the electron-impact excitation cross sections of neutral and low-charge species. However, no one has yet attempted such advanced calculations on a system as complex as neutral neon. We report on a series of RMPS calculations of electron-impact excitation of Ne using recently developed parallel Breit-Pauli *R*-matrix programs. Our largest calculation included 235 spectroscopic and pseudo-state levels in the close-coupling expansion of the target. Although the results clearly reveal the importance of coupling to the target continuum in this atom, the pseudo-state expansion is not yet sufficiently complete to provide reliable cross sections for energies above the ionization limit. However, this is the largest intermediate-coupling calculation that can be performed with present computer resources. Thus, we have also carried out a series of RMPS calculations in LS coupling with different pseudo-state expansions. Comparisons of these results have allowed us to determine the approximate size of the pseudo-state expansion required to achieve convergence in future intermediate-coupling calculations for neon.



**Figure 1.** Breit–Pauli calculations of cross sections for excitation from the  $2p^{6} {}^{1}S_{0}$  ground level of neon to the  $2p^{5}3s 3/2[3/2]_{2}$  level in (a); to the  $2p^{5}3s 3/2[3/2]_{1}$  level in (b); to the  $2p^{5}3s 1/2[1/2]_{0}$  level in (c); and to the  $2p^{5}3s 1/2[1/2]_{1}$  level in (d). The dashed curves are from the present 115-level *R*-matrix calculation; the solid curves are from the present 235-level RMPS calculation; the solid circles are from the experimental results of Khakoo *et al* [4]; and the X show the energy distribution of the pseudo states in the RMPS calculation.





# **Application !!!**

# Reconstruction of Electron Energy Distribution Functions from Optical Emission Spectroscopy

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# **Collisional Radiative Model**



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 $\dot{n}_i = P_i + L_i$ 

- Balance equation for each excited state density
- Elementary processes:
  - Electron impact (de)excitation
  - Radiative transitions
  - Atom collisions
  - Wall losses
- Linear equation for  $n_i$
#### Modeled Spectrum Without Uncertainty in Atomic Data





Modeled Spectrum — One Cross Section x 0.4

#### Although we are are [almost] perfect, let's allow for some uncertainty ...

## **Uncertainty Estimate of Oscillator Strengths**



## **Uncertainty Estimate of Cross Sections**

final state	width of prior distribution	
	(relative error)	
$2p^{5}3s, J = 1$	10%	
$2p^5 3s, J = 2$	20%	
$2p^{5}3p$	40%	
$2p^{5}4s$	60%	
$2p^{5}3d$	60%	

Estimated uncertainties of the excitation cross sections.

These corrections are implemented by allowing for an energy-independent correction factor.

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Modeled Spectrum With "Reasonable Uncertainty in Atomic Data"



#### **Reconstructed EEDF With Uncertainty in Atomic Data**

Reference: Uhrlandt and Franke, J. Phys. D 35 (2002), 680



# This is the real check!

## **Results: Are the Atomic Data Consistent?**





looks pretty good; correction factors mostly consistent with 1.0

## Let's Zoom In ...





**OOPS** ?!?!?!

## **Results: Significant Correction Factors for a Few Transitions**

Most significant corrections obtained from the measured spectral data. The corrections are given for cross sections of excitation from the *ground* state and the *lowest 3s* state.

	initial state:			
final state:	ground	$2p^{5}(^{2}P^{\circ}_{3/2})  3s^{2}[\frac{3}{2}]^{\circ}, J = 2$		
$2p^{5}(^{2}P^{\circ}_{3/2})  3d^{2}[\frac{1}{2}]^{\circ}, J = 1$	$0.43^{+0.3}_{-0.27}$			
$2p^{5}(^{2}P_{3/2}^{\circ})  3d^{2}[\frac{3}{2}]^{\circ}, J = 1$	$0.27\substack{+0.17 \\ -0.14}$			
$2p^{5}(^{2}P_{1/2}^{\circ})  3d^{2}[\frac{3}{2}]^{\circ}, J = 1$	$0.17\substack{+0.15 \\ -0.11}$			
$2p^{5}(^{2}P^{\circ}_{3/2}) 3p^{2}[\frac{1}{2}], J = 1$		$1.3^{+0.2}_{-0.16}$		
$2p^{5}(^{2}P_{1/2}^{\circ}) 3p^{2}[\frac{1}{2}], J = 0$	$0.66\substack{+0.28\\-0.21}$			

Explanation: The results for these transitions are very sensitive to the inclusion of continuum coupling! (already suggested in 2004 by Ballance and Griffin)

**Collaboration between Data Producers and Data Users is Beneficial for Both!** 

### **One of Many Remaining Problems: Heavy Targets**

## Metastable Excitation Function in Kr





# **Reminder: e-Xe is difficult!**

# **Calculations are extremely difficult!**

- Experiment: Buckman et al. (1983) not absolute
- Theory: Semi-relativistic Breit-Pauli *R*-matrix (close-coupling) models



### Metastable Excitation Function in Xe



#### **Energy spectrum of Hg**



## Popular Datasets for e-Hg Collisions in 2002 Metastable Excitation Function

- experiment: Newman *et al.*
- semi-empirical Rockwood "R"



## Popular Datasets for e-Hg Collisions in 2002 VUV Excitation Function

- experiment: Peitzmann and Kessler
- semi-empirical Rockwood "R"



# **Tables**

TABLE I: Target states of Hg used in the DBSR model. Most of the experimental energies are taken from the NIST database [C. E. Moore, *Atomic Energy Levels* Vol. 3, NSRDS-NBS 35, 1971 **ENERGY IEVEIS** with an asterisk. The latter we Phys. B 4, 1236 (1971)].

Configuration	Term	Expt. $(eV)$	Theory (eV)	Diff. $(eV)$
$6s^2$	${}^{1}S_{0}$	0.000	0.000	0.000
6s6p	${}^{3}P_{0}^{o}$	4.667	4.590	-0.078
6s6p	${}^{3}P_{1}^{o}$	4.887	4.821	-0.065
6s6p	${}^{3}P_{2}^{o}$	5.461	5.401	-0.060
6s6p	${}^{1}P_{1}^{o}$	6.704	6.848	0.144
6s7s	${}^{3}S_{1}$	7.730	7.794	0.064
6s7s	${}^{1}S_{0}$	7.926	7.953	0.027
$5d^{9}6s^{2}6p$	${}^{3}P_{2}^{o}$	8.541	8.533	-0.007
6s7p	${}^{3}P_{0}^{o}$	8.619	8.613	-0.006
6s7p	${}^{3}P_{1}^{o}$	8.637	8.630	-0.007
$5d^{9}6s^{2}6p$	${}^{3}D_{3}^{o}$	$8.794^{*}$	8.816	0.021
6s7p	${}^{3}P_{2}^{o}$	8.829	8.842	0.014
6s7p	${}^{1}P_{1}^{o}$	8.839	8.845	0.006
6s6d	${}^{3}D_{1}$	8.845	8.847	0.002
6s6d	${}^{1}D_{2}$	8.844	8.850	0.006
6s6d	${}^{3}D_{2}$	8.852	8.866	0.014
6s6d	${}^{3}D_{3}$	8.856	8.868	0.011
6s8s	${}^{3}S_{1}$	9.170	9.169	-0.001
6s8s	${}^{1}S_{0}$	9.225	9.215	-0.010
$5d^{9}6s^{2}6p$	${}^{3}F_{4}^{o}$	9.540	9.513	-0.027
$5d^{9}6s^{2}6p$	${}^{1}D_{2}^{o}$	9.755	9.730	-0.025
$5d^{9}6s^{2}6p$	${}^{1}P_{1}^{o}$	9.772	9.745	-0.027
$5d^{9}6s^{2}6p$	${}^{1}F_{3}^{o}$	$9.934^{*}$	9.877	-0.057
$5d^{9}6s^{2}6p$	${}^{3}F_{3}^{o}$		9.908	
ionization limit		10.438		
$5d^{9}6s^{2}6p$	${}^{3}F_{2}^{o}$		10.602	
$5d^{9}6s^{2}6p$	${}^{3}P_{1}^{o}$	11.005	11.104	0.099
$5d^{9}6s^{2}6p$	${}^{3}P_{0}^{o}$		11.111	
$6p^2$	${}^{3}P_{0}$	11.170	11.224	0.054
$5d^{9}6s^{2}6p$	${}^{3}D_{1}^{o}$	11.622	11.583	-0.039
$5d^{9}6s^{2}6p$	${}^{3}D_{2}^{o}$		11.703	
$6p^2$	${}^{3}P_{1}$	11.652	11.787	0.135
$6p^2$	${}^{3}P_{2}$	$11.926^{*}$	11.897	-0.029
$5d^{9}6s^{2}7s$	${}^{3}D_{3}^{o}$	$12.039^{*}$	11.939	-0.100
$5d^{9}6s^{2}7s$	${}^{3}D_{2}^{o}$	$12.065^{*}$	11.963	-0.102
$6p^2$	${}^{1}D_{2}$		12.253	
$6p^2$	${}^{1}S_{0}$		14.248	

TABLE II: Contributions to the static dipole polarizability of the Hg ground state in the DBSR model. Here kp, kf and np, nf stand for contributions from the ionization continuum and the remain **OSCILLATOR STRENGTHS** or strengths are given as the initial state of experimental values. See Migdalek [Physica Scripta **T100**, 47 (2002)] for references and more details.

Upper level	oscillator	polarizability	experiment
	$\operatorname{strength}$	$(a_0^3)$	
$(5d^{10}6s6p)^1 P_1^o$	1.147	18.474	1.16
$(5d^{10}6s6p)^3P_1^o$	0.018	0.435	0.024
$(5d^{10}6s7p)^1P_1^o$	0.022	0.208	
$(5d^{10}6s8p)^1P_1^o$	0.019	0.154	
$(5d^96s^26p)^1P_1^o$	0.203	1.583	
$(5d^96s^26p)^3P_1^o$	0.495	2.976	
$(5d^96s^26p)^3D_1^o$	0.182	0.994	
$(5d^96s^27p)^1P_1^o$	0.086	0.386	
$(5d^96s^28p)^1P_1^o$	0.027	0.110	
$(5d^96s^27p)^3P_1^o$	0.044	0.154	
6 <i>skp</i>		3.426	
$5d^96s^2(np+kp)$		2.143	
$5d^96s^2(nf+kf)$		2.993	
Total		34.036	33.9

TABLE III: Positions and widths of the  $6s6p^2$  negative-ion resonances. The experimental data are taken from Sullivan *et al.*. [New Journal of Physics **5**, 1 (2003)]

Resonance	Energy (eV)	Width (meV)	Expt.	
$(6s6p^2)^4 P_{1/2}$	4.614	0.04	4.550	0.46
$(6s6p^2)^4 P_{3/2}$	4.712	36	4.670	14.7
$(6s6p^2)^4 P_{5/2}$	4.938	65	4.915	60
$(6s6p^2)^2 D_{3/2}$	4.904	300	5.12	290
$(6s6p^2)^2 D_{5/2}$	5.483	148	5.53	280

resonances

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**Elastic and Total Cross Section** 



#### Momentum-Transfer Cross Section



#### Phys. Rev. A 79 (2009) 042713 & 042712

Angle-Integrated Cross Sections for the  $(6s6p)^{3}P_{1}$  State



### Angle-Integrated Cross Sections for the (6s6p) States





## **Conclusions and Outlook**

- Much progress has been made in calculating the structure of and electron-induced collision processes in noble gases and other complex targets (C, O, F, ..., Cu, Zn, Cs, Au, Hg, Pb).
- Time-independent close-coupling (*R*-matrix) seems to be the way to go.
- Other sophisticated methods (CCC, TDCC, ECS, ...) are still restricted to simple targets. These methods are have essentially solved the (quasi-)one- and (quasi-)two-electron problems for excitation and ionization.
- Perturbative (Born) methods are still being used, but be careful about the accuracy!
- For calculations on noble gases other than helium, the **target structure problem** should not be ignored !
- For such complex targets, the **BSR method with non-orthonal orbitals has achieved a breakthrough in the description of near-threshold phenomena.** The major advantages are:
  - highly accurate target description
  - consistent description of the N-electron target and (N+1)-electron collision problems
  - ability to give "complete" datasets for atomic structure and electron collisions

# **Conclusions and Outlook (continued)**

- After setting up a **detailed model of a Ne discharge with a large number of observed lines, we validated most atomic BSR input data** (oscillator strengths and collision cross sections).
- The validation method provides a valuable **alternative for data assessment and detailed plasma diagnostics** — especially when no absolute cross sections from beam experiments are available.
- This is a nice example of a very fruitful and **mutually beneficial collaboration between** data producers and data users.
- We can provide atomic data for (almost) any system. Practical limitations are:
  - expertise of the operator/developer Oleg, please stop smoking!
  - further **necessary code development** (pseudo-states, better parallelization)
  - computational resources (Many Thanks to Teragrid!)
- We are currently preparing fully relativistic *R*-matrix with pseudo-states calculations on massively parallel platforms.
- We don't have a company (yet). If you really want/need specific data, you can
  - ask us really nicely and hope for the best (preferred by most colleagues)
  - give us **\$\$\$** and increase your priority on the list (preferred by us)

#### THANK YOU!